



Council Offices, Almada Street
Hamilton, ML3 0AA

Tuesday, 25 January 2022

Dear Councillor

Executive Committee

The Members listed below are requested to attend a meeting of the above Committee to be held as follows:-

Date: Wednesday, 02 February 2022
Time: 10:00
Venue: By Microsoft Teams,

The business to be considered at the meeting is listed overleaf.

Yours sincerely

Cleland Sneddon
Chief Executive

Members

John Ross (Chair/ex officio), Maureen Chalmers (Depute Chair), Alex Allison, John Anderson, John Bradley, Robert Brown, Gerry Convery, Margaret Cooper, Poppy Corbett, Peter Craig, Maureen Devlin, Isobel Dorman, Joe Fagan, Allan Falconer, Lynsey Hamilton, Mark Horsham, Eileen Logan, Katy Loudon, Hugh Macdonald, Monique McAdams, Kenny McCreary, Jim McGuigan, Gladys Miller, Lynne Nailon, Richard Nelson, David Shearer, Jim Wardhaugh, Josh Wilson

Substitutes

Stephanie Callaghan, Andy Carmichael, George Greenshields, Ian Harrow, Graeme Horne, Martin Grant Hose, Ann Le Blond, Richard Lockhart, Catherine McClymont, Mark McGeever, Graham Scott, Collette Stevenson, Margaret B Walker, David Watson

BUSINESS

1 Declaration of Interests

- 2 **Minutes of Previous Meeting** 5 - 10
Minutes of the meeting of the Executive Committee held on 1 December 2021 submitted for approval as a correct record. (Copy attached)

Monitoring Item(s)

- 3 **Revenue Budget Monitoring for Period 10 - 1 April to 31 December 2021 and Probable Outturn** 11 - 30
Report dated 12 January 2022 by the Executive Director (Finance and Corporate Resources). (Copy attached)
- 4 **Capital Programme 2021-2022 Update and Monitoring for Period 10 - 1 April to 31 December 2021** 31 - 40
Report dated 12 January 2022 by the Executive Director (Finance and Corporate Resources). (Copy attached)
- 5 **Additional Funding from Scottish Government and Other External Sources** 41 - 44
Report dated 12 January 2022 by the Executive Director (Finance and Corporate Resources). (Copy attached)

Item(s) for Decision

- 6 **Recommendations Referred by Housing and Technical Resources Committee** 45 - 50
Report dated 19 January 2022 by the Chief Executive. (Copy attached)
- 7 **Jackton Primary School - Full Business Case Glasgow City Region City Deal - East Kilbride Community Growth Area** 51 - 58
Report dated 14 January 2022 by the Interim Executive Director (Community and Enterprise Resources). (Copy attached)
- 8 **Land and Property Transfers and Disposals** 59 - 62
Report dated 5 January 2022 by the Executive Director (Housing and Technical Resources). (Copy attached)
- 9 **South Lanarkshire Integration Scheme Update** 63 - 104
Report dated 20 January 2022 by the Director, Health and Social Care. (Copy attached)
- 10 **Tackling Poverty Working Group Progress Report** 105 - 110
Report dated 14 January 2022 by the Executive Director (Finance and Corporate Resources). (Copy attached)

Urgent Business

11 Urgent Business

Any other items of business which the Chair decides are urgent.

For further information, please contact:-

Clerk Name:	Pauline MacRae/Lynn Paterson
Clerk Telephone:	01698 45 4108/4669
Clerk Email:	pauline.macrae@southlanarkshire.gov.uk

EXECUTIVE COMMITTEE

2

Minutes of meeting held via Microsoft Teams on 1 December 2021

Chair:

Councillor John Ross (ex officio)

Councillors Present:

Councillor Alex Allison, Councillor John Anderson, Councillor John Bradley, Councillor Robert Brown, Councillor Maureen Chalmers (Depute), Councillor Gerry Convery, Councillor Margaret Cooper, Councillor Poppy Corbett, Councillor Peter Craig, Councillor Maureen Devlin, Councillor Isobel Dorman, Councillor Joe Fagan, Councillor Allan Falconer, Councillor Lynsey Hamilton, Councillor Graeme Horne (*substitute for Councillor Hugh Macdonald*), Councillor Mark Horsham, Councillor Eileen Logan, Councillor Katy Loudon, Councillor Monique McAdams, Councillor Kenny McCreary, Councillor Jim McGuigan, Councillor Gladys Miller, Councillor Lynne Nailon, Councillor Richard Nelson, Councillor David Shearer, Councillor Jim Wardhaugh, Councillor Josh Wilson

Councillor's Apology:

Councillor Hugh Macdonald

Attending:

Chief Executive's Service

C Sneddon, Chief Executive

Community and Enterprise Resources

M McGlynn, Executive Director

Education Resources

T McDaid, Executive Director; C McKenzie, Head of Education (Broad General Education)

Finance and Corporate Resources

P Manning, Executive Director; T Little, Head of Communications and Strategy; P MacRae, Administration Adviser; G McCann, Head of Administration and Legal Services; K McLeod, Administration Assistant; K McVeigh, Head of Personnel Services; S Somerville, Administration Manager

Housing and Technical Resources

D Lowe, Executive Director

Health and Social Care/Social Work Resources

S Sengupta, Director, Health and Social Care

1 Declaration of Interests

No interests were declared.

2 Minutes of Previous Meeting

The minutes of the meeting of the Executive Committee held on 3 November 2021 were submitted for approval as a correct record.

The Committee decided: the minutes be approved as a correct record.

3 Revenue Budget Monitoring for Period 7: 1 April 2021 to 8 October 2021

A report dated 27 October 2021 by the Executive Director (Finance and Corporate Resources) was submitted on the overall financial position of the Council's General Fund Revenue Account and Housing Revenue Account for the period 1 April 2021 to 8 October 2021.

As reported to the Committee at its meeting on 3 November 2021, funding in relation to cost pressures which were attributable to COVID-19 amounted to £59.661 million, of which £27.402 million was specific, leaving £32.259 million to meet other COVID cost pressures. The cost position showed an estimated £18.649 million of spend to be met from this funding in 2021/2022. A breakdown of the Cost of Recovery position was detailed at Appendix 2 to the report.

The total COVID net expenditure, before funding, at 8 October 2021 amounted to £17.871 million. This was an increase of £3.855 million on the previously reported figure. Those costs were partially offset by specific funding of £13.043 million, leaving costs of £4.828 million to be met from the non-specific funding. A full breakdown of the position to 8 October 2021 was provided in Appendix 3 to the report.

At 8 October 2021, the position on the General Fund Revenue Account was an overspend of £0.146 million, as detailed in Appendix 1 to the report.

The Housing Revenue Account showed a breakeven position at 8 October 2021, as detailed in Appendix 4 to the report.

Officers responded to members' questions on various aspects of the report and, while advising that, due to data protection issues, the live system could not be shown, undertook to examine the possibility of providing a demonstration of the Care at Home Totalmobile system to interested members.

The Committee decided:

- (1) that the net overspend of £0.146 million on the General Fund Revenue Account at 8 October 2021, after COVID-19 spend and funding, as detailed in section 4.1 and Appendix 1 to the report, be noted;
- (2) that the total net expenditure of £4.828 million, after specific funding in relation to COVID-19, offset by Government Grant included in the Council's position as at 8 October 2021, as detailed in section 5.3 to the report, be noted;
- (3) that the current COVID position for 2021/2022, as detailed in section 5.4 to the report, be noted; and
- (4) that the breakeven position on the Housing Revenue Account at 8 October 2021, as detailed in section 6 and Appendix 4 to the report, be noted.

[Reference: Minutes of 3 November 2021 (Paragraph 3)]

4 Capital Programme 2021/2022 Update and Monitoring for Period 7 – 1 April to 8 October 2021

A report dated 10 November 2021 by the Executive Director (Finance and Corporate Resources) was submitted on the General Fund Capital Programme and the Housing Capital Programme for the period 1 April 2021 to 8 October 2021.

At its meeting on 3 November 2021, the Committee had agreed a General Fund Capital Programme for 2021/2022 amounting to £83.477 million. A revised programme of £78.310 million was now anticipated. This reflected proposed adjustments to the programme totalling a net decrease of £5.167 million, as shown in Appendix 1 to the report. Those adjustments included the impact of slippage in a number of projects due to market conditions, including availability of resources and price increases, and the ongoing impact of COVID-19. Budget for the period amounted to £26.778 million and, at 8 October 2021, £20.181 million had been spent on the General Fund Capital Programme, an underspend of £0.404 million.

Actual funding received to 8 October 2021 totalled £54.853 million. The programme spend and funding for the General Fund for the period was detailed in appendices 2 and 3 to the report.

At its meeting on 22 September 2021, the Committee had agreed a revised Housing Capital Programme for 2021/2022 amounting to £79.640 million. Programmed funding for the year also amounted to £79.640 million. The funding sources were detailed in Appendix 4 to the report. Budget for the period amounted to £37.517 million and, at 8 October 2021, £37.431 million had been spent on the Housing Capital Programme, an underspend of £0.086 million.

Officers responded to members' questions on various aspects of the report.

The Committee decided:

- (1) that the Period 7 position, at 8 October 2021, of the General Fund Capital Programme, detailed in appendices 1 to 3 of the report, and the Housing Capital Programme, detailed at Appendix 4, be noted;
- (2) that the adjustments to the General Fund programme, listed at Appendix 1, be approved; and
- (3) that the revised programmes be monitored by the Financial Resources Scrutiny Forum.

[Reference: Minutes of 3 November 2021 (Paragraph 4)]

5 Additional Funding from Scottish Government and Other External Sources

A report dated 27 October 2021 by the Executive Director (Finance and Corporate Resources) was submitted on additional funding, totalling £0.620 million, which had been made available to the Council by the Scottish Government and other external sources.

The funding had been allocated as follows:-

Revenue Funding

Resource	2021/2022
	(£m)
Education	0.187
Total	0.187

Capital Funding

Resource	2021/2022
	(£m)
Education	0.433
Total	0.433

Overall Total	0.620
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The Committee decided: that the report be noted.

[Reference: Minutes of 3 November 2021 (Paragraph 5)]

6 Workforce Monitoring Information - April to September 2021 - Summary

A report dated 3 November 2021 by the Executive Director (Finance and Corporate Resources) was submitted on workforce monitoring information relating to the Council for the period April to September 2021 as follows:-

- ◆ attendance statistics
- ◆ occupational health statistics
- ◆ accident/incident statistics
- ◆ disciplinary hearings, grievances and Dignity at Work cases
- ◆ employee development
- ◆ labour turnover/analysis of leavers and exit interviews
- ◆ recruitment monitoring
- ◆ staffing watch as at 11 September 2021

Officers responded to members' questions on various aspects of the report and undertook to provide information on comparators for the same period in 2019.

The Committee decided: that the report be noted.

[Reference: Minutes of 23 June 2021 (Paragraph 8)]

7 International Children's Games 2022

A report dated 8 November 2021 by the Executive Director (Community and Enterprise Resources) was submitted on:-

- ◆ an invitation to the Council to participate in the International Children's Games (ICG) 2022 to be held in Coventry from 11 to 16 August 2022
- ◆ the financial implications for the Council if it accepted the invitation to participate

South Lanarkshire had a long history of participation in the International Children's Games, an event endorsed by the International Olympic Committee. An invitation had been received from the ICG organisers for the Council to participate in the International Children's Games to be held in Coventry from 11 to 16 August 2022. It was anticipated that the delegation would comprise 18 sports participants, 5 Coaches/Head of Delegation and a Council representative. The estimated cost of participation was £19,000, although it was considered that external sponsorship and fundraising by participants could reduce the final cost to the Council to £12,000.

The Committee decided:

- (1) that the invitation to the Council to participate in the International Children's Games, to be held in Coventry from 11 to 16 August 2022, be accepted;
- (2) that the maximum financial contribution required to be met by the Council, estimated to be £12,000, be approved; and
- (3) that the intention to raise as much external funding through sponsorship and fundraising to minimise the Council's final contribution be noted.

8 Changes to Memberships of Committees, Forums and Joint Boards/Outside Bodies - Update

A report dated 8 November 2021 by the Executive Director (Finance and Corporate Resources) was submitted providing an update on the membership of various committees, forums, joint boards and outside bodies following recent changes to the political composition of the Council.

At its meeting held on 3 November 2021, the Committee approved revisions to the composition of various committees, forums, joint boards and outside bodies following recent changes to the political composition of the Council and authorised the Chief Executive to finalise memberships.

The Chief Executive, in consultation with the Leaders/Business Managers of the political/independent groups and also the 3 independent members, had finalised those memberships and a copy of the updated documentation was attached at appendices 1 and 2 to the report.

The Committee decided: that the current memberships of committees, forums, joint boards and outside bodies, as detailed in appendices 1 and 2 to the report, be noted.

[Reference: Minutes of 3 November 2021 (Paragraph 13)]

9 Urgent Business

There were no items of urgent business.

Report

3

Report to: **Executive Committee**
 Date of Meeting: **2 February 2022**
 Report by: **Executive Director (Finance and Corporate Resources)**

Subject: **Revenue Budget Monitoring for Period 10: 1 April to 31 December 2021 and Probable Outturn**

1. Purpose of Report

1.1. The purpose of the report is to:

- ◆ advise the Committee on the overall financial position of the Council's General Fund Revenue Account and Housing Revenue Account for the period 1 April to 31 December 2021, and a projection for the year to 31 March 2022.

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):

- (1) that including Resources, Corporate Items and Council Tax, the Council's outturn underspend of £4.794 million (section 4.2), be noted;
- (2) that the total transfers to reserves (section 4.8 and 5.2) included in the revised outturn position, totalling £36.086 million (detailed in Appendix 3), be approved;
- (3) that the outturn underspend of £4.794 be transferred to reserves to manage cost of COVID recovery (section 7.2), be approved;
- (4) that as proposed in Appendix 1 (section 1.3), the underspend in the budget delegated to the IJB (£0.495 million and £4.400 million) be held in the Council's balance Sheet for their use in 2022/2023, be approved;
- (5) that the underspend position on the General Fund Revenue Account as at 31 December 2021 (section 8.1), be noted; and
- (6) that the breakeven position on the Housing Revenue Account at 31 December 2021 and the forecast to 31 March 2022 of breakeven (section 9), be noted.

3. Background

3.1. This overview report will summarise the 2021/2022 Probable Outturn position for the Council's General Fund Revenue Account to 31 March 2022. Section 4 provides details of the General Fund outturn position (with further details in Appendix 1) and Section 5 provides details of the cost of COVID included in the Probable Outturn position. Section 6 and 7 provides a summary of the total transfers to reserves and a summary of the outturn position. Sections 8 and 9 provides the position on the General Fund Account and the Housing Revenue Account for the current period 1 April 2021 to 31 December 2021 respectively.

3.2. When establishing the probable outturn position for the year, Resources were asked to take all known commitments into account. This report details the anticipated spend for the current year (Appendix 2) and proposes funds to be transferred to reserves for future commitments (Appendix 3). The report will also detail the position after the

proposed transfers to reserves (Appendix 4) for those known commitments required in the next financial year.

4. **2021/2022 General Services Probable Outturn**

- 4.1. This report and appendices provide a detailed analysis of the Council's financial position. Whilst the full detail and background is provided in Appendix 1 to the report, a summary position is detailed below.
- 4.2. The final outturn position after proposed transfers to reserves including the previously approved £13.550 million of loan charges underspend in relation to the Loans Fund Review for the Budget Strategy and including COVID expenditure and funding, is an underspend of £4.794 million. Details are provided in Table 1 below.

Table 1: Analysis of Outturn Position After Transfers to Reserves

	£m
Resource Position (section 4.3)	(0.585)
Loan Charges (section 4.4)	-
Corporate Items (section 4.5)	2.471
Council Tax (section 4.7)	2.908
Probable Outturn Position	4.794

- 4.3. **Resources:** As covered in Appendix 1 (section 1.1), Resources are reporting a combined overspend of £0.585 million. This is primarily within Social Work Resources (overspend of £0.605 million) and mainly relates to the demand for residential and external placements and kinship care. This position is after proposed transfers to Reserves and excluding the costs of COVID (see Appendix 1 section 1.2). The Resource underspend of £0.585 million includes a commitment of £4.895 million within Social Work (Adults and Older People) in relation to the Integrated Joint Board (IJB). This reflects the proposal that the Council allows the IJB to retain this non-recurring underspend within their reserves earmarked for future care costs.
- 4.4. **Loan Charges:** There is an underspend of £1.362 million. It is proposed that this is transferred to reserves for use on future budget strategies (£1.362 million). This results in a breakeven position on loan charges. This is in addition to the previously approved £13.550 million underspend from the Loans Fund Review which is being transferred to reserves for use in future budget strategies (Appendix 1, section 1.6).
- 4.5. **Corporate Items:** There is a net underspend of £2.471 million for corporate items (Appendix 1, section 1.9) after known commitments. The 2021/2022 budget includes an amount of money in relation to future year's Pay Award. As a consequence, we are underspending by £2.216 million on this budget line in the current year
- 4.6. **2021/2022 Strategy Investments:** As detailed in Appendix 1, section 1.11, there will be a proposed transfer to reserves of £5.443 million which reflects the profiled spend of this funding across years, resulting in a breakeven position after transfers.
- 4.7. **Council Tax:** There is a net over recovery of Council Tax of £2.908 million (as shown in Appendix 1 sections 1.13 to 1.14). This reflects an underspend in the Council Tax Reduction Scheme (£0.192 million) reflecting demand at this point and an over recovery of Council Tax due to levels of in-year collection from properties (£1.230 million), additional arrears collection (£1.024 million) and empty property charges which are higher than budget (£0.462 million).

- 4.8. **Transfers to Reserves:** Included in the Resources' Probable Outturn position is a proposed transfer of £17.405 million to reserves (see Appendix 1 section 1.15 and Appendix 3).
- 5. 2021/2022 General Services Probable Outturn – COVID-19**
- 5.1. As part of the probable outturn exercise, a revised COVID Cost of Recovery (COR) position has been prepared. The revised position shows costs of £16.752 million and non-specific funding of £32.352 million, resulting in an underspend of £15.600 million.
- 5.2. In addition, there is specific COVID funding that has not been utilised totalling £3.081 million. It is proposed that both of these amounts totalling £18.681 million are transferred to reserves to meet commitments in 2022/2023 (Appendix 1 section 2 and Appendix 3).
- 6. Transfers to Reserves**
- 6.1. The proposed non-COVID Resource and Corporate Items transfers total £17.405 million (section 4.8). In addition, there are proposed transfers totalling £18.681 million (section 5.2) in relation to COVID. This takes the total reserves transfers requiring approval to £36.086 million and these are detailed in Appendix 3.
- 6.2. When added to the previously approved £13.550 million of transfers for future Budget Strategies (Appendix 1, section 1.7), the total transfers to reserves will be £49.636 million.
- 7. Summary of Overall Outturn Position**
- 7.1. Appendix 1 provides full details of the outturn position included in sections 4 and 5 above. Table 2 provides a summary of this information, split between non-COVID and COVID. The position before the proposed transfers to reserves is an underspend of £54.430 million, and after the total proposed transfers to reserves of £49.636 million (section 6.2), leaves a final outturn underspend of £4.794 million.

Table 2: Final Outturn Position

	Non-COVID £m	COVID £m	Total £m
Resources Underspend (App 1 section 1.2 and 2.3)	7.765	0.070	7.835
Loan Charges Underspend (App 1 section 1.6 - 1.8)	14.917	-	14.917
Corporate Items Underspend (App 1 sections 1.9 and sections 2.2-2.3)	4.716	18.611	23.327
Investments Balance to Spend (App 1 section 1.11)	5.443	-	5.443
Council Tax Over Recovery (App 1 section 1.13)	2.908	-	2.908
Forecast Underspend Before Transfers to Reserves	35.749	18.681	54.430
Less: Previously Approved Transfers to Reserves for 2022/2023 Strategy	(13.550)	-	(13.550)
Less: Proposed Transfers to Reserves (Appendix 3)	(17.405)	(18.681)	(36.086)
Forecast Underspend AFTER Transfers to Reserves	4.794	-	4.794

- 7.2. It is proposed that the £4.794 million is transferred to reserves and carried forward to meet the cost of COVID recovery moving forward. No additional funding is anticipated from the Scottish Government in relation to COVID expenditure in 2022/2023, but the Council will still have costs that will continue into that year. These monies will assist in managing the continuing costs.

8. 2021/2022 General Services Monitoring Position

8.1. Financial Position as at 31 December 2021

As at 31 December 2021, the position on the General Fund (including COVID spend and income) before transfers to reserves is an underspend of £28.308 million (Appendix 2). Appendix 4 shows the position assuming the approval of the proposed transfers to reserves, as an underspend of £2.210 million, mainly as a result of an over recovery on council tax.

8.2. This position is in line with the forecast position for the General Fund (section 7).

8.3. COVID Position as at 31 December 2021

The total COVID net expenditure before funding as at 31 December 2021 is £23.859 million. These costs are partially offset by specific funding of £15.842 million, leaving costs of £8.017 million to be met from the non-specific funding. A summary of the current position is included in Appendix 5 with full details included in Appendix 6.

8.4. The COVID costs above exclude the costs of the Social Work Mobilisation Plan. The assumption is that this will continue to be fully funded. The spend to period 10 in relation to the Social Work Mobilisation Plan is £13.505 million.

9. Housing Revenue Account Position

9.1. As at 31 December 2021, Appendix 7 of the report shows a breakeven position against the phased budget on the Housing Revenue Account.

9.2. The forecast to 31 March 2022 on the Housing Revenue Account is a breakeven position.

10. Employee Implications

10.1. None.

11. Financial Implications

11.1. As detailed within this report.

12. Climate Change, Sustainability and Environmental Implications

12.1. There are no implications for climate change, sustainability or the environment in terms of the information contained in this report.

13. Other Implications

13.1. The main risk associated with the Council's Revenue Budget is that there is an overspend. The risk has been assessed as low given the detailed budget management applied across the Resources. The risk is managed through four weekly Budget Monitoring Meetings at which any variance is analysed. In addition, the probable outturn exercise ensures early warning for corrective action to be taken where appropriate.

14. Equality Impact Assessment and Consultation Arrangements

14.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and, therefore, no impact assessment is required.

14.2. There is also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning
Executive Director (Finance and Corporate Resources)

12 January 2022

Link(s) to Council Values/Ambitions/Objectives

- ◆ Accountable, Effective, Efficient and Transparent

Previous References

- ◆ None

List of Background Papers

- ◆ Financial ledger and budget monitoring results to 31 December 2021

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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1. 2021/2022 General Services Probable Outturn – Resources and Corporate Lines

1.1 **Total Resource Position:** The outturn position including the cost of COVID, before any transfers to reserves is an overspend of £13.270 million. If the impact of COVID (spend, lost income, and spend not made) is removed from the figures as they are funded from an underspend in budgets held at the centre, the Resources are showing an underspend of £7.765 million.

1.2 **Resources** are proposing transfers to reserves totalling £8.350 million (Appendix 3) resulting in a net overspend of £0.585 million, mainly within Social Work Resources. Details across Resources are shown in the table below.

Resource Outturn Position excl COVID

	Outturn Before Transfers to Reserves Incl COVID £m	Outturn Before Transfers to Reserves Excl COVID £m	Outturn After Transfers to Reserves (excl COVID) £m	over/ under
Community and Enterprise	(4.743)	4.729	0.020	under
Education	5.267	3.239	0.000	-
Finance and Corporate	(9.918)	0.113	0.000	-
Housing and Technical	(0.729)	0.289	0.000	-
Social Work	(3.147)	(0.605)	(0.605)	over
Joint Boards	0.000	0.000	0.000	-
	(13.270)	7.765	(0.585)	over

1.3 The Social Work position includes a commitment in relation to an element of the budget delegated to the Integrated Joint Board (IJB), where there is an underspend of £0.495 million. In addition, the IJB has recently received funding for Care at Home and Interim Care Placements, however, due to the late timing of the funding it is unlikely to fully spend this year. The exact underspend will not be known until the end of the financial year but the current estimated underspend is £4.400 million, taking the total commitment included in Social Work's position to £4.895 million. The commitment reflects the proposal that the Council allows the IJB to retain this non-recurring underspend within their reserves earmarked for future care costs. This allows the IJB to retain these funds in line with the approach to integrating health and social care budgets.

1.4 Loan Charges

Loans Fund Review: During the audit of the 2021/2022 Accounts, Audit Scotland requested a change to the profile of debt repayment that had previously been agreed as part of the Loans Fund Review (Executive Committee, June 2020). This has resulted in a change to the years in which the Reserves (underspends) will be realised.

1.5 The impact of this in 2021/2022 is that principal loan charge repayments will reduce by £2.599 million and as this is funded by capital receipts, the level of capital receipts required from reserves will also reduce. Both budgets have been reduced with no impact on the Council's outturn position, with the unused receipts being carried

forward into 2022/2023 to pay for the additional loan charges principal.

- 1.6 **Loan Charges – In-year underspend:** The loan charges budget outturn is also showing an in-year underspend of £1.362 million before transfers to reserves. The underspend is due to lower than anticipated interest costs as a result of not taking any long-term borrowing during 2021/2022. It is proposed that the £1.362 million is transferred to reserves to contribute to future Budget Strategies, resulting in a breakeven position.
- 1.7 The underspends in section 1.6 of £1.362 million which it is proposed is transferred to reserves, is in addition to the previously approved £13.550 million that has already been reported to the Executive Committee during the year in relation to the Loans Fund Review and underspends due to timing of borrowing that will be transferred to reserves for use in future Budget Strategies.
- 1.8 In addition, there is an underspend of £0.005 million in relation to Interest on Revenue Balances (IORB) for reserves which it is proposed is transferred to reserves, resulting in a breakeven position.
- 1.9 **Corporate Items:** The outturn position before proposed transfers to reserves of £2.245 million (Appendix 3) is an underspend of £4.716 million. After transfers the outturn is an underspend of £2.471 million.
- 1.10 The net position includes the following elements:
- **Pay Award 2021/2022** (£2.216 million): The 2021/2022 budget includes an amount of money in relation to future year's Pay Award. As a consequence, we are underspending by £2.216 million on this budget line in the current year.
 - **Council Tax Second Homes:** The outturn position is an underspend of £0.570 million before the year end transfer of unspent monies to the Housing Revenue Account Reserve (£0.570 million), to spend on social housing. The £0.570 million proposed transfer is included in the proposed transfers detailed in Appendix 3, resulting in a breakeven position after transfers.
 - **Utilities / Fuel:** An amount was included in the Strategy for anticipated increases in utility prices. Due to the way that energy has been purchased, this funding is not required in the current year and the underspend (£1.228 million) will be carried forward to assist in managing price increases into 2022/2023 and is included in the proposed transfers to reserves (Appendix 3), to leave a breakeven position.
 - **Central Energy Efficiency Fund:** Resources are allocated funding from the Central Energy Efficiency Fund (CEEF) Reserve to pay for spend to save projects. When the savings are generated from the project in future years, they repay the initial costs to the CEEF Reserve. This over recovery of income (£0.222 million) represents the repayment to be transferred to the CEEF Reserve in 2021/2022, and is included in the proposed transfers to reserves in Appendix 3. The position after this transfer is breakeven.
- 1.11 **Investments**
It was reported to the Executive Committee (22 September 2021) that the 2021/2022 investments would be spent over multiple years and budget transferred to reserves for utilisation in future years.

- 1.12 As part of the Probable exercise, Resources have identified that there will be a proposed transfer to reserves of £5.443 million which reflects the profiled spend of this funding across years. The budget has been transferred to the Corporate Items - Investments Transfer to Reserves budget line and it is proposed that the £5.443 million is transferred to reserves to meet expenditure into 2022/2023, resulting in a breakeven position.
- 1.13 **Council Tax including Council Tax Reduction Scheme (CTRS):** Council Tax is showing an over recovery of £2.908 million, comprising an over recovery of Council Tax of £2.716 million and an underspend on CTRS of £0.192 million.
- 1.14 The Council Tax over recovery of £2.716 million is due to:
- Empty Property charges (£0.462 million)
 - Arrears collection (£1.024 million)
 - Additional in-year properties (£1.230 million)
- 1.15 **Summary Position (Non-COVID):** The final position excluding COVID is an underspend of £4.794 million after proposed transfers to reserves totalling £17.405 million.
- 2. 2021/2022 General Services Probable Outturn – COVID-19**
- 2.1 **Cost of Recovery:** As part of the probable outturn exercise, a revised COVID Cost of Recovery position has been prepared. The revised position shows costs of £16.752 million and available non-specific funding of £32.352 million including reserves brought forward from last year. The costs are being managed primarily through the use of the reserves that were brought forward from last year, therefore the majority of the new non-specific funding received in 2021/2022 can be transferred to reserves for use in managing COVID costs of recovery into 2022/2023.
- 2.2 Appendix 5 shows that there is an underspend of £15.600 million on the available non-specific funding and it is proposed that this £15.600 million is transferred to reserves to give £15.600 million of non-specific funding available for 2022/2023.
- 2.3 There are also underspends in respect of the specific funding for CO2 monitors (£0.070 million) and Education Recovery funding (£3.011 million), totalling £3.081 million. It is proposed that this is also transferred to reserves to meet commitments in 2022/2023. These lie outwith the COR but are included in the proposed list of transfers for approval.
- 2.4 The final position on COVID is breakeven after the transfer to reserves of £18.681 million.
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SOUTH LANARKSHIRE COUNCIL
Revenue Budget Monitoring Report (BEFORE Transfers)
Period Ended 31 December 2021 (No.10)

<u>Committee</u>	Annual Budget	Annual Forecast BEFORE Transfers	Annual Forecast Variance BEFORE Transfers	Budget Proportion to 31/12/21	Actual to Period 10 31/12/21	Variance to 31/12/21	
	£m	£m	£m	£m	£m	£m	
Departments:							
Community and Enterprise Resources	117.750	122.493	(4.743)	79.275	82.647	(3.372)	over
Facilities Streets, Waste and Grounds	69.107	68.869	0.238	46.433	46.058	0.375	under
Fleet, Environmental and Projects	(0.661)	0.298	(0.959)	(1.968)	(1.277)	(0.691)	over
Leisure and Culture Services	19.470	19.437	0.033	17.485	17.449	0.036	under
Planning and Economic Development	7.634	6.960	0.674	6.029	5.491	0.538	under
Roads	22.200	23.530	(1.330)	11.296	12.389	(1.093)	over
COVID-19	0.000	3.399	(3.399)	0.000	2.537	(2.537)	over
Education Resources	374.646	369.379	5.267	255.277	250.871	4.406	under
Education	362.743	355.537	7.206	247.129	241.591	5.538	under
COVID-19	11.903	13.842	(1.939)	8.148	9.280	(1.132)	over
Finance and Corporate Resources	39.263	49.181	(9.918)	35.524	43.598	(8.074)	over
Finance Services - Strategy	1.962	1.962	0.000	2.764	2.766	(0.002)	over
Finance Services - Transactions	15.707	15.573	0.134	11.131	11.054	0.077	under
Audit and Compliance Services	0.339	0.339	0.000	0.315	0.315	0.000	-
Information Technology Services	5.059	5.116	(0.057)	6.590	6.612	(0.022)	over
Communications and Strategy Services	2.466	2.601	(0.135)	1.979	2.080	(0.101)	over
Administration and Licensing Services	4.042	4.481	(0.439)	3.559	3.872	(0.313)	over
Personnel Services	9.688	9.174	0.514	9.186	8.918	0.268	under
COVID-19	0.000	9.935	(9.935)	0.000	7.981	(7.981)	over
Housing and Technical Resources	16.629	17.358	(0.729)	13.147	13.791	(0.644)	over
Housing Services	8.486	8.532	(0.046)	5.753	5.789	(0.036)	over
Property Services	7.681	7.346	0.335	7.144	7.108	0.036	under
COVID-19	0.462	1.480	(1.018)	0.250	0.894	(0.644)	over
Social Work Resources	186.345	189.492	(3.147)	115.671	117.890	(2.219)	over
Performance and Support Services	7.968	7.564	0.404	6.128	5.744	0.384	under
Children and Families	34.343	35.352	(1.009)	25.318	26.008	(0.690)	over
Adults and Older People	142.449	142.419	0.030	83.602	83.562	0.040	under
Justice and Substance Misuse	1.585	1.615	(0.030)	0.623	0.653	(0.030)	over
COVID-19	0.000	2.542	(2.542)	0.000	1.923	(1.923)	over
Joint Boards	2.176	2.176	0.000	1.601	1.601	0.000	-
	736.809	750.079	(13.270)	500.495	510.398	(9.903)	over

<u>Committee</u>	Annual Budget	Annual Forecast BEFORE Transfers	Annual Forecast Variance BEFORE Transfers	Budget Proportion to 31/12/21	Actual to Period 10 31/12/21	Variance to 31/12/21	
	£m	£m	£m	£m	£m	£m	
Service Departments Total	736.809	750.079	(13.270)	500.495	510.398	(9.903)	over
CFCR	1.695	1.695	0.000	0.000	0.000	0.000	-
Loan Charges	34.343	32.976	1.367	24.531	23.554	0.977	under
Corporate Items	13.200	8.484	4.716	0.086	0.086	0.000	-
Corporate Items - COVID-19	44.816	5.100	39.716	15.711	0.000	15.711	under
Corporate Items - 2022/2023 Strategy	13.550	0.000	13.550	13.550	0.000	13.550	under
Investments – T/f to Reserves	5.443	0.000	5.443	5.443	0.000	5.443	under
Total Expenditure	849.856	798.334	51.522	559.816	534.038	25.778	under
Council Tax	161.805	164.521	2.716	115.122	117.515	2.393	over rec
Less: Council Tax Reduction Scheme	(21.444)	(21.252)	0.192	(15.317)	(15.180)	0.137	under
Net Council Tax	140.361	143.269	2.908	99.805	102.335	2.530	over rec
General Revenue Grant	291.730	291.730	0.000	208.379	208.379	0.000	-
General Revenue Grant – COVID19	27.768	27.768	0.000	0.000	0.000	0.000	-
Non-Domestic Rates	319.312	319.312	0.000	228.080	228.080	0.000	-
Transfer from Reserves	70.685	70.685	0.000	70.685	70.685	0.000	-
Total Income	849.856	852.764	2.908	606.949	609.479	2.530	over rec
Net Expenditure / (Income)	0.000	(54.430)	54.430	(47.133)	(75.441)	28.308	under

SOUTH LANARKSHIRE COUNCIL
Revenue Budget Monitoring Report
Period Ended 31 December 2021 (No.10)

<u>Budget Category</u>	Annual Budget	Annual Forecast BEFORE Transfers	Annual Forecast Variance BEFORE Transfers	Budget Proportion To 31/12/21	Actual to Period 10 31/12/21	Variance to 31/12/21	
	£m	£m	£m	£m	£m	£m	
Service Departments:							
Expenditure							
Employee Cost	564.292	563.719	0.573	401.468	400.562	0.906	under
Property Costs	56.572	59.484	(2.912)	44.101	45.895	(1.794)	over
Supplies and Services	63.159	66.058	(2.899)	44.455	47.785	(3.330)	over
Transport Costs	42.682	43.608	(0.926)	30.787	31.777	(0.990)	over
Administration Costs	17.299	19.370	(2.071)	13.247	13.452	(0.205)	over
Payments to Other Bodies	105.559	107.823	(2.264)	78.538	80.778	(2.240)	over
Payments to Contractors	208.857	219.866	(11.009)	137.896	146.040	(8.144)	over
Transfer Payments	5.836	6.558	(0.722)	5.182	5.615	(0.433)	over
Housing Benefits	68.542	66.530	2.012	48.154	47.135	1.019	under
Financing Charges (controllable)	2.018	2.138	(0.120)	4.399	4.586	(0.187)	over
Total	1,134.816	1,155.154	(20.338)	808.227	823.625	(15.398)	over
Service Departments Total	1,134.816	1,155.154	(20.338)	808.227	823.625	(15.398)	over
CFCR	1.695	1.695	0.000	0.000	0.000	0.000	-
Loan Charges	34.343	32.976	1.367	24.531	23.554	0.977	under
Corporate Items	13.200	8.484	4.716	0.086	0.086	0.000	-
Corporate Items - COVID-19	44.816	5.100	39.716	15.711	0.000	15.711	under
Corporate Items - 22/23 Strategy	13.550	0.000	13.550	13.550	0.000	13.550	under
Investments – Transfer to Reserves	5.443	0.000	5.443	5.443	0.000	5.443	under
Total Expenditure	1,247.863	1,203.409	44.454	867.548	847.265	20.283	under
Income							
Housing Benefit Subsidy	64.158	61.266	(2.892)	48.746	46.681	(2.065)	under rec
Other Income	333.849	343.809	9.960	258.986	266.546	7.560	over rec
Council Tax (Net of Council Tax Reduction Scheme)	140.361	143.269	2.908	99.805	102.335	2.530	over rec
General Revenue Grant	291.730	291.730	0.000	208.379	208.379	0.000	-
General Revenue Grant - COVID-19	27.768	27.768	0.000	0.000	0.000	0.000	-
Non Domestic Rates	319.312	319.312	0.000	228.080	228.080	0.000	-
Transfer from Reserves	70.685	70.685	0.000	70.685	70.685	0.000	-
Total Income	1,247.863	1,257.839	9.976	914.681	922.706	8.025	over rec
Net Expenditure / (Income)	0.000	(54.430)	54.430	(47.133)	(75.441)	28.308	under

Proposed Transfers to Reserves

Purpose / Description	Resource	Value £m
Resources		
Primary 4 and 5 Free School Meals Funding to be carried forward to meet the expansion programme of FSM for P4 and P5 into 2022/2023 for April to June 2022.	Community and Enterprise	0.273
Good Food Nation / Food Development Officer Funding required to support outcome of the new Good Food Nation's Bill introduced by the SG and the Food Development officer post.	Community and Enterprise	0.080
Crematorium Sink Fund Annual contribution to the Crematorium sinking fund to maintain asset replacement programme.	Community and Enterprise	0.025
Electric Sweeper – Payback Ring-fenced reserves used to manage purchase of electric vehicles in short term which have to be repaid over next few years. This contribution is the second repayment.	Community and Enterprise	0.015
Fleet Sinking Fund Annual contribution to the Fleet sinking fund to maintain asset replacement programme.	Community and Enterprise	0.025
Legal Costs – Planning Building Standards Funding to support exceptional legal costs as a result of public inquiries and planning appeals in 2022/23.	Community and Enterprise	0.075
Approved Building Standards Staffing Structure This is funding required to fund the temporary staffing structure in Planning Building Standards service as approved at Community and Enterprise Committee December 2021.	Community and Enterprise	0.230
Total Community and Enterprise Resources		0.723
Pupil Equity Fund The funding received from the Government can be used up to the end of the school term (June 2022). The underspend reflects the element of the funding that will be utilised in April to June 2022.	Education	3.500
Early Years 1140 This transfer relates to the underspend on the current year specific grant allocation to be carried forward to meet the commitments in line with the spend profile of the project.	Education	2.797
Additional Teachers Funding (April-June element) This transfer relates to the underspend on the current year grant allocation to be carried forward to meet the cost of the additional teachers up to the end of the school term (April to June 2022).	Education	0.845
Child Disability Payment Support The funding received covers the new burden associated with the introduction of Child Disability Payment with staffing not expected to be in post until 2022/23 following Committee approval in February 2022.	Education	0.083
COVID – CO2 Monitors The transfer relates to COVID funding received to assist with CO2 monitors in schools has not been fully utilised in the current year which requires to be carried forward to meet commitments in 2022/2023.	Education - COVID	0.070
Total Education Resources		7.295
Area Committee Grants This transfer reflects the underspend in Area Grants monies in 2021/2022.	Finance and Corporate	0.063
National Trauma Training Post Funding from the Scottish Government for a post but due to timing of recruitment, post will not be filled in the current year and transfer is required to meet commitment in 2022/2023.	Finance and Corporate	0.050
Total Finance and Corporate Resources		0.113
Rapid Rehousing Transitions Plan (RRTP) The Scottish Government funding for RRTP was not fully utilised in 2021/2022, and it is proposed that the funding is carry forward to meet commitments in future years.	Housing and Technical	0.289
Total Housing and Technical Resources		0.289
Total Resource Proposed Transfers to Reserves (Non COVID)		8.420
Loan Charges		
Loan Charges – Additional Underspend In 2021/2022, there is an additional underspend due to lower than anticipated interest costs as a result of not taking any long-term borrowing during 2021/2022.	Loan Charges	1.362
Interest on Revenue Balances – Reserves This is the interest on Reserves balances and the transfer will be added to the balances on each Balance Sheet Reserve as appropriate.	Loan Charges	0.005
Total Loan Charges Proposed Transfers to Reserves		1.367
Corporate Items		
Utilities An amount was included in the Strategy for anticipated increases in utility prices. Due the way that energy has been purchase, this funding is not required in the current year and the underspend will be carried forward to assist in managing price increases into 2022/2023.	Corporate Items	1.228
Business Rates Incentive Scheme The Council has been notified of a refund in relation to the 2019/2020 Business Rates Incentive Scheme. The transfer is to allow this benefit to be used in the 2022/2023 Strategy.	Corporate Items	0.225

Central Energy Efficiency Fund (CEEF) Resources are allocated funding from the CEEF Reserve to pay for spend to save projects which they repay over future years from the revenue savings generated by the project. This transfer represents the payback of savings from Resources and allows the Reserve to be regenerated for use on future projects.	Corporate Items	0.222
Council Tax Second Homes This transfer represents the over recovery of income from Council Tax on second homes included in the Council's Council Tax income line. This is required to be transferred to the Housing Revenue Account for use on social housing.	Corporate Items	0.570
Total Corporate Items Proposed Transfers to Reserves		2.245
Corporate Items – COVID		
COVID - Non Specific Funding The 2021/2022 costs of COVID recovery have been managed primarily using the funding in reserves carried forward from 2020/2021. This allows elements of the new funding received in 2021/2022 to be carried forward to meet the costs of recovery moving forward.		15.600
COVID Specific Funding Funding was received in 2021/2022 to assist with Education Recovery. This transfer represents the element required to assist with the costs for the remainder of the school year.		3.011
Total Corporate Items - COVID Proposed Transfers to Reserves		18.611
Investments		
Investments 2021/2022 A report to the Executive Committee (22 September 2021) highlighted that the investment monies would be spent across multiple years. This transfer is to meet the commitments in future years.	Investments	5.443
Total Investments Proposed Transfers to Reserves		5.443
Total Proposed Transfers		36.086

Analysis of Total Transfers		
Non-COVID Transfers	Resources /Corporate Items / Loan charges/Investments	17.405
COVID – Non-Specific Funding transfer	Corporate Items - COVID	15.600
COVID – Specific Funding Transfers	Corporate Items – COVID and Education COVID	3.081
Total Proposed Transfers		36.086

SOUTH LANARKSHIRE COUNCIL

Revenue Budget Monitoring Report (AFTER Transfers)

Period Ended 31 December 2021 (No.10)

<u>Committee</u>	Annual Budget	Annual Forecast AFTER Transfers	Annual Forecast Variance AFTER Transfers	Budget Proportion to 31/12/21	Actual to Period 10 31/12/21	Variance to 31/12/21	
	£m	£m	£m	£m	£m	£m	
Departments:							
Community and Enterprise Resources	117.750	123.216	(5.466)	79.275	83.218	(3.943)	over
Facilities Streets, Waste and Grounds	69.107	69.247	(0.140)	46.433	46.348	0.085	under
Fleet, Environmental and Projects	(0.661)	0.338	(0.999)	(1.968)	(1.246)	(0.722)	over
Leisure and Culture Services	19.470	19.437	0.033	17.485	17.449	0.036	under
Planning and Economic Development	7.634	7.265	0.369	6.029	5.741	0.288	under
Roads	22.200	23.530	(1.330)	11.296	12.389	(1.093)	over
COVID-19	0.000	3.399	(3.399)	0.000	2.537	(2.537)	over
Education Resources	374.646	376.674	(2.028)	255.277	256.428	(1.151)	over
Education	362.743	362.762	(0.019)	247.129	247.148	(0.019)	over
COVID-19	11.903	13.912	(2.009)	8.148	9.280	(1.132)	over
Finance and Corporate Resources	39.263	49.294	(10.031)	35.524	43.598	(8.074)	over
Finance Services - Strategy	1.962	1.962	0.000	2.764	2.766	(0.002)	over
Finance Services - Transactions	15.707	15.573	0.134	11.131	11.054	0.077	under
Audit and Compliance Services	0.339	0.339	0.000	0.315	0.315	0.000	-
Information Technology Services	5.059	5.116	(0.057)	6.590	6.612	(0.022)	over
Communications and Strategy Services	2.466	2.601	(0.135)	1.979	2.080	(0.101)	over
Administration and Licensing Services	4.042	4.544	(0.502)	3.559	3.872	(0.313)	over
Personnel Services	9.688	9.224	0.464	9.186	8.918	0.268	under
COVID-19	0.000	9.935	(9.935)	0.000	7.981	(7.981)	over
Housing and Technical Resources	16.629	17.647	(1.018)	13.147	13.791	(0.644)	over
Housing Services	8.486	8.532	(0.046)	5.753	5.789	(0.036)	over
Property Services	7.681	7.635	0.046	7.144	7.108	0.036	under
COVID-19	0.462	1.480	(1.018)	0.250	0.894	(0.644)	over
Social Work Resources	186.345	189.492	(3.147)	115.671	117.890	(2.219)	over
Performance and Support Services	7.968	7.564	0.404	6.128	5.744	0.384	under
Children and Families	34.343	35.352	(1.009)	25.318	26.008	(0.690)	over
Adults and Older People	142.449	142.419	0.030	83.602	83.562	0.040	under
Justice and Substance Misuse	1.585	1.615	(0.030)	0.623	0.653	(0.030)	over
COVID-19	0.000	2.542	(2.542)	0.000	1.923	(1.923)	over
Joint Boards	2.176	2.176	0.000	1.601	1.601	0.000	-
	736.809	758.499	(21.690)	500.495	516.526	(16.031)	over
<u>Committee</u>	Annual Budget	Annual Forecast AFTER Transfers	Annual Forecast Variance AFTER Transfers	Budget Proportion to 31/12/21	Actual to Period 10 31/12/21	Variance to 31/12/21	
	£m	£m	£m	£m	£m	£m	
Service Departments Total	736.809	758.499	(21.690)	500.495	516.526	(16.031)	over
CFCR	1.695	1.695	0.000	0.000	0.000	0.000	-
Loan Charges	34.343	34.343	0.000	24.531	24.531	0.000	-
Corporate Items	13.200	10.729	2.471	0.086	0.086	0.000	-
Corporate Items - COVID-19	44.816	23.711	21.105	15.711	0.000	15.711	under
Corporate Items - 2020/2021 Strategy	13.550	13.550	0.000	13.550	13.550	0.000	-
Investments – T/f to Reserves	5.443	5.443	0.000	5.443	5.443	0.000	-
Total Expenditure	849.856	847.970	1.886	559.816	560.136	(0.320)	over
Council Tax	161.805	164.521	2.716	115.122	117.515	2.393	over rec
Less: Council Tax Reduction Scheme	(21.444)	(21.252)	0.192	(15.317)	(15.180)	0.137	under
Net Council Tax	140.361	143.269	2.908	99.805	102.335	2.530	over rec
General Revenue Grant	291.730	291.730	0.000	208.379	208.379	0.000	-
General Revenue Grant - COVID-19	27.768	27.768	0.000	0.000	0.000	0.000	-
Non-Domestic Rates	319.312	319.312	0.000	228.080	228.080	0.000	-
Transfer from Reserves	70.685	70.685	0.000	70.685	70.685	0.000	-
Total Income	849.856	852.764	2.908	606.949	609.479	2.530	over rec
Net Expenditure / (Income)	0.000	(4.794)	4.794	(47.133)	(49.343)	2.210	under

SOUTH LANARKSHIRE COUNCIL
Revenue Budget Monitoring Report
Period Ended 31 December 2021 (No.10)

<u>Budget Category</u>	Annual Budget	Annual Forecast AFTER Transfers	Annual Forecast Variance AFTER Transfers	Budget Proportion to 31/12/21	Actual to Period 10 31/12/21	Variance to 31/12/21	
	£m	£m	£m	£m	£m	£m	
Service Departments:							
Expenditure							
Employee Cost	564.292	566.066	(1.774)	401.468	402.329	(0.861)	over
Property Costs	56.572	59.554	(2.982)	44.101	45.895	(1.794)	over
Supplies and Services	63.159	70.439	(7.280)	44.455	51.154	(6.699)	over
Transport Costs	42.682	43.608	(0.926)	30.787	31.777	(0.990)	over
Administration Costs	17.299	19.445	(2.146)	13.247	13.527	(0.280)	over
Payments to Other Bodies	105.559	109.115	(3.556)	78.538	81.501	(2.963)	over
Payments to Contractors	208.857	219.891	(11.034)	137.896	146.059	(8.163)	over
Transfer Payments	5.836	5.658	0.178	5.182	5.615	(0.433)	over
Housing Benefits	68.542	67.430	1.112	48.154	47.135	1.019	under
Financing Charges (controllable)	2.018	2.138	(0.120)	4.399	4.586	(0.187)	over
Total	1,134.816	1,163.344	(28.528)	808.227	829.578	(21.351)	over
Service Departments Total	1,134.816	1,163.344	(28.528)	808.227	829.578	(21.351)	over
CFCR	1.695	1.695	0.000	0.000	0.000	0.000	-
Loan Charges	34.343	34.343	0.000	24.531	24.531	0.000	-
Corporate Items	13.200	10.729	2.471	0.086	0.086	0.000	-
Corporate Items - COVID-19	44.816	23.711	21.105	15.711	0.000	15.711	under
Corporate Items - 22/23 Strategy	13.550	13.550	0.000	13.550	13.550	0.000	-
Investments – Transfer to Reserves	5.443	5.443	0.000	5.443	5.443	0.000	-
Total Expenditure	1,247.863	1,252.815	(4.952)	867.548	873.188	(5.640)	over
Income							
Housing Benefit Subsidy	64.158	61.266	(2.892)	48.746	46.681	(2.065)	under rec
Other Income	333.849	343.579	9.730	258.986	266.371	7.385	over rec
Council Tax (Net of Council Tax Reduction Scheme)	140.361	143.269	2.908	99.805	102.335	2.530	over rec
General Revenue Grant	291.730	291.730	0.000	208.379	208.379	0.000	-
General Revenue Grant - COVID-19	27.768	27.768	0.000	0.000	0.000	0.000	-
Non Domestic Rates	319.312	319.312	0.000	228.080	228.080	0.000	-
Transfer from Reserves	70.685	70.685	0.000	70.685	70.685	0.000	-
Total Income	1,247.863	1,257.609	9.746	914.681	922.531	7.850	over rec
Net Expenditure / (Income)	0.000	(4.794)	4.794	(47.133)	(49.343)	2.210	under

COVID-19 Costs 2021/2022	Community and Enterprise	Education	Finance and Corporate	Housing and Technical	Social Work	Total £m
Projected Costs	3.386	1.879	0.817	1.018	2.350	9.450
Lost Income	2.605	0.079	0.096	-	-	2.780
Total Costs	5.991	1.958	0.913	1.018	2.350	12.230
Less: Spend Not Made	(0.518)	(0.060)	-	-	-	(0.578)
Net Cost to the Council in 2021/2022	5.473	1.898	0.913	1.018	2.350	11.652
Add: SLLC						3.900
Add: Property Services for capital						1.200
Total Costs						16.752

Funding available						£m
Reserves – carried forward (non-specific)						(15.955)
Revised New Funding as at P10						(16.397)
Total funding available						(32.352)

Summary Position						£m
Total Costs						16.752
Total Non-Specific Funding Available						(32.352)
Net Position						(15.600)
Proposed Transfer to Reserves						15.600
Revised Net Position						-

COVID Expenditure**Summary**

Cost of COVID 2021/2022 (to 31 December 2021)	Costs Incurred	Lost Income	Less: Spend Not Made	Total Costs	Movement from P7
	£m	£m	£m	£m	
Community and Enterprise	2.537	1.879	(0.497)	3.919	1.459
Education	9.280	0.079	(0.060)	9.299	3.222
Finance and Corporate	7.981	0.093	0.000	8.074	0.189
Housing and Technical	0.644	0.000	0.000	0.644	0.392
Social Work	1.923	0.000	0.000	1.923	0.726
Net Resource Expenditure in 2021/2022				23.859	5.988
Education				(8.148)	(2.621)
Corporate Items				(7.606)	(0.090)
Total Specific Funding Utilised				(15.754)	(2.711)
Net Cost to be funded from Non-Specific Funding				8.105	3.277

Detail**Additional Costs:**

Service	Description of Cost	Value £m	Movem't from P7
Community and Enterprise Resources			
FWG/Fleet & Environmental	Staffing costs supporting COVID response	0.526	0.202
FWG/Fleet & Environmental/Roads	Cleaning, janitorial and utilities costs	0.090	0.004
FWG	Carlisle Civic Amenity Site - Compactor Installation	0.069	0.061
FWG/Fleet & Environmental/Roads	Materials and PPE	0.083	0.041
FWG/Roads	Fleet costs including fuel supporting COVID19 response	0.320	0.094
All Services	Telephones / Stationery / IT Costs	0.019	0.006
FWG	Increase in domestic waste tonnage	1.410	0.775
FWG	Webcast system and live webcasts	0.020	0.010
Education Resources			
Schools	Additional Staffing (General)	0.003	0.001
Schools	Additional Teachers (Apr to Aug)	1.538	-
Support Services	Additional Support Assistants (Apr to Aug)	0.107	-
Schools	Additional Teachers (Aug to Dec)	3.129	2.093
Support Services	Additional Support Assistants (Aug to Dec)	0.113	0.068
Support Services	Additional Support Assistants - Literacy/Numeracy (Aug to Nov)	0.067	(0.030)
Support Services	Additional Support Assistants – Lateral Flow Testing	0.221	0.068
Schools	Study Support	0.804	-
Schools	Digital Inclusion - Investment	0.127	0.127
Schools	Pathfinder Initiative	0.142	-
Schools / ELC	Additional Cleaning	1.338	-
Schools / ELC	Facilities Orders (Hand sanitisers / soap dispensers / bins / wipes / hand towels)	0.333	0.101
Schools / ELC	Utilities - Additional Ventilation	0.406	0.226

Service	Description of Cost	Value £m	Movem't from P7
Schools	Central Orders and Social Work Recharges (gloves / wipes / aprons / visors / masks / face coverings)	0.090	0.057
Schools	Digital Inclusion	0.139	0.137
Schools	School Clothing Programme	0.050	0.050
Schools	Test and Trace Administration	0.018	0.006
Inclusion	Inclusive Education - Independent COVID places	0.655	0.378
Finance and Corporate Resources			
Personnel Services	Foodbank expenditure	0.144	0.039
Finance (Transactions)	Self Isolation Payments	0.054	0.015
Finance (Transactions)	£100 Spring Hardship Payments	0.046	(0.010)
Finance (Transactions)	Free School Meals – Easter / Holidays	0.014	(0.015)
Finance (Transactions)	Family Pandemic Payments	0.984	(0.001)
Finance (Transactions)	Low Income Pandemic Payments	4.435	0.008
Finance (Transactions)	Family Pandemic – Child Bridging Payments	0.000	(1.450)
Finance (Transactions) / IT	Staff Supporting COVID	0.435	0.142
Finance (Transactions)	Telephones / Paypoint / SMS Messages / Support Costs	0.076	0.013
Finance (Transactions)	Benefits in relation to temporary accommodation	0.088	0.030
Personnel Services	Support to Third Sector Organisations and Tenants	0.460	0.100
Finance (Transactions)	Assistance to individuals in temporary accommodation	0.031	0.031
Finance (Transactions)	Winter Support Payments	1.214	1.214
Housing and Technical Resources			
Housing and Property Services	Homelessness accommodation and property related costs	0.644	0.392
Social Work Resources			
Children and Families	External and residential placements within Children and Family services.	1.813	0.643
Children and Families	Payments to support service users experiencing hardship and to prevent children going into care	0.110	0.083
Total Additional Costs as at Period 10		22.365	5.709

Lost Income:

Service	Description of Lost Income	Value £m	Movem't from P7
Community and Enterprise Resources			
Facilities, Waste and Grounds	Reduced school meals and coffee shop income	0.763	0.258
Facilities, Waste and Grounds	Care of Gardens	0.064	(0.003)
Fleet, Environmental and Projects	Reduced income from taxi inspections.	0.032	0.005
Roads	Reduced Parking income	1.020	0.267
Education Resources			
Instrumental Music Services	Reduced income from Music Tuition fees	0.059	-
Support Services	Privileged Transport / Playgroups	0.020	-
Finance and Corporate			
Licensing and Registration	Birth and death registration	0.093	0.073
Total Lost Income as at Period 10		2.051	0.600

Unspent Budget

Service	Description of Lost Income	Value £m	Movem't from P7
Community and Enterprise Resources			
Facilities, Waste and Grounds	Reduced expenditure on food purchases	(0.442)	(0.226)
Facilities, Waste and Grounds	Vaccination Centre Let Income	(0.035)	(0.035)
Leisure	Firework Event	(0.020)	-
Education Resources			
Facilities, Waste and Grounds	Breakfast Clubs	(0.060)	(0.060)
Unspent Budget as at Period 10		(0.557)	(0.321)

Net Cost of COVID-19 as at Period 10	23.859	5.988
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Specific Funding

Service		Value £m	Movem't from P7
Education Resources			
Education (t/f from reserves)	Education Recovery Teachers / Support	(3.358)	(0.632)
Education (t/f from reserves)	Loss of Learning Support	(0.531)	-
Education (21/22 - Investments)	Education – Recovery Investments	(1.530)	(1.017)
Education (t/f from reserves)	Logistics	(1.982)	(0.225)
Education (t/f from reserves)	Staff/Digital Inclusion/Home Learning	(0.738)	(0.738)
Education (t/f from reserves)	Digital Inclusion	(0.009)	(0.009)
Corporate Items			
Corporate Items (new)	Family Pandemic Payments	(0.984)	0.001
Corporate Items (new)	Low Income Pandemic Payments	(4.435)	(0.008)
Corporate Items (new)	Family Pandemic - Child Bridging Payments	-	1.450
Corporate Items (new)	Winter Support (Tackling Financial Insecurities)	(1.214)	(1.214)
Corporate Items (T/f from reserves)	Financial Insecurities and Flexible Fund	(0.973)	(0.319)
Specific Funding Utilised as at Period 10		(15.754)	(2.711)

SOUTH LANARKSHIRE COUNCIL
Revenue Budget Monitoring Report
Period Ended 31 December 2021 (No.10)
Housing Revenue Account

	Annual Budget	Forecast for Year	Annual Forecast Variance	Budget Proportion to 31/12/21	Actual to Period 10 31/12/21	Variance to 31/12/21	%	Note
	£m	£m	£m	£m	£m	£m		
Employee Costs	14.090	13.842	0.248	10.311	9.952	0.359	Under	1
Property Costs	46.061	46.666	(0.605)	34.889	35.319	(0.430)	over	2
Supplies & Services	0.879	0.841	0.038	0.457	0.406	0.051	under	
Transport & Plant	0.195	0.167	0.028	0.118	0.100	0.018	under	
Administration Costs	5.645	5.633	0.012	0.406	0.340	0.066	under	
Payments to Other Bodies	3.076	3.113	(0.037)	1.523	1.538	(0.015)	over	
Payments to Contractors	0.100	0.097	0.003	0.075	0.073	0.002	under	
Transfer Payments	0.000	0.000	0.000	0.000	0.000	0.000	-	
Financing Charges	19.637	19.662	(0.025)	19.687	19.698	(0.011)	over	
Total Controllable Expenditure	89.683	90.021	(0.338)	67.466	67.426	0.040	under	
Total Controllable Income	(106.485)	(106.823)	0.338	(62.652)	(62.663)	0.011	over rec	
Transfer to/(from) Balance Sheet	0.971	0.971	0.000	0.790	0.841	(0.051)	over	3
Net Controllable Expenditure	(15.831)	(15.831)	0.000	5.604	5.604	0.000	-	
Add: Non Controllable Budgets								
Financing Charges	15.831	15.831	0.000	0.000	0.000	0.000	-	
Total Budget	0.000	0.000	0.000	5.604	5.604	0.000	-	

Variance Explanations

- Employee Costs**
This underspend reflects higher than anticipated staff turnover within the Service.
- Property Costs**
This overspend reflects demand in relation to repairs and grounds maintenance.
- Transfer to / (from) Balance Sheet**
The net underspend across expenditure has resulted in a higher transfer to reserves being anticipated to date.

Report

4

Report to:	Executive Committee
Date of Meeting:	2 February 2022
Report by:	Executive Director (Finance and Corporate Resources)

Subject:	Capital Programme 2021/2022 Update and Monitoring for Period 10 – 1 April to 31 December 2021
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1. Purpose of Report

1.1. The purpose of the report is to:

- ◆ update the Executive Committee of progress on the General Fund Capital Programme and the Housing Capital Programme for the period 1 April to 31 December 2021.

2. Recommendation(s)

2.1. The Executive Committee is asked to approve the following recommendation(s):

- (1) that the Period 10 position (ended 31 December 2021) of the General Fund Capital Programme itemised at Appendices 1 to 3 and the Housing Capital Programme at Appendix 4, be noted;
- (2) that the adjustments to the General Fund programme listed at Appendix 1, be approved; and
- (3) that the revised programmes be monitored by the Financial Resources Scrutiny Forum.

3. Background

3.1. The attached statements to this report provide a summarised monitoring position as at 31 December 2021. Spending has been split into two separate sections:

- ◆ General Fund Capital Programme including Education, Social Work, Roads and Transportation and General Services (Section 4).
- ◆ Housing Capital Programme (Section 5).

4. General Fund Capital Programme

4.1. **2021/2022 Budget:** The budget agreed at Executive Committee on 1 December 2021 was £78.310 million. A revised programme of £72.433 million is now anticipated, which includes adjustments to the programme totalling a net decrease of £5.877 million, which are proposed in Appendix 1.

4.2. Programmed funding for the year also totals £72.433 million. The funding sources are detailed in Appendix 3. Since the last report to this Committee, there has been a change to the funding package and this is detailed in section 4.3 below:

4.3. **General Capital Grant:** In December 2021, the Scottish Government revised our 2021/2022 General Capital Grant allocation to £24.174 million, an increase of £0.096 million. Given the late notification of this additional funding, any new projects are

unlikely to be designed and completed prior to the end of this financial year. As a result, this additional allocation of £0.096 million will be used to fund the existing Capital Programme and reduce the Council's level of borrowing in 2021/2022.

- 4.4. **Period 10 Position:** The programme spend and funding for the General Fund is summarised in Appendices 2 and 3. As noted in 4.1, the total capital spending programme for the year is £72.433 million.
- 4.5. Budget for the period is £37.532 million and spend to the 31 December 2021 is £37.252 million, £0.280 million behind programme.
- 4.6. Actual funding received to 31 December 2021 is £54.546 million.
- 4.7. Relevant officers will continue to closely monitor the generation of all income.

5. Housing Capital Programme

- 5.1. **2021/22 Budget:** Appendix 4 summarises the position on the Housing programme as at 31 December 2021. The revised capital programme for the year was £79.640 million, as approved by the Executive Committee on 22 September 2021. There are no new adjustments proposed in this report.
- 5.2. Programmed funding for the year also totals £79.640 million. The funding sources are also detailed in Appendix 4.
- 5.3. The impact of material shortages and price increases will continue to be monitored on a project-by-project basis in both the Housing and General Fund Programmes.
- 5.4. **Period 10 Position:** Budget for the period is £52.174 million and spend to 31 December 2021 amounts to £51.845 million, £0.329 million behind programme.
- 5.5. As at 31 December 2021, £51.845 million of funding had been received.
- 5.6. Regular monitoring of both the General Fund Programme and the Housing Programme will be carried out in detail by the Financial Resources Scrutiny Forum and reported on a regular basis to the Executive Committee.

6. Employee Implications

- 6.1. There are no employee implications as a result of this report.

7. Financial Implications

- 7.1. The financial implications are contained in sections 4 and 5 of the report for the General Services and Housing Capital Programmes respectively.

8. Climate Change, Sustainability and Environmental Implications

- 8.1. There are no implications for climate change, sustainability or the environment in terms of the information contained in this report.

9. Other Implications

- 9.1. The main risk associated with the Council's Capital Programme is an overspend. The risk had been assessed as low given the detailed project management plans prepared and monitored for each project. The risk of overspend is managed through four weekly Investment management meetings.
- 9.2. As previously advised to Committee, the Coronavirus (COVID-19) Pandemic has led to materials shortages, longer lead times and steep price increases and this will

continue to impact the supply chain for the foreseeable future. The impact of this will be monitored through the four weekly investment management meetings. Further updates will be reported in the coming months with any significant impact on projects being brought to Members' attention as appropriate.

10. Equality Impact Assessment and Consultation Arrangements

- 10.1. This report does not introduce a new policy, function or strategy or recommend a change to existing policy, function or strategy and, therefore, no impact assessment is required.
- 10.2. There was also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning

Executive Director (Finance and Corporate Resources)

12 January 2022

Link(s) to Council Values/Ambitions/Objectives

- Accountable, Effective, Efficient and Transparent

Previous References

- Executive Committee, 22 September 2021
- Executive Committee, 1 December 2021

List of Background Papers

Capital Ledger prints to 31 December 2021

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

Lorraine O'Hagan, Finance Manager (Strategy)

Ext: 2601 (Tel: 01698 452601)

E-mail: lorraine.o'hagan@southlanarkshire.gov.uk

Proposed Adjustments

As noted in section 9.2, as advised to Committee previously, the Coronavirus (COVID-19) Pandemic has led to materials shortages, longer lead times and price increases and this is expected to have an impact on the supply chain for the foreseeable future, therefore we will continue to report movements in the programme.

The proposed adjustments to the 2021/2022 Capital Programme (detailed in this Appendix) include the impact of the pandemic on projects. For any project where delivery has slipped, this is a timing issue only, and the project will be included in a future year programme as appropriate. A Capital Programme for 2022/2023 is currently being compiled for Members' approval before the new financial year. This Programme will be based on a realistic assessment of project spend/delivery that can be achieved in 2022/2023 and will include these slipped projects where appropriate.

Community and Enterprise Resources

Electric Vehicle Charging Infrastructure

Transport Scotland have awarded the Council grant funding of £0.048m to continue the expansion of electric vehicle charging infrastructure across South Lanarkshire. £0.048m
Approval is sought to increase the 2021/2022 Capital Programme by £0.048m to allow these works to progress.

Roads – Structures

The Scottish Government have awarded South Lanarkshire Council £2.783 million from the Local Bridge Maintenance Fund to undertake structural and repair works on the following projects: Ponfeigh Bridge (£1.591m), Daer Bridge (£0.596m) and Scrogton Bridge (£0.596m). This will be provided through the Council's General Capital Grant allocations in 2021/2022 (£1.721m) and 2022/2023 (£1.062m). £1.721m

The 2021/2022 Capital Programme already includes an allocation of £1.845m to allow the works at Ponfeigh to be undertaken this financial year. As a result of the Scottish Government funding announcement, approval is sought to replace £1.591m of this original Council allocation with Government funding. (£1.591m)

Switched on Fleet

The Energy Saving Trust have awarded South Lanarkshire Council £0.171 million to progress the installation of additional electric vehicle charging infrastructure. £0.171m

The 2021/2022 Capital Programme already includes an allocation of £0.325m to undertake these works. As a result of this additional funding announcement from the Energy Saving Trust, approval is sought to replace £0.171m of the original Council allocation with Government funding and then slip the Council's £0.171m and an additional £0.042m into 2022/23, in order to match project timescales. (£0.213m)

Country Parks – Horseshoe Bridge, Caldergleng

Approval is sought to slip £0.150m into the 2022/23 Capital Programme to reflect the revised timescales of the project to replace Horseshoe Bridge, within Caldergleng Country Park. Detailed design is nearing completion with physical works planned to commence early in 2022/2023. (£0.150m)

White Bridge Replacement, Chatelherault Country Park

Approval is sought to slip £0.221m into the 2022/23 Capital Programme to reflect the revised timescales of the project. An options appraisal is being carried out before the new bridge can be designed and programme of works prepared. (£0.221m)

Abington Campus for Enterprise

Approval is sought to increase the 2021/22 Capital Programme by £0.200m to reflect an additional award received of £0.200m from the Scottish Government's Regeneration Capital Grant Fund towards this project to extend and convert the former Abington schoolhouse into business and community space. £0.200m

£0.150m of this funding is not required until 2022/23 and should therefore slip into the 2022/23 Capital Programme to reflect the timing of spend. This will augment the original allocation from the Regeneration Capital Grant Fund (£0.880m) and a contribution from Clyde Windfarm (£0.711m) which was added to the Capital Programme previously. (£0.150m)

Strathclyde Passenger Transport (SPT) – Bus Infrastructure

An additional contribution of £0.020m has been received from SPT towards the costs associated with unforeseen ground conditions at Belstane Gait, Carlisle. This augments the original grant award of £0.100m currently included in the 2021/22 Capital Programme for this project. Approval is sought to increase the 2021/22 Capital Programme by £0.020m to reflect this additional award. £0.020m

Cambuslang Park & Ride

Approval is sought to increase the 2021/2022 Capital Programme to reflect a contribution of £0.060m from Link Group Limited towards the costs of removing Japanese Knotweed and to carry out drainage works at Cambuslang Park and Ride. £0.060m

Leisure Centres

Approval is sought to slip £0.480m into the 2022/2023 Capital Programme in respect of the Larkhall Leisure Centre project. A public consultation exercise will commence in February 2022, which will influence the design of the new facility. (£0.480m)

Hamilton Palace Grounds – Play Area

The tender returns for this project are currently being evaluated with a site start anticipated in March 2022. In order to reflect these timescales, approval is sought to slip £0.184m into the 2022/2023 Capital Programme. (£0.184m)

Renewal of Play Parks

The Community and Enterprise Resources Committee (28 September 2021) agreed that the most appropriate use of the 2021/2022 Scottish Government funding for the Renewal of Play Parks would be to employ two temporary Landscape Development Officers, to undertake the surveys of each of the 233 sites and develop a programme of works. The recruitment process is ongoing, and given timescales, approval is sought to slip £0.268m into the 2022/2023 Capital Programme. (£0.268m)

Cycling, Walking and Safer Routes

Approval is sought to slip £0.512m into the 2022/2023 Capital Programme to reflect the timescales for the East Kilbride Cycle Network project. The project will now be tendered prior to the end of the financial year with works completed into 2022/2023. (£0.512m)

City Deal – Cathkin Bypass

In order to align with the timescales for payment of the compensation claims associated with the new road, approval is sought to slip £0.678m into the 2022/2023 Capital Programme. (£0.678m)

Education Resources

Early Years 1140 Hours

Price increases in the construction industry have meant an increase of £0.540m in the anticipated budget requirement for the Early Years 1140 Programme. Funding of £0.540m has been identified within the Early Years 1,140 Hours Revenue Budget to assist in managing these increased costs. £0.540m

This funding will not be required until 2022/2023 therefore approval is sought slip this (£1.000m) and an additional £0.460m into 2022/23, in order to match project timescales.

Schools Information Computer Technology (ICT)

Approval is sought to allow slippage of £0.660m into the 2022/23 Capital programme in order to reflect the revised profile of spend for part of the ICT hardware refresh programme. (£0.660m)

Housing and Technical Resources

Prioritised Urgent Investment

In order to reflect the revised timescales associated with a number of projects within this multi-year programme of works, approval is sought to slip £0.680m into the 2022/2023 Capital Programme. (£0.680m)

Principal Offices – Fabric and Service Investment

Approval is sought to allow slippage of £0.650m into the 2022/23 Capital programme in order to reflect the revised timescales within council buildings. (£0.650m)

Social Work Resources

Blantyre Care Facility

Approval is sought to slip £1.200m into the 2022/23 Capital Programme to reflect the revised completion schedule for the new Care Facility in Blantyre. This project has been behind schedule due to delays experienced with the delivery of materials on site. The contractor has now revised the completion date by 5 weeks from end of May 2022 to end of June 2022. (£1.200m)

Minor Adjustments £0.000m

TOTAL ADJUSTMENTS (£5.877m)

APPENDIX 2

SOUTH LANARKSHIRE COUNCIL
CAPITAL EXPENDITURE 2021/22
GENERAL FUND PROGRAMME
FOR PERIOD 1 APRIL 2021 TO 31 DECEMBER 2021

£m

Total Budget	78.310
Proposed Adjustments – Period 10	(5.877)
Total Revised Budget	72.433

<u>Resource</u>	<u>2021/22 Budget</u>	<u>Proposed Adjustments</u>	<u>Revised 2021/22 Budget</u>
	<u>£m</u>	<u>£m</u>	<u>£m</u>
Community & Enterprise	44.115	(2.212)	41.903
Education	16.319	(1.120)	15.199
Finance & Corporate	3.176	-	3.176
Housing & Technical	8.146	(1.345)	6.801
Social Work	6.554	(1.200)	5.354
TOTAL CAPITAL PROGRAMME	78.310	(5.877)	72.433

APPENDIX 3

SOUTH LANARKSHIRE COUNCIL CAPITAL EXPENDITURE 2021/22 GENERAL FUND PROGRAMME FOR PERIOD 1 APRIL 2021 TO 31 DECEMBER 2021

	<u>2021/22</u> <u>Original</u> <u>Estimate inc</u> <u>C/F</u>	<u>2021/22</u> <u>Revised</u> <u>Budget</u>	<u>2021/22</u> <u>Budget to</u> <u>31/12/21</u>	<u>2021/22</u> <u>Actual to</u> <u>31/12/21</u>
Expenditure	£m	£m	£m	£m
General Fund Programme	85.066	72.433	37.532	37.252
Income	<u>2021/22</u> <u>Budget</u>	<u>2021/22</u> <u>Revised</u> <u>Budget</u>		<u>2021/22</u> <u>Actual</u> <u>To</u> <u>31/12/21</u>
	£m	£m		£m
Prudential Borrowing	40.289	25.408		25.408
Developers Contributions	4.474	2.382		2.123
Partners (Including SPT, Sustrans, Clyde Wind Farm and CARES)	4.647	4.949		1.042
Scottish Government:				
- Capital Grant	21.362	24.174		14.337
- Cycling, Walking and Safer Routes	1.912	1.400		0.285
- Vacant and Derelict Land	0.831	0.694		0.785
- Early Years 1,140 Hours	2.024	1.024		1.024
- Regeneration Capital Grant	1.230	1.280		1.318
- Town Centre Regeneration Fund	2.739	2.739		2.739
- Place Based Investment Programme	2.003	2.003		2.003
- Gaelic Capital Fund	0.038	0.038		0.038
- Department for Transport	0.000	0.118		0.000
- Gypsy Travellers	0.000	0.107		0.107
Glasgow City Region City Deal	2.386	2.780		0.000
Specific Reserves	1.024	1.642		1.642
Revenue Contribution	0.000	1.695		1.695
TOTAL FUNDING	85.066	72.433		54.546

APPENDIX 4

SOUTH LANARKSHIRE COUNCIL CAPITAL EXPENDITURE 2021/22 HOUSING PROGRAMME FOR PERIOD 1 APRIL 2021 TO 31 DECEMBER 2021

	<u>2021/22</u> <u>Annual</u> <u>Budget</u> £m	<u>2021/22</u> <u>Budget to</u> <u>31/12/21</u> £m	<u>2021/22</u> <u>Actual to</u> <u>31/12/21</u> £m
Expenditure			
2021/22 Budget Incl. adjustment from 2020/21	79.640	52.174	51.845

	<u>2021/22</u> <u>Annual</u> <u>Budget</u> £m	<u>2021/22</u> <u>Actual to</u> <u>31/12/21</u> £m
INCOME		
Capital Funded from Current Revenue	19.637	19.637
Prudential Borrowing	50.532	29.607
Scottish Government Specific Grant:		
- New Build	7.191	2.601
- Open Market Purchase Scheme	2.160	0.000
- Mortgage to Rent	0.120	0.000
	<u>79.640</u>	<u>51.845</u>

Report

5

Report to:	Executive Committee
Date of Meeting:	2 February 2021
Report by:	Executive Director (Finance and Corporate Resources)

Subject:	Additional Funding from Scottish Government and Other External Sources
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1. Purpose of Report

1.1. The purpose of the report is to:

- ♦ advise Members of additional funding that has been made available to the Council by the Scottish Government and other external sources since the last report to this Committee (1 December 2021).

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):

- (1) that the additional funding totalling £16.655 million, as detailed at Appendix 1 of the report, be noted.

3. Background

- 3.1. The Council is periodically advised of additional funding which is made available from the Scottish Government and other sources to enable various initiatives to be undertaken.
- 3.2. Additional funding may either be paid through the General Revenue Grant mechanism or by the completion of appropriate grant claims.
- 3.3. Details of the additional funding are attached at Appendix 1 to the report. The report details additional funding that has been reported by Resources as part of the additional resources notification process, as well as any additional funding that has increased the Council's budget by more than £0.100 million.

4. Employee Implications

4.1. None.

5. Financial Implications

- 5.1. Additional revenue funding of £15.292 million has been identified for 2021/2022 and £0.298 million for 2022/2023. In addition, capital funding of £1.075 million has been identified for 2021/2022. Total funding identified is £16.665 million.
- 5.2. Resource budgets will be updated to reflect this additional funding as required, and where appropriate, details of spending plans will be presented to Resource Committees for approval.

6. Climate Change, Sustainability and Environmental Implications

- 6.1. There are no implications for climate change, sustainability or the environment in terms of the information contained in this report.

7. Other Implications

- 7.1. There are no other implications in terms of the information contained in this report.

8. Equality Impact Assessment and Consultation Arrangements

- 8.1. This report does not introduce a new policy, function or strategy or recommend a change to existing policy, function or strategy and therefore no impact assessment is required.
- 7.2. There was also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning

Executive Director (Finance and Corporate Resources)

12 January 2022

Link(s) to Council Values/Ambitions/Objectives

- ◆ Accountable, Effective, Efficient and Transparent

Previous References

- ◆ Executive Committee, 1 December 2021

List of Background Papers

- ◆ Additional Funding Reports:-
22 October 2021 to 12 January 2022

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Additional Revenue Funding

Resource	Description	2021/2022 £m	2022/2023 £m	Total £m	Method
Community and Enterprise	COVID – Small Business Ventilation Fund – Business Support (incl Administration)	0.266	0.000	0.266	Scottish Government
Community and Enterprise	COVID - Business Support for Hospitality Top Up – December / January 2022 (incl Administration)	2.736	0.000	2.736	Scottish Government
Education	Funding to Support Gathering Information and Child Disability Payment	0.083	0.248	0.331	Scottish Government
Education	Teachers Induction (including Administration)	2.211	0.000	2.211	Scottish Government
Finance and Corporate	COVID - Local Self Isolation Assistance (January to March 2022)	0.141	0.000	0.141	Scottish Government
Finance and Corporate	COVID - £25m Winter Tackling Financial Insecurities	1.541	0.000	1.541	Scottish Government
Finance and Corporate	National Trauma Training Programme	0.050	0.050	0.100	Scottish Government
Social Work	Winter Pressures - Interim Care and Care at Home	6.023	0.000	6.023	Scottish Government
Social Work	Living Wage - Health and Social Care	2.081	0.000	2.081	Scottish Government
Social Work	Mental Health Capacity Officer	0.160	0.000	0.160	Scottish Government
	TOTAL REVENUE FUNDING	15.292	0.298	15.590	

Additional Capital Funding

Resource	Description	2021/2022 £m	2022/2023 £m	Total £m	Method
Community and Enterprise	UK Community Renewal Fund – Elevator – Business Support	0.875	0.000	0.000	Scottish Government
Community and Enterprise	Regeneration Capital Growth Fund – Abbingdon (additional funding)	0.200	0.000	0.000	Scottish Government
	TOTAL CAPITAL FUNDING	1.075	0.000	1.075	

Report

6

Report to: **Executive Committee**
 Date of Meeting: **2 February 2022**
 Report by: **Chief Executive**

Subject: **Recommendations Referred by Housing and Technical Resources Committee**

1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ request approval of the undernoted recommendations referred to this Committee by the Housing and Technical Resources Committee at its meetings on 8 December 2021 and 19 January 2022 (Special) respectively

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the revised South Lanarkshire Council Anti-Social Behaviour Policy be approved and implemented with effect from 1 March 2022.
- (2) that the proposed rent increase of 2.2% for all Council houses, Gypsy/Traveller sites, lockups and garage sites and the revenue estimate proposals for the Housing Revenue Account Budget 2021/2022 be endorsed and referred to the Council for approval; and
- (3) that, based on the rent increase of 2.2%, the 2022/2023 Housing Capital Programme, totalling £52.230 million, be endorsed and referred to the Council for approval.

3. Background

3.1 **Recommendations Referred by Housing and Technical Resources Committee of 8 December 2021 – South Lanarkshire Council Anti-Social Behaviour Policy Review 2021/2022 – Extract of Minute**

A report dated 25 November 2021 by the Executive Director (Housing and Technical Resources) was submitted on the proposed introduction of the revised Anti-social Behaviour Policy with effect from 1 March 2022.

The current Anti-social Behaviour Policy was introduced in September 2013 and had been developed in accordance with relevant legislation and regulatory requirements at that time. The 2021/2022 Policy Review Schedule, approved by this Committee at its meeting on 17 February 2021, included provision for a full review of the Council's Anti-social Behaviour Policy during 2021/2022.

The review aimed to ensure the policy was fully compliant with updated and new legislative and regulatory requirements. The review also considered the Council's overall approach to tackling anti-social behaviour and how it was managed across different services.

It was proposed that the revised policy would continue to focus on the prevention and management of anti-social behaviour, with the key changes noted in Section 5.1 of the report. The policy set out key aims and objectives that were consistent with the Council's visions.

A draft Anti-social Behaviour Policy was issued for formal consultation from 23 August to 22 October 2021 and published on the Council's website, with an online questionnaire to guide responses in relation to key aspects of the policy. The questionnaire also gave respondents the opportunity to provide additional comments or highlight areas they considered had been omitted.

In addition to the questionnaire, a range of consultation events and presentations or discussions with existing interested groups were taken forward by officers. Views were also sought from a number of specialist organisations which offered support and advice to vulnerable individuals and groups across South Lanarkshire.

As part of the consultation process, a special Elected Member Awareness Session was held on 4 October 2021 and provided elected members with an overview of the key changes proposed and the opportunity to ask senior officers questions and comment on the draft.

Another part of the consultative process was a peer review with Fife and East Ayrshire Councils. Both organisations provided comments and recommendations.

In addition to feedback from elected members, through the consultation, 102 responses had been received from both individuals and organisations and were detailed in the report.

Subject to endorsement by this Committee, the revised Anti-social Behaviour Policy would be submitted to the Executive Committee for approval on 2 February 2022. If approved by the Executive Committee, the revised policy would be implemented from 1 March 2022.

In response to members' questions, officers undertook to provide members with information on the duration of Short Scottish Secure Tenancies and how often they were used.

The Committee decided:

- (1) that the revised South Lanarkshire Council Anti-Social Behaviour Policy, attached as Appendix 1, be endorsed.

The Committee recommended to the Executive Committee:

- (2) that the revised policy be approved and implemented with effect from 1 March 2022.

[Reference: Minutes of 17 February 2021 (Paragraph 11)]

- 3.2. A link to the report submitted to the Housing and Technical Resources Committee of 8 December 2021 is provided below for information.
[Anti-Social Behaviour Policy Review 2021/2022](#)

3.3. Recommendations Referred by Housing and Technical Resources Committee of 19 January 2022 – Housing Revenue and Capital Account Budget 2022/2023 – Extract of Minute

A joint report dated 10 January 2022 by the Executive Directors (Finance and Corporate Resources) and (Housing and Technical Resources) was submitted on:-

- ♦ the Revenue Estimate proposals for the Housing Revenue Account (HRA) for 2022/2023 which had been prepared in line with the 30-year Business Plan
- ♦ the 2022/2023 Housing Capital Programme totalling £52.230 million

The HRA revenue budget submission for 2022/2023 had been prepared in line with the 30-year HRA Business Plan, developed to reflect investment priorities of maintaining and improving the housing stock to the Scottish Housing Quality Standard (SHQS) and the updated Energy Efficiency Standard for Social Housing (EESH2). The programme also included projects to increase the supply of Council housing as well as environmental works and legislative compliance programmes to ensure that all safety requirement standards were met.

The movement as compared to the existing 2021/2022 revenue budget was outlined in Appendix 1 to the report. The movements were summarised in the report.

The proposals took account of a bad debt provision of £3.405 million to continue to provide for the potential impact on rent collection and arrears, which included contingency for the ongoing uncertainty on the impact of Covid-19 on rental collections in the coming year and an allocation of £0.150 million for tenancy sustainment mitigation measures. Although this was an overall reduction from previous years' contingency levels, it was considered prudent within the current operational environment.

During the consultation process for 2022/2023, tenants had expressed support for continuing the temporary move away from the established approach of setting rents on a multi-year basis. To reflect the uncertainty of the ongoing impact of the COVID-19 pandemic, a 1-year rent increase of 2.2% had been proposed for 2022/2023. To achieve this level of rent increase, a re-profiling of the long-term investment priorities had been undertaken. Short-term investment priorities and current service levels would be maintained.

In line with the HRA Business Plan, a reduction of £0.268 million for efficiencies had been included within the Resources' Supervision and Management budget which would not affect the delivery of services to tenants.

Details of the proposed Housing Capital Programme, totalling £52.230 million, were provided in Appendix 2 to the report and information on how the Programme would be funded was summarised in the report.

A wide-ranging consultation had been carried out with tenants and other stakeholders and details of the consultation process and associated outcomes were provided in the report.

Officers responded to members' questions on various aspects of the report.

The Committee recommended to the Executive Committee:

- (1) that the proposed rent increase of 2.2% to all Council houses, Gypsy/Traveller sites, lockups and garage sites and the revenue estimate proposals for the HRA, as detailed in Appendix 1 to the report, be endorsed; and
- (2) that, based on the rent increase of 2.2%, the 2022/2023 Housing Capital Programme of £52.230 million, as detailed in Appendix 2 to the report, be endorsed.

[Reference: Minutes of South Lanarkshire Council of 24 February 2021 (Paragraph 3)]

- 3.4. A link to the report submitted to the Special Housing and Technical Resources Committee of 19 January 2022 is provided below for information.

[Housing Revenue and Capital Account Budget 2022/2023](#)

4. Employee Implications

- 4.1. Any employee implications were raised in the original reports to the Housing and Technical Resources Committee.

5. Financial Implications

- 5.1. All financial implications have been highlighted as part of the original reports to the Housing and Technical Resources Committee.

6. Other Implications

- 6.1. Any implications have been highlighted as part of the original reports to the Housing and Technical Resources Committee.

7. Equality Impact Assessment and Consultation Arrangements

- 7.1. No equality impact assessment or consultation is required in terms of the proposals contained within this report.
- 7.2. Consultation on the draft Anti-social Behaviour Policy took place between 23 August and 22 October 2021
- 7.3. Consultation with both tenants and members to agree the annual rent rises required to fund the Housing Business Plan had previously taken place in terms of the recommendations considered by the Housing and Technical Resources Committee.

Cleland Sneddon
Chief Executive

19 January 2022

Link(s) to Council Values/Ambitions/Objectives

- ◆ Fair, open and sustainable
- ◆ Accountable, effective, efficient and transparent

Previous References

Housing and Technical Resources Committee 8 December 2021

Housing and Technical Resources Committee 19 January 2022

List of Background Papers

None

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Report

7

Report to:	Executive Committee
Date of Meeting:	2 February 2022
Report by:	Executive Director (Community and Enterprise Resources)

Subject:	Jackton Primary School – Full Business Case Glasgow City Region City Deal - East Kilbride Community Growth Area
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1. Purpose of Report

1.1. The purpose of the report is to: -

- ◆ Set out the Full Business Case (FBC) for the new Jackton Primary School element of the East Kilbride Community Growth Area City Deal project.
- ◆ Advise Committee on the next steps in progressing the project.

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s): -

- (1) That the Jackton Primary School Full Business Case be approved;
- (2) That grant funding be sought in accordance with the City Deal grant distribution process outlined in the Assurance Framework in relation to the delivery of the project with the precise arrangements to be agreed by the City Deal Chief Executive's Group and City Deal Cabinet; and
- (3) That the need to forward fund an element of the construction works, until developers' contributions are received, be agreed.

3. Background

- 3.1. The Council is 1 of 8 local authorities who, with the UK and Scottish Governments, are signatories to the £1.13 billion Glasgow City Region City Deal.
- 3.2. The City Deal is made up of a list of 20 key infrastructure projects that are intended to collectively drive economic growth across the Glasgow City Region area.
- 3.3. The Community Growth Areas (CGA's) is one of four South Lanarkshire projects among the list of twenty. East Kilbride Community Growth Area is one of the 4 CGAs that have been combined to form the CGA project – the other CGA's being located in Newton, Hamilton and Larkhall.
- 3.4. The East Kilbride CGA comprises a number of individual education, transport and community infrastructure projects which will be delivered over a timeframe of up to 12 years which will in turn 'create' capacity to accommodate the increase in population from housebuilding of initially up to 2,600 new homes. The proposed Jackton Primary School is one of the projects to be brought forward to Full Business Case stage at East Kilbride CGA.

- 3.5. Governance arrangements for the City Deal initiative are defined within the associated City Deal Assurance Framework. This sets out requirements for the development of Business Cases for individual projects. The first level of business case was the Community Growth Areas Strategic Business Case, and this was approved by the Executive Committee on 26 August 2015 and the second level business case, the East Kilbride CGA Outline Business Case, was approved by the Executive Committee on the 15 August 2018.
- 3.6. Full Business Cases for each project require to be formally approved via
- ◆ The Member Authority Committee process
 - ◆ The City Deal approved procedures
- 3.7. On 18 August 2015 the City Deal Cabinet approved Strategic Business Cases for all the Council's City Deal Projects and on the 14 August 2018 the Outline Business Case for East Kilbride CGA was approved.

4. Current Proposal

- 4.1. A Full Business Case (FBC) has now been produced for the Jackton Primary School element of the East Kilbride CGA project. Jackton PS will be a new build school serving the future residents of the CGA development and the surrounding area. It will be located on the western side of the East Kilbride CGA area, on a site provided at no cost to the Council from the CGA developer consortium. The planned outputs for the Jackton Primary School Extension project are a new 4,751 square metre facility comprising:-

- ◆ 17 mainstream classrooms and 2 general purpose areas
- ◆ 4 Additional Support Needs (ASN) classrooms
- ◆ Early learning and childcare (ELC) facilities for up to 80 three/four-year olds and 15 two-year olds.
- ◆ 7 a side floodlit football pitch which can be utilised for community lets out with school hours

- 4.2. The delivery of Jackton Primary School, starting in 2022, is a necessary pre-requisite project for delivery of the full CGA development and enable its completion earlier than planned. The CGA is focused on development of family-style houses, for which provision of accessible education, in particular, a primary school, is a key selling point. The total estimated population at the new East Kilbride CGA is up to 8,500 people, of which 623 are expected to be of primary school age.

- 4.3. The project was originally issued for tender in early 2020 and tenders were returned during the first Covid-19 lockdown in spring of 2020. Development of enabling infrastructure by the developer consortium at the CGA did not proceed as planned due to pandemic restrictions and the delivery of private housing was delayed. As this would directly impact the generation of pupil numbers within the catchment area the tender was not accepted, and a decision was made to delay construction work until new homes were being delivered at the site.

- 4.4. This FBC continues to make the case for East Kilbride CGA project in terms of:

- ◆ Strategic Fit
- ◆ Commercial Case
- ◆ Economic Case
- ◆ Financial Case
- ◆ Management Case

The Executive Summary of the FBC is contained in Appendix 1

- 4.5. The critical success factors against which the Jackton PS project will be judged are:-
- ◆ provision of education infrastructure (Jackton PS) to meet the needs of new residents without having an adverse impact on existing communities
 - ◆ the integration of the new Jackton PS into the existing school estate with its established administration and management team
 - ◆ the delivery of the construction contract on-time and within budget

This project will deliver on these requirements.

- 4.6. The production of the Full Business Case is the final City Deal approval milestone for this project element of the East Kilbride CGA project and provides the basis for full delivery and construction of the school extension.
- 4.7. The contractor to deliver this project has been identified via a competitive tender process. The approval of this Full Business Case is expected to release funding to allow construction work to be completed in time for the new August 2023 term.

5. Employee Implications

- 5.1. There are no direct employee implications from this project as existing resources within Planning and Economic Development Services are currently tasked with the management and delivery of this City Deal project.

6. Financial Implications

- 6.1. The project budget for the Jackton Primary School project is £18.11million. Project costs include professional fees, construction works, contingency, community benefit monitoring and evaluation. SLC Education have included an allowance in the £18.11m budget for operational fixtures, fittings and equipment when the main building works are complete.
- 6.2. Project costs have increased since the original tender was received due to tender cost inflation within a construction marketplace that is currently constrained by labour and supply chain issues. Additional City Deal funding has been identified from cost savings being generated at other projects within the City Deal CGA programme. The project will be funded by Glasgow City Region City Deal (£10.936 million) with the balance of £7.174 million made up of developer contributions from the private housebuilders delivering new homes for sale in the area. The City Deal funding element is made up from an 86% UK / Scottish Government contribution (£9.405 million) with the Council contributing the 14% balance (£1.531million) in line with the grant funding agreement for City Deal projects.
- 6.3. The developers' contributions will be paid to the Council on an annual basis as development is progressed as houses are certified by the Council as being complete and ready for occupation. Based on the spend profile of the new school and the predicted completions provided by the developers, it is anticipated that the profile of the receipt of the developers' contribution will be later than the school spend profile, and therefore the Council will require to forward fund an element of the construction works until these developer contributions are received.
- 6.4. As a result, the Council will need to fund up to £4.6m for a 5-year period. If the Council borrows to do this, the cost will be an average of £0.086m per annum. These costs will be met within the overall budget for the construction of the school. If developer contributions received are less than estimated, alternative funding will be required, however this risk is considered low given the positive pace of house sales in that area to date.

7. Climate Change, Sustainability and Environmental Implications

7.1. The statutory processes associated with the approval of the East Kilbride CGA have considered the following climate change, sustainability and environmental implications and are subject to monitoring and review as detailed proposals for each phase of the development progress: -

- ◆ encourage energy efficiency through the orientation and design of buildings
- ◆ choice of materials and the use of low and zero carbon generating technologies
- ◆ support sustainable water resource management
- ◆ support sustainable waste management
- ◆ consider the lifecycle of the development
- ◆ encourage the use of sustainable and recycled materials in construction
- ◆ support habitat connectivity consider active travel and sustainable transport links.

8. Other Implications

8.1. Risk - The City Deal Cabinet has a Risk Management Strategy applied across all City Deal projects. The purpose of this Risk Management Strategy is to provide a systematic and effective method by which risks can be consistently managed. It adopts a best practice approach, describes the specific risk management techniques and standards to be applied and the responsibilities for achieving effective risk management.

8.2. The Council is required to apply this City Deal Risk Management Strategy across each of its City Deal projects to ensure consistency across all City Deal projects. This will be a key tool in mitigating project risks and thereby limiting our financial risk associated with the Council's 14% share of City Deal project costs. A robust monitoring system has also been put in place to ensure that early warnings' are provided, and appropriate action taken.

9. Equality Impact Assessment and Consultation Arrangements

9.1. This report does not introduce a new policy, function or strategy or recommend a significant change to an existing policy, function or strategy, and, therefore, no impact assessment is required. Consultation has taken place with relevant Resources within the Council and the CGA developers. In addition, consultation was undertaken with the wider community through the planning process.

Alistair McKinnon

Interim Executive Director (Community and Enterprise Resources)

14 January 2022

Link(s) to Council Values/Ambitions/Objectives

- ◆ Get it right for children and young people
- ◆ Promote economic growth and tackle disadvantage
- ◆ Improve the availability, quality and access of housing
- ◆ Improve the road network, influence improvements in public transport and encourage active travel
- ◆ Work with communities and partners
- ◆ Improve achievement and attainment

Previous References

- ♦ Executive Committee, 26 August 2015 – City Deal – South Lanarkshire Council, Community Growth Areas, Strategic Business Case
- ♦ Executive Committee, 15 August 2018 – Glasgow City Region City Deal –East Kilbride Community Growth Area, Outline Business Case

List of Background Papers

- ♦ City Deal, East Kilbride Community Growth Area – Jackton Primary School Extension, Full Business Case

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Appendix 1

Executive Summary

- i. This Full Business Case 1 (FBC1) is for £10.936,000 City Deal funding – £9,404,960 City Deal (86%) and £1,531,040 SLC (14%) – to enable development of Jackton Primary School (Jackton PS) at the East Kilbride Community Growth Area (CGA), accounting for the Jackton Primary School element of the East Kilbride CGA project proposals in the OBC. This FBC continues to build the case from the Strategic Business Case (SBC) which secured approval for £62.3m across South Lanarkshire's four CGAs and from the Outline Business Case (OBC) approved in August 2018 which secured approval for £11.6 million City Deal funding to develop the East Kilbride CGA project, comprising off-site education, community and transport infrastructure.

The Strategic Case

- ii. As presented in the OBC, there is a critical need for investment in education infrastructure to allow the development of up to 2,600 new homes at East Kilbride CGA.
- iii. The strategic aim of the East Kilbride CGA project element is:

“to secure the delivery of 2,600 new homes at an advanced market-driven development rate that will accommodate 8,500 residents and increase the sustainability of the local community. In the longer term this will support improvement in GVA in South Lanarkshire by working with housing developers to build new, high quality, affordable homes (including social housing) to meet the current South Lanarkshire needs and the demand arising from our growing and ageing population.”

- iv. The planned outputs from Jackton PS are a new 4,751 square metre facility comprising:
 - 17 mainstream classrooms and 2 general purpose areas
 - 4 Additional Support Needs (ASN) classrooms
 - Early learning and childcare (ELC) facilities for up to 80 three/four-year olds and 15 two-year olds.
 - 7 a side floodlit football pitch which can be utilised for community lets out with school hours

SLC will have ongoing responsibility for the management and maintenance of the extended school and nursey, as defined in the financial appraisal, the revenue operating costs have been estimated and are acknowledged by SLC.

- v. Delivery of the Jackton PS extension, starting in 2022, is a necessary pre-requisite project for delivery of the full CGA development and enable its completion 7 years earlier than planned. The CGA is focused on development of family-style houses, for which provision of accessible education (in particular primary school) is a key selling point. The total estimated population at the new East Kilbride CGA is up to 8,500 people, of which 623 are expected to be of primary school age.
- vi. As reported in the OBC (and evidenced in the development profiles at Appendix 4), the counterfactual arrangement is that the CGA development will be less attractive to purchasers and it will take until 2034 before there have been sufficient S75 payments to fund delivery of primary school infrastructure (i.e. from 1,400 market homes) at Jackton PS (new build) and Our Lady of Lourdes PS (extension).

The Economic Case

- vii. The economic case presents and test a series of alternative options – including the counterfactual or ‘do nothing’ options, before a preferred solution that meets the identified needs and delivers Value for Money for the public sector.

- viii. The only viable options were identified as being around the activity of the project proposal i.e. either extend existing primary schools or build a new stand-alone primary school. Through analysis of the local school portfolio and potential to accommodate an extension, Jackton PS, a new primary school, was identified as the best option for EK CGA. The estimated cost of delivering school and nursery extension projects did not offer value for money and was therefore discounted.

The Commercial Case

- ix. Delivery of additional capacity in the non-denominational primary school system and ELC nursery requirement is identified as critical to delivering the full CGA over 12 years by meeting the needs of the key partners – new and existing local residents (by releasing capacity), SLC and City Deal partners (by delivering additional economic value) and housebuilders/ house purchasers (by creating a more attractive development proposition).
- x. City Deal funding has been identified as the only viable source for delivery of the critical off-site infrastructure works (schools/ roads) needed to bring forward the development earlier than planned.
- xi. This FBC is for £10.936,000 City Deal funding – £9,404,960 City Deal (86%) and £1,531,040 SLC (14%) – to enable development of the new Jackton primary school. The project enables the ongoing development of homes at East Kilbride, meeting an identified shortfall of housing across the city region.
- xii. Within the total CGA development the private housebuilder will deliver follow-on investment of £340m in on-site infrastructure and private housing construction, plus Section 75 contributions of up to £23.3m. The gross total follow on investment at East Kilbride CGA is therefore £363.3m.

The Financial Case

- xiii. Following a competitively tendered procurement exercise, the cost of delivering Jackton PS and Nursery is estimated at £18.11m (including design, consents, contingency, M&E, etc.), with £10.936 of City Deal financial support accounting for 84.5% of the total approved East Kilbride CGA City Deal allocation. Based on the spend profile of the new school and the predicted completions provided by the developers, it is anticipated that the Council will require to forward fund an element of the construction works, until these developer contributions are received. The costs of forward funding will be met from the project budget.
- xiv. SLC will take on the additional maintenance responsibilities at Jackton PS in perpetuity, following completion of the new school building. These costs will be funded from existing Council revenue and capital budgets, as the need arises.
- xv. The revenue implications of the Primary School and Nursery expansion will form part of the Council's future budget considerations.
- xvi. SLC has ultimate responsibility for cost over-runs beyond the level of financial budget/ approval for the CGAs – an allowance of 2.5% has been made for Contingencies within the costs. VAT has been treated as recoverable.

The Management Case

- xvii. The management and delivery of Jackton PS will be contained within the remit of SLCs CGA Programme. East Kilbride CGA will be managed by SLC in accordance with the standards required by the City Deal governing principles. Project roles have been assigned to Senior Responsible Officer, Project Sponsor, Project Manager and an internal Project Team. Community and Enterprise Services within SLC will lead the delivery of the project, ensuring alignment against other SLC CGA and Roads projects.
- xviii. SLC Planning & Economic Development Services will have responsibility for the long-term monitoring and evaluation of the project benefits, including community benefits, in

line with the Monitoring & Evaluation Plan, using in-house resource and supplemented by external specialist consultants as required. The economic impact model developed to appraise the gross and net benefits of the alternative options, allows for the activities to be measured and performance tracked against the forecast profile.

- xix. The key objectives and critical success factors (as defined in the Strategic Case) have ensured that the development of the East Kilbride CGA is embedded in a holistic approach to sustainability – environmental, economic, social and community – and the financial appraisal confirms that the project is financially sustainable, albeit with a need for future (planned) investment by the private sector to deliver the new houses.

Report

8

Report to:	Executive Committee
Date of Meeting:	2 February 2022
Report by:	Executive Director (Housing and Technical Resources)

Subject:	Land and Property Transfers and Disposals
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ advise the Executive Committee of the actions required in respect of land and property transactions

2. Recommendation(s)

2.1. The Executive Committee is asked to approve the following recommendation(s):-

- (1) that the land and property as detailed in Appendix A be transferred between Resources
- (2) that the land detailed in Appendix B be declared surplus to Council requirements

3. Background

- 3.1. Details of land and property, which is potentially suitable for redevelopment or disposal, are circulated to all Resources and Community Planning Partners to establish if they are suitable for alternative operational use.
- 3.2. The recommendation for the transfer between Council Resources, of the assets detailed on Appendix A, is made following the consideration of planning, title and other legal constraints and Council priorities.
- 3.3. Transactions where there is a requirement to declare land surplus to enable negotiations for minor or adjoining sales to progress are detailed on Appendix B.
- 3.4. These transactions are only included in the report after successful consultation with the holding Resource(s) and Planning.

4. Property Transactions

4.1. Housing Services have undertaken development assessments on two sites, which were included in previous Property Bulletins, and have now requested that these be transferred from the General Service Account to the Housing Revenue Account (HRA) for inclusion within the Housing New Build Programme. The exact date of transfer is still to be agreed. The relevant sites are:-

- Former Hollandbush Nursery (now demolished), Mill Road, Hamilton
- Former Home, Kirkton House, Main Street, High Blantyre

5. Minor Disposals

- 5.1. The schedule shown within Appendix B identifies proposed transactions where the holding Resource and Planning have agreed to the release of minor areas of land for disposal.
- 5.2. The Committee is asked to approve the recommendation that these areas of land are declared surplus to the Council requirements in order that the Estates Section can conclude negotiations for their disposal.

6. Employee Implications

- 6.1. There are no employee implications.

7. Financial Implications

- 7.1. In terms of Scottish Government Guidance, a disposal of land to and from the Housing Revenue Account must be done at the best consideration that the site could reasonably be expected to achieve. The value and date of transfer will be dependent on Demolition costs and Funding.
- 7.2. The minor disposals will generate capital receipts for the Housing Revenue Account and General Services account respectively.

8. Other Implications

- 8.1. There is a low risk that the capital receipts anticipated, as a result of declaring properties surplus, may not be realised if, for reasons outwith the Council's control, the purchasers choose not to proceed with the transactions. However, the procedures and consultations described in Sections 3 and 9 of this report have been implemented to minimise this risk.

9. Climate Change, Sustainability and Environmental Implications

- 9.1. There are no issues in relation to climate change, sustainability and environment contained within this report.

10. Equality Impact Assessment and Consultation Arrangements

- 10.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and therefore no impact assessment is required.
- 10.2. Consultation was undertaken with Planning, Roads, Legal, and Housing Services.

Daniel Lowe

Executive Director (Housing and Technical Resources)

5 January 2022

Link(s) to Council Values/Ambitions/Objectives

- ◆ Achieve results through leadership, good governance and organisational effectiveness

Previous References

- ◆ Executive Committee

List of Background Papers

- ◆ Plans of the land and property referred to in this report

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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APPENDIX A

TRANSFERS

Area	Description	Previous Account	New Holding Account	Transfer	Value Band
2,201 sq m	Former Hollandbush Nursery, Mill Road, Hamilton	Education	Housing Revenue Account	2021-22	3
5,662 sq m	Kirkton House, Main Street, High Blantyre	Social Work	Housing Revenue Account	2021-22	3

Value Bands

1 – over £1 million

2 – £500,000 to £999,999

3 – £100,000 to £499,999

4 – less than £100,000

APPENDIX B

DECLARE SURPLUS

Area	Description	Holding Account	Proposal	Value Banding
108 sq m	41 Covenant Crescent, Larkhall	Housing	Garden Ground	4
6 sq m	6 Braidley Crescent, East Kilbride	Housing	Garden Ground	4
211 sq m	Springbank House, West Mains Road, East Kilbride	Community & Enterprise	Access/Garden Ground	4
129 sq m	72 Hillview Drive, Blantyre	Housing	Garden Ground	4
98 sq m	78 Union Street, Hamilton	Community & Enterprise	Garden Ground	4

Value Bands

1 – over £1 million

2 – £500,000 to £999,999

3 – £100,000 to £499,999

4 – less than £100,000

Report

Report to:	Executive Committee
Date of Meeting:	2 February 2022
Report by:	Director, Health and Social Care

Subject:	South Lanarkshire Integration Scheme Update
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1. Purpose of Report

1.1. The purpose of the report is to:

- ◆ Seek approval of the updated South Lanarkshire Integration Scheme

2. Recommendation(s)

2.1. The Executive Committee is asked to approve the following recommendation(s):

- (1) That the updated South Lanarkshire Integration Scheme, attached as Appendix 1 to the report, be approved.

3. Background

- 3.1. As part of the requirements of the Public Bodies (Joint Working) (Scotland) Act 2014 (thereafter referred to as The Act), councils and NHS Boards are required to review their Integration Scheme at least once every five years.
- 3.2. The purpose of the Integration Scheme is to set out the rules of engagement/workings of Health and Social arrangements; and importantly the functions which the Council and NHS Board choose to delegate to the Integration Joint Board (IJB) from a strategic oversight perspective. The Act stipulates that delegated functions will, as a minimum, cover adult Health and Social Care but can, with agreement of the Parties, include other areas of service.
- 3.3. Following approval through both South Lanarkshire Council's Executive Committee and NHS Lanarkshire Board, the South Lanarkshire Integration Scheme was submitted for approval to the Scottish Parliament in June 2015 and given assent in September 2015.
- 3.4. Although reviews of Integration Schemes were due last year, the Scottish Government, in light of the need to prioritise the response to the COVID pandemic, had asked that councils and NHS Boards, who had not reviewed their Integration Schemes within this window, undertake a very light touch review. The rationale being that where they planned a more wholesale re-look at their Scheme, then this could be done at a point where a degree of normality had returned. Following this, further correspondence was received by the Scottish Government in August 2021 (to IJB Chief Officers and NHS and Local Authority Chief Executives) outlining the requirement to review and revise Integration Schemes. The letter was set within the context of the Public Bodies Act 2014, in that Local Authorities and NHS Boards are required to review their Integration Schemes every five years as a minimum,

ensuring that this process is undertaken with relevant stakeholder engagement/consultation.

3.5. In responding to the first ask by the Scottish Government, South Lanarkshire Council and NHS Lanarkshire undertook a light touch review over the summer of 2020. This review was consulted upon, presented to and approved by the Council's Executive Committee and the NHS Lanarkshire Board in September and October 2020 respectively. It was anticipated that a fuller review of the Integration Scheme would follow in 2021 and, in particular, when the demands of the pandemic had settled and a degree of normalisation had resumed. In responding to the second ask detailed in the Scottish Government letter of August 2021, a commitment had already been made within the papers to the Executive Committee and the NHS Board in 2020 that a fuller review of the Integration Scheme would follow in this year.

3.6. This report sets out the work that has been undertaken recently in response to this.

4. Current Position and Next Steps

4.1. Since the last update on the Integration Scheme to the Council's Executive Committee (September 2020), a number of important strategic imperatives have come about, not least the Independent Review of Adult Social Care (IRASC) and the recent consultation on a National Care Service. These potentially have significant implications for many of the current integration arrangements as set out in the Integration Scheme (as have been previously considered by Council Members through a number of detailed briefings by the Council's Chief Executive).

4.2. Against this backdrop and following discussions between the Corporate Management Teams of the NHS Board and Council, a pragmatic approach has been adopted to reviewing the Integration Scheme given the wider policy context and evolving national picture. Senior officers of both the NHS Board and South Lanarkshire Council committed to a targeted and technical review of the current Scheme to be undertaken and submitted by the year-end. This essentially involved a sense check to see if anything from a terminology perspective had changed since the 2020 review; and undertaking the necessary consultation alongside this to seek the views of stakeholders regarding whether any changes to the scheme were necessary or desirable. This consultation has been embedded as part of the current work to develop the new Strategic Commissioning Plan (SCP) for the South Lanarkshire IJB, given that all stakeholders are involved in this process (which conforms to the National Standards for Community Engagement and Planning with People Guidance). This involved over 27 dedicated sessions/events which included discussions around the current/future priorities and also where improvement could be made to current integration arrangements. Aligning to this, members of the Strategic Commissioning Group (whose membership includes the necessary statutory consultees for this process) were also consulted on the current Integration Scheme to highlight any potential gaps or changes that required consideration by both the NHS Board and Council. All of this activity has informed the review and the revised Integration Scheme set out in appendix 1.

4.3. Consequently this further review has focused on updates as summarised below:

- Changes to terminology to reflect the 'here and now' position
- No material changes have been proposed to delegated functions, and therefore from a financial perspective the IJB budget still remains within the parameters of the original and agreed delegated functions when the Integration Scheme was first approved in 2015

- Where actions/commitments have now been completed, the Integration Scheme has been updated, alongside any target dates

4.4. This work has benefitted from additional oversight provided by the Depute Chair of the IJB (who also had acknowledged specialist expertise from a prior role in local government). They have been supported by the Health & Social Care Partnership's Head of Commissioning and Performance; strategic planning staff of both the NHS Board and the Council; the Chief Finance Officer for the IJB; and the Council's Legal Services Manager. There has also been further input of other senior staff from across both organisations and consultation with members of the Strategic Commissioning Group on the content prior to changes being finalised for consideration.

4.5. In summary, the changes made to the Integration Scheme build upon the previous review undertaken in 2020; and whilst they do not constitute any significant change or deviation from the original version presented in 2015, this should not be confused with the level and breadth of review undertaken. Appendix 1 provides a copy of the proposed Integration Scheme. Once approved, this will be sent to the Scottish Government for sign off.

4.6. Given that both the Council and NHS Board require to approve the Integration Scheme, a similar process and discussion will take place at NHS Lanarkshire's Board meeting on 26 January 2022.

5. Employee Implications

5.1. There are no employee implications associated with this report.

6. Financial Implications

6.1. None, as all current delegated functions remain unchanged from the original Integration Scheme.

7. Climate Change and Sustainability

7.1 There are no climate change or sustainability issues associated with this report

8. Other Implications

8.1. There are no risk implications associated with this report.

8.2. There are no other issues associated with this report.

9. Equality Impact Assessment and Consultation Arrangements

9.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and, therefore, no impact assessment is required.

Soumen Sengupta
Director, Health and Social Care

20 January 2022

Link(s) to Council Objectives/Values/Ambitions

- ◆ Improve later life
- ◆ Protect vulnerable children, young people and adults
- ◆ Deliver better health and social care outcomes for all

Previous References

- ◆ Executive Committee 11 February 2015
- ◆ Executive Committee 23 September 2020

List of Background Papers

- ◆ None

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:

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South Lanarkshire

Health and Social Care Integration Scheme

January 2022

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Introduction

The Public Bodies (Joint Working) (Scotland) Act 2014 (thereafter known as The Act) requires Health Boards and Local Authorities to integrate planning for, and delivery of certain adult health and social care services. This document sets out the agreement through which NHS Lanarkshire Health Board and South Lanarkshire Council do this.

The creation of an integrated Partnership required South Lanarkshire Council and NHS Lanarkshire Health Board to undertake a significant change agenda with the aim of creating services and supports which build on a solid foundation of success to date.

The overall aim of the arrangement is the creation and continuation of a Partnership which further improves outcomes for people who use health and social care services and their carers. Therefore, a primary focus of the Partnership will be delivering on the nine national health and wellbeing outcomes of:

- People are able to look after and improve their own health and wellbeing and live in good health for longer
- People, including those with disabilities, long term conditions, or who are frail, are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community
- People who use health and social care services have positive experiences of those services and have their dignity respected
- Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services
- Health and social care services contribute to reducing health inequalities
- People who provide unpaid care are supported to look after their own health and wellbeing, including to reduce any negative impact of their caring role on their own health and wellbeing
- People who use health and social care services are safe from harm
- People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide
- Resources are used effectively and efficiently in the provision of health and social care services

From a South Lanarkshire perspective, our local vision reflects and underpins the higher level national outcomes. This is evidenced by the progress towards a personal outcomes approach which involves working with people to jointly agree how we support them to meet their aspirations and goals in life. Consequently, this drive towards supporting people to meet their outcomes has resulted in a shared partnership vision based upon:

“Working together to improve health and wellbeing in the community – with the community”

In pursuit of this vision, and central to our philosophy, will be the following commitments:

- We will focus on promoting health improvement and tackling the underlying causes of ill - health
- We will continue to develop a health and social care system which is integrated around the needs of individuals, their carers and family members
- We will be working with people, their carers and families who have a range of complex support needs to identify the outcomes they want to achieve in life. In doing so, our aim will be to provide care and support to help them realise these outcomes
- We will put the leadership of clinicians and professionals at the heart of service delivery for people who require support and their carers

- We will work with partners in the third and independent sectors to remove unhelpful boundaries and using combined resources to achieve maximum benefit for patients, service users, carers and families
- We will work with a range of agencies and partners to address health and social inequalities and the subsequent impact of this experienced by people in their communities

The following detail provides information relating to ‘how’ the Partnership has been created to deliver against the national outcomes and intentions of the Act. The Integration Scheme is the vehicle through which assurance is given to South Lanarkshire Council, NHS Lanarkshire Health Board and the Scottish Government that the intentions of the Act are being delivered by the Integration Joint Board. The Integration Scheme forms the basis of a legal agreement with the Government and lasts for a maximum duration of five years, after which point it will be refreshed. However, in circumstances where there is agreement between the parties, the Integration Scheme can be refreshed within an earlier timeframe.

The Scheme came into effect in April 2016. A desktop review of the content of the Integration Scheme was undertaken in 2020 and approved at both South Lanarkshire Council’s Executive Committee and Lanarkshire NHS Board in September and October of 2020 respectively. This review focused mainly on updating terminology to reflect the ‘here and now’ position as it was then. No material changes were proposed to delegated functions. Similarly, the review undertaken in 2021 provided a further sense check to the work already completed in 2020; and did not propose any changes to the delegated functions.

1. The Parties

- **South Lanarkshire Council**, established under the Local Government etc (Scotland) Act 1994 and having its principal offices at Almada Street, Hamilton, ML3 0AA (hereinafter referred to as the Council).

And

- **NHS Lanarkshire Health Board**, established under section 2 (1) of the National Health Service (Scotland) Act 1978 and having its principal offices at Kirklands, Fallside Road, Bothwell, G71 8BB (hereinafter referred to as the NHS Board) (together referred to as the Parties).

In the implementation of their obligations under the Act, the Parties hereby agree as follows:

2. Definitions and Interpretations

2.1 There are a number of definitions which require to be interpreted and understood consistently within this Integration Scheme as follows:

The Act – means the Public Bodies (Joint Working) (Scotland) Act 2014

Appropriate Person – means a member of the NHS Board but does not include any person who is both a member of the NHS board and a councillor

The Parties – means South Lanarkshire Council and NHS Lanarkshire Health Board

NHS Board – means NHS Lanarkshire Health Board

The Council – means South Lanarkshire Council

Integration Joint Board – means the Integration Joint Board established by Order under section 9 of Act

The Integration Scheme – refers to this particular document and the detail and is a direct response to the requirement of the Act

Strategic Commissioning Plan – means the plan which the Integration Joint Board is required to prepare and implement in relation to the delegated functions of health and social care services in accordance with section 29 of the Act

Outcomes – refer to the nine nationally set outcomes prescribed by the Scottish Ministers under section 5 (1) of the Act

References to sections of the Act or other Pieces of Legislation – will also mean references to any subsequent amendments to the Act or other pieces of legislation

3. Integration Model

- 3.1 The Council and NHS Board have implemented a *body corporate* model for the integration of health and social care services. Under Section 1(4)(a) of the Act this involves the delegation of functions by the Council to a body corporate that has been established by order under section 9 (an Integration Joint Board) and delegation of functions by the NHS Board to the Integration Joint Board.

4. Local Governance Arrangements

- 4.1 The Council and NHS Board each appoints 4 representatives to be voting members of the Integration Joint Board in accordance with the requirements of the Public Bodies (Joint Working) (Integration Joint Boards) (Scotland) Order 2014 SSI no 285. The Integration Joint Board members appointed by the parties hold office for a period of 3 years. Integration Joint Board members appointed by the parties will cease to be members of the Integration Joint Board in the event that they cease to be nominated representatives of the NHS Board or an Appropriate Person or a Councillor of the Council in terms of the Public Bodies (Joint Working) (Integration Joint Boards) (Scotland) Order 2014 SSI no 285.
- 4.2 The Integration Joint Board also includes the following in terms of the Public Bodies (Joint Working) (Integration Joint Boards) (Scotland) Order 2014 SSI no 285:
- ◆ the Chief Social Work Officer of the Council
 - ◆ the Chief Officer of the Integration Joint Board
 - ◆ the proper officer of the Integration Joint Board appointed under section 95 of the Local Government (Scotland) Act 1973
 - ◆ a registered medical practitioner whose name is included in the list of primary medical services performers prepared by the Health Board in accordance with the regulations made under section 17P of the National Health Service (Scotland) Act 1978 (b)
 - ◆ a registered nurse who is employed by the Health Board or by a person or body with which the Health Board has entered into a general medical services contract
 - ◆ a registered medical practitioner employed by the Health Board and not providing primary medical services.
- 4.3 The Integration Joint Board has appointed (in addition to the above), at least one member in respect of each of the following groups in terms of the Public Bodies (Joint Working) (Integration Joint Boards) (Scotland) Order 2014 SSI no 285:
- ◆ at least one member of staff of the constituent authorities engaged in the provision of services provided under integration functions
 - ◆ at least one member from a third sector body carrying out activities related to health or social care in the South Lanarkshire area
 - ◆ at least one member being a user of health and social care services residing in the South Lanarkshire area
 - ◆ at least one member providing unpaid care in the South Lanarkshire area
 - ◆ any additional member as the Integration Joint Board sees fit.

- 4.4 The responsibility for appointing the Chair and Vice Chair will alternate between the parties and the appointments will be made for a period of 3 years. Within this period, each party may change its appointment as Chair or Vice Chair at any time and it is entirely at the discretion of the Party which is making the appointment to decide who it shall appoint.

5. Delegation of Functions

- 5.1 The functions that are delegated by the NHS Board to the Integration Joint Board are set out in Part 1 of Annex 1. The services to which these functions relate, which are currently provided by the NHS Board and which are integrated, are set out in Part 2 of Annex 1. The functions in Part 1 are being delegated only to the extent they relate to services listed in Part 2 of Annex. However, by way of summary, these are as follows:

- 5.1.1 Hospital Services relating to adults and children within the scope of the Integration Joint Board from a strategic planning perspective include:

5.1.1.1 Accident and emergency services provided in a hospital;

5.1.1.2 Inpatient hospital services relating to the following branches of medicine

- General medicine;
- Geriatric medicine;
- Rehabilitation medicine;
- Respiratory medicine; and
- Palliative care services provided in a hospital;

5.1.1.3 Paediatrics

5.1.1.4 Psychiatry of learning disability

5.1.1.5 Inpatient hospital services provided by general medical practitioners

5.1.1.6 Services provided in a hospital in relation to an addiction or dependence on any substance

5.1.1.7 Mental health services provided in a hospital except secure forensic mental health services

- 5.1.2 Functions in relation to the Community Health Services delegated in respect of adults and children are noted below:

- District nursing services
- Health Visiting
- Addiction services
- Allied health professionals in an outpatient department, clinic, or outwith a hospital
- Public dental services
- Primary medical services
- General dental services
- Ophthalmic services

- Pharmaceutical services
- Primary care out-of-hours
- Geriatric medicine
- Palliative care
- Community learning disability services
- Mental health services
- Continence services
- Kidney dialysis services
- Services provided by health professionals that aim to promote public health
- Community Paediatrics

5.2 The functions delegated by the Council to the Integration Joint Board are set out in Part 1 of Annex 2. The services to which these functions relate are set out in Part 2 of Annex 2 and relate to adult services only.

- Social work services for adults and older people
- Services and support for adults with physical disabilities and learning disabilities
- Mental health services
- Drug and alcohol services
- Adult protection and domestic abuse
- Carers support services
- Community care assessment teams
- Support services
- Care home services
- Adult placement services
- Health improvement services
- Aspects of housing support, including aids and adaptations
- Day services
- Local area co-ordination
- Respite provision
- Occupational therapy services
- Re-ablement services, equipment and telecare

5.3 Annex 3 sets out arrangements for hosted services. This relates specifically to health services which span more than one Integration Joint Board and are subject to Integration Joint Board approval.

6. Local Operational Delivery Arrangements

6.1 The Integration Joint Board meets up to six times per year in public and publishes all agendas, papers and minutes with responsibility for the delivery of integrated functions as set out in annexes 1, 2 and 3 and provides operational oversight of all integrated services, with the exception of those acute services set out as per 6.2 below. It will do this directly for all services except those set out in 6.2 and the operational role of the Chief Officer is set out within section 8.

6.2 The NHS Board retains direct operational oversight of the acute services as set out in section 5.1.1.1 and 5.1.1.2 and provides information on a regular basis to the Integration Joint Board about the delivery of these services. Therefore, the Director of Acute Services provides updates to the Integration Joint Board and the Chief Officer on the operational delivery of integrated functions delivered within an acute setting.

- 6.3 The Integration Joint Board is responsible for the development of a Strategic Commissioning Plan as per Section 29 of the Act. This Plan sets out arrangements for carrying out the integration functions and how these contribute to achieving the nine National Health and Wellbeing outcomes as outlined in Annex 4.
- 6.4 A locality model has been developed by the Integration Joint Board to underpin the development of the Strategic Commissioning Plan.
- 6.5 From an acute hospital services perspective, operational plans for integrated acute service delivery are subject to directions from the IJB about the exercise of delegated functions in relation to these services. These will also be informed and directed by the Strategic Commissioning Plan.
- 6.6 The Chief Officer is responsible for directly implementing the Integration Joint Board's directions (at the centre) to locality delivery on the ground.
- 6.7 From an operational and performance management perspective, the Integration Joint Board receives regular reports from the Chief Officer and other responsible officers of the NHS Board and the Council on the delivery of integrated services and will issue directions in response to those reports to ensure improved performance. This includes a range of thematic reports including, but not limited to the following:
- Financial reports pertaining to actual budgets and forecasts of expenditure;
 - Annual budget setting recommendations;
 - Medium to long term financial planning;
 - Transitional funding reports;
 - Performance reports including progress against the 9 National Health and Wellbeing Outcomes;
 - Regulatory and inspection reports;
 - Complaints;
 - Clinical and care governance reports to be assured of the delivery of safe and effective services;
 - Risk management reports;
 - Staff and workforce reports;
 - Workforce planning reports; and
 - Improvement plans and reports.

6.8 Corporate Services Support

6.8.1 In supporting the work of the Integration Joint Board to fully discharge its duties under the Act, the Parties agree to provide the Integration Joint Board with access to all relevant corporate resources such as:

- Financial;
- Legal;
- Human Resources;
- Information Technology;
- Planning and Performance support;
- Risk management;
- Audit;
- Administration support; and
- Estates.

6.8.2 Arrangements for providing corporate support services in respect of delegated functions and the associated service provision has been agreed by the Parties. This will be reviewed on an ongoing basis by the Chief Officer and the responsible officers of the Parties.

6.9 Support for Strategic Planning

6.9.1 As outlined in Section 30(3) of the Act, the Integration Joint Board must have regard to the effect that their Strategic Commissioning Plan will have on facilities, services or resources which are used in relation to arrangements set out or being considered to be set out in a Strategic Plan prepared by another Integration Joint Board.

6.9.2 In assessing the health element of this, the NHS Board will provide the necessary activity and financial data for services, facilities and resources that relate to the planned use of services provided by other Health Boards by people who live within the area of the Integration Joint Board.

6.9.3 In assessing the social care element of this, the Council will provide the necessary activity and financial data for services, facilities and resources that relate to the planned use of services provided by other local authorities to people who live within the area of the Integration Joint Board.

6.9.4 In circumstances where the NHS Board or the Council intend to change service provision of non – integrated services that will impact directly on the Strategic Commissioning Plan, they will advise the Integration Joint Board of this.

6.10 Performance Measurement

6.10.1 Through the development of the Strategic Commissioning Plan, the 9 National Health and Wellbeing outcomes are used to develop a performance reporting framework which underpins the Plan.

6.10.2 The Parties have established an integrated performance reporting framework which considers and develops a local suite of measures and targets that relate to the provision of integration functions. The measures and targets are aligned to the 9 National Health and Wellbeing outcomes and any subsequent guidance/ core suite of indicators. The Parties develop the targets, measures and other arrangements that are devolved to the Integration Joint Board. In developing this, the parties share with the Integration Joint Board other relevant NHS Board and Council targets and measures which the Integration Joint Board must take account of.

6.10.3 The Parties, in conjunction with the Integration Joint Board also consider and develop a list of targets, measures and arrangements that relate to the functions that are not delegated which the IJB must take account of when it is preparing the strategic plan.

6.10.4 The work in respect of 6.10.2 and 6.10.3 takes into account:

- National Health & Wellbeing Outcomes;
- Delegated performance targets related to the commissioning and delivery accountabilities of the NHS Board and the Council;
- Delayed discharge;
- Recovery activity;
- Locally agreed outcomes and targets identified through Community Planning and from the Local Outcome Improvement Plan and attributable to Health and Social Care;
- Outcomes and targets, including Health Improvement, for each of the localities identified and agreed in line with the local needs determined for each population; and
- The Nationally prescribed core suite of integration indicators

6.10.5 The reporting of information against this suite of indicators is provided by the Parties to the Integration Joint Board as a means of measuring progress and impact.

6.10.6 Where responsibility for the target is shared, the Parties set out in a document the accountability and responsibility of each of them.

6.10.7 Where the responsibility for the targets span integrated and non – integrated services, the NHS Board, the Council and the Integration Joint Board will work together to produce and deliver the measures and targets which assess performance. This will be evidenced through a standing performance item on Integration Joint Board meetings and also picked up through the Integration Joint Board Annual Performance Report

6.10.8 A Performance, Audit and Finance Sub Committee which is accountable to the Integration Joint Board has been established as a non-decision making body to provide further scrutiny on matters related to finance, risk, performance and audit matters.

7. Clinical and Care Governance

7.1 The Parties and the Integration Joint Board are accountable for ensuring appropriate clinical and care governance arrangements are in place for their duties under the Act.

7.2 The Parties remain responsible for the clinical and professional accountability of the services which the Joint Integration Board has directed the Parties to deliver and for the services delivered in respect of functions that are not delegated to the Integration Joint Board.

7.3 The Parties remain individually responsible for the assurance of the quality and safety of services commissioned from the third and independent sectors in line with the requirements set out within the strategic plan and any directions issued by the Integration Joint Board that relate to or have an impact on, integrated and non-integrated service provision

7.4 The Integration Joint Board has regard to the Clinical and Care framework that is set out in Section 7.6 when developing and agreeing its Strategic Commissioning Plan and corresponding directions to the Parties.

7.5 As set out in Section 6.7, the Integration Joint Board receive regular reports from professional leadership members for medical, nursing, Allied Health Professions, and Social Work to assure itself that clinical and care governance requirements are being met through

these existing arrangements and that safe, effective person-centred care is being consistently delivered.

Clinical and Care Framework

- 7.6 The Parties have in place clinical and care governance arrangements to provide assurance that the services that are delivered are safe, effective, person centred and focussed on personal outcomes.
- 7.7 The Parties recognise that the establishment and continuous review of the arrangements for Clinical and Care Governance and Professional Governance are essential in delivering their obligations and quality ambitions.
- 7.8 For the NHS Board this is overseen by the Healthcare Quality Assurance and Improvement Committee, a committee of the Health Board which supports the Health Board in its responsibilities, with regards to issues of clinical risk, control and governance and associated assurance in the area of quality assurance and improvement through a process of constructive challenge.
- 7.9 The Committee is responsible for the development of a strategic approach to quality assurance and improvement across the organisation, ensuring that quality standards are being set, met and continuously improved for clinical activity. It also ensures that effective arrangements for supporting, monitoring and reporting on quality assurance and improvement are in place and working, demonstrating compliance with statutory requirements in relation to clinical governance and authorising an accurate and honest annual clinical governance statement.
- 7.10 For the Council the Chief Social Work Officer holds professional accountability for social work and social care services as outlined in more detail in 7.20.
- 7.11 The Parties have established a shared Clinical and Care Governance framework for integrated services. The professional leadership of the Parties, as set out in 7.18 to 7.25, work together to continue to develop this clinical and care governance framework. It is based on a self – assessment exercise that helped the Parties to identify areas of common practice, provided opportunities to learn from one another and streamline processes.
- 7.12 Existing processes, procedures and reporting structures for clinical and care governance of integrated services will continue to be reviewed in light of the agreed clinical and care framework. The framework encompasses the following:
- Professional regulation, workload and workforce development;
 - Information assurance;
 - Service user experience and safety and quality of integrated service delivery and personal outcomes;
 - Person centred care;
 - Management of clinical risks; and
 - Learning from adverse clinical and non-clinical events
- 7.13 Each of these domains is underpinned by mechanisms to measure quality, clinical and service effectiveness and sustainability. They are compliant with statutory, legal and policy obligations strongly underpinned by human rights values and social justice. Service delivery is evidence-based, underpinned by robust mechanisms to integrate professional education, research and development.

- 7.14 The Parties and the Integration Joint Board have approved the framework and are responsible for ensuring that it is embedded within service planning, delivery and performance reporting mechanisms. The Integration Joint Board is responsible for ensuring effective mechanisms for service user and carer feedback and for complaints handling as laid out in sections 11 and 13 of this Scheme.
- 7.15 The Area Clinical Forum, Managed Clinical Networks, GP Sub Committee, Area Medical Committee, Medical Staff Committee and any other appropriate professional groups, and the Adult and Child Protection Committees provide advice directly to the Integration Joint Board or through its professional members.
- 7.16 The Healthcare Quality Assurance and Improvement Committee and the Chief Social Work Officer and his/her delegates provide advice, oversight and guidance to the Integration Joint Board and Strategic Planning Group in respect of Clinical and Care Governance and Professional Governance, for the delivery of health and social care services across the localities identified in the strategic plan.

Chief Officer

- 7.17 The Chief Officer has access to professional advice from the Chief Social Work Officer; the Medical Director and the Director of Nursing in both their operational role as a senior officer of the parties and as accountable officer to the Integration Joint Board.

Professional Leadership

- 7.18 Explicit lines of professional and operational accountability are essential to assure the Integration Joint Board and the Parties of the robustness of governance arrangements for their duties under the Act. They underpin delivery of safe, effective and person-centred care in all care settings delivered by employees of the NHS Board and the Council and of the third and independent sectors.
- 7.19 The NHS Board is accountable for Clinical Governance. Professional governance responsibilities are carried out by the professional leads through to the health professional regulatory bodies.
- 7.20 The Chief Social Work Officer of the Council holds professional accountability for social work and social care services. The Chief Social Work Officer reports directly to the Chief Executive and elected members of the Council in respect of professional social work matters. They are responsible for ensuring that social work and social care services are delivered in accordance with relevant legislation and that staff delivering such services do so in accordance with the requirements of the Scottish Social Services Council. The Social Work Governance Group chaired by the Chief Social Work Officer has professional oversight of the delivery of operational social care services.
- 7.21 The Medical Director and/or the Director of Nursing, through delegated authority, hold professional accountability for the delivery of safe and effective clinical services within the NHS Board and report regularly on these matters to the NHS Board.
- 7.22 The Integration Joint Board has three health professional advisors, as set out in section 4.2. These members of the Integration Joint Board are professionally accountable to the Medical Director and the Director of Nursing as appropriate.

- 7.23 This arrangement does not limit the ability of the Medical Director and/or the Director of Nursing to provide advice directly to the Integration Joint Board. Where this advice is offered, the Integration Joint Board must respond in writing and notify the Parties.
- 7.24 The Chief Social Work Officer, through delegated authority, holds professional accountability for the delivery of safe and effective social work and social care services within the Council. An annual report on these matters is provided to the relevant Council committee and will also be made available to the Integration Joint Board. The Chief Social Work Officer, through their membership of the Integration Board and attendance at Social Work Committee provides consistent advice and support to both the Committee and the Integration Joint Board in relation to these reports.
- 7.25 The Chief Social Work Officer provides professional advice to the Integration Joint Board in respect of the delivery of social work and social care services by Council staff and commissioned care providers in South Lanarkshire.
- 8. Chief Officer**
- 8.1 The Integration Joint Board has appointed a Chief Officer in accordance with section 10 of the Act.
- 8.2 The Chief Officer is accountable directly to the Integration Joint Board for the preparation, implementation and reporting on the Strategic Commissioning Plan, including overseeing the operational delivery of delegated services as set out in Annex 1 and 2 that do not relate to Acute or Accident and Emergency Services provided within the NHS Board. The services set out in section 5.1.1.1 and 5.1.1.2 continue to be operationally managed by the NHS Board through the Director of Acute Services in line with the Integration Joint Board's Strategic Commissioning Plan. Therefore, the Director of Acute Services provides updates to the Integration Joint Board and the Chief Officer on the operational delivery of integrated functions delivered within an acute setting.
- 8.3 The Chief Officer reports to the Council's Chief Executive and the NHS Board's Chief Executive. The Chief Officer's formal contract of employment is with one of the Parties and whichever holds the contract of employment, manages the Chief Officer on a day-to-day basis.
- 8.4 At the request of the Integration Joint Board where there is to be a prolonged period where the Chief Officer is absent or otherwise unable to carry out their responsibilities, the Council's Chief Executive and NHS Board Chief Executive will jointly propose an appropriate interim arrangement for approval by the Integration Joint Board's Chair and Vice-Chair.
- 8.5 The Chief Officer's objectives are set through a discussion with the Chief Executives of the Council and the NHS Board. This forms the basis of the Chief Officer's performance appraisal with said Chief Executives.
- 8.6 The Chief Officer is a full member of both the Council's and the NHS Board's corporate management teams, as well as a member of the Integration Joint Board.
- 8.7 The Chief Officer liaises with the NHS Board's Director of Acute Services in respect of agreeing how the Strategic Commissioning Plan informs and contributes to the strategic planning of NHS acute services and provision (as per the Act) and the delivery of agreed targets of mutual responsibility.
- 8.8 The Chief Officer has established and maintains effective working relationships with a range of key stakeholders across the NHS Board, the Council, the Third and Independent Sectors,

service users and carers, the Scottish Government, Trade Unions and relevant professional organisations.

- 8.9 Current hosted services arrangements are as set out in Annex 3. However, with regards to the future shaping of these services from a strategic planning perspective, the Integration Joint Board discusses with relevant neighbouring Integration Joint Boards how these are shaped now and in the future. The Chief Officer takes direction from the Integration Joint Board in respect of this.

9. Workforce

- 9.1 Staff managed within the functions delegated to the Integration Joint Board remain either employees of the Council or the NHS Board and therefore are subject to the terms and conditions and policies and procedures as specified by whichever of the two employing organisations that their contract of employment is with. Therefore, this Integration Scheme does not change who staff are employed by, nor the terms and conditions in their contract of employment. The employment status of staff does not change as a result of this Scheme – employees of the Parties remain employed by their respective organisations.
- 9.2 In developing the staff working within those delegated functions (integrated services), the NHS Board and the Council work together to produce a range of plans covering the following aspects:
- Workforce planning and development;
 - Organisational development;
 - Learning and development of staff; and
 - Engagement of staff and development of a healthy organisational culture
- 9.3 The above plans have been developed with the full input of all key stakeholders and approved and implemented by the Integration Joint Board.
- 9.4 These plans take account of the priorities set out within the Strategic Commissioning Plan to ensure that staff working within integrated services have the necessary skills and expertise to deliver against the agreed priorities.
- 9.5 As these plans are required on an ongoing basis, the NHS Board and the Council will review them along with the Integration Joint Board annually in line with the Strategic Commissioning Plan.

10. Finance

- 10.1 Contributions from the Council and the NHS Board for delegated functions to the Integration Joint Board are overseen by the Chief Officer and the Integration Joint Board Chief Financial Officer. A resource plan and budget based on available resources is developed with the Integration Joint Board Chief Financial Officer being responsible for the preparation of the annual financial statements as required by section 39 of the Act.
- 10.2 The Chief Officer and Chief Financial Officer develop an integrated budget based on the Strategic Commissioning Plan and present it to both Parties for consideration as part of both of their annual budget setting processes. The Parties evaluate the case for the Integrated Budget against their other priorities and agree their respective contributions accordingly. The outcome of this work is presented to the Integration Joint Board. Following on from the budget process, the Chief Officer and the Integration Joint Board Chief Financial Officer prepare a financial plan supporting the Strategic Commissioning Plan.

- 10.3 The budget is evidenced based with transparency of assumptions including, but not limited to pay award, contractual uplift and savings requirements.
- 10.4 The method for determining the amount set aside for hospital services follows guidance issued by the Integrated Resources Advisory Group and is based initially on the notional direct costs of the relevant populations use of in scope hospital services as provided by the Public Health Scotland. The NHS Board Director of Finance and Integration Joint Board Chief Financial Officer keep under review developments in national data sets or local systems that might allow more timely or more locally responsive information, and if enhancements can be made, propose this to the Integration Joint Board. If the Strategic Commissioning Plan sets out a change in hospital capacity, the resource consequences are determined through a bottom-up process based on:
- Planned changes in activity and case mix due to interventions in the Strategic Commissioning Plan;
 - Projected activity and case mix changes due to changes in population need; and
 - Analysis of the impact on the affected hospital budget, taking into account cost-behaviour i.e. fixed, semi – fixed and variable costs and timing difference i.e. the lag between reduction in capacity and the release of resources.
- 10.5 Each of the Parties agrees the formal budget setting timelines and reporting periods as defined in the Financial Regulations.
- 10.6 A schedule of notional payments is provided by the Council and the NHS Board to the Integration Joint Board following the approval of the Strategic Commissioning Plan and the Financial Plan.
- 10.7 The Chief Financial Officer is required to develop a medium to longer term financial strategy in consultation with the NHS Board's Director of Finance and the Council's Section 95 Officer.
- 10.8 It remains the duty of the Council's Section 95 Officer and the NHS Board's Accountable Officer to monitor and regulate the financial performance of their respective share of the resources available to the Integration Joint Board during each reporting period, throughout the financial year.
- 10.9 It is the responsibility of the Council Section 95 Officer and the NHS Board's Accountable Officer to comply with the agreed reporting timetable and to make available to the Integration Joint Board Chief Financial Officer the relevant financial information, including on the sum set aside in line with 10.14.5 below, required for timely financial reporting to the Integration Joint Board. This includes such details as may be required to inform financial planning of revenue expenditure.
- 10.10 The frequency of reporting is set out in the Financial Regulations and is at least on a quarterly basis. In advance of each financial year a timetable for financial reporting is submitted to the Integration Joint Board for approval.
- 10.11 Regular management reports are prepared in line with the financial regulations which are agreed by the Integration Joint Board, and includes actual and projected outturns. The existing budgetary control frameworks adopted by each of the Parties forms the basis of generating the required information.

- 10.12 The Integration Joint Board's Chief Financial Officer manages the respective financial plan so as to deliver the agreed outcomes within the Strategic Commissioning Plan viewed as a whole.
- 10.13 The parties do not expect that there is a schedule of cash payments, but rather annual accounting entries for the agreed budgets. There may be a requirement for an actual cash transfer to be made between the Council and the NHS Board to reflect the difference between the payment being made and the resources delegated to the party by the Integration Joint Board. Any cash transfer will take place at least annually. Any change to frequency will be jointly agreed by the Integration Joint Board, the Council and the NHS Board.
- 10.14 The process for managing any in-year financial variations is detailed within the Financial Regulations and are summarised below:
- 10.14.1 If the Integration Joint Board's Chief Financial Officer is advised that a significant change is likely to the Integration Joint Board's overall financial position and the deviation involves a change of policy of the Integration Joint Board or results in revenue implications for future years, a report will be provided for the Integration Joint Board in good time detailing the financial consequences to enable appropriate action to be taken timeously.
- 10.14.2 If an overspend is forecast on either Parties in scope budget, the Chief Officer and the Integration Joint Board's Chief Financial Officer will aim to agree a recovery plan with the relevant Party to balance the overspending budget and determine the actions required to be taken to deliver the recovery plan. If the overspend arises from assumptions in the Integration Joint Board's Strategic Commissioning Plan on the impact of service changes that are not realised as anticipated this should be subject to a report and corrective action. This corrective action may include a recovery plan which should consider revisions to the commissioning of services and /or financial plans to account for the changed circumstances, and the use of any available reserves.
- 10.14.3 If the recovery plan is unsuccessful then the Parties have the option to agree that either:
- a) the relevant party provides additional resources to the Integration Joint Board which is then recovered in future years from subsequent underspends in that party's contribution, (subject to scrutiny of the reasons for the overspend and assurance that there is a plan in place to address this); or
 - b) the relevant party makes additional one-off adjustment to the resources that it is making available to the Integration Joint Board.
- 10.14.4 Unplanned underspends that arise due to material differences between assumptions used in setting the budget and actual events effectively represent an overfunding by the Council or the NHS Board with respect to planned outcomes. The circumstances surrounding the action required to address unplanned underspends is set out in the Financial Regulations and Reserves Policy, which will be subject to agreement by the Council, the NHS Board and the Integration Joint Board. The options will include the underspend either being returned to the relevant party in year through an adjustment to their respective contributions, or maintained by the Integration Joint Board to be carried through the General Fund balance.

- 10.14.5 The Parties do not expect to reduce their in-year payment, or the services delegated to the Integration Joint Board without the consent of the Integration Joint Board and the other Party outwith the following circumstances:
- a) Unplanned underspends as defined in 10.14.4 above and the Financial Regulations and Reserves Policy; or
 - b) Where the budget assumed a specific allocation from the Scottish Government which did not materialise in year to the extent anticipated. (The converse of this also applies in that should a specific allocation pertaining to a delegated function exceed the anticipated level, an additional payment to the Integration Joint Board may be agreed).
- 10.14.6 Monitoring arrangements will include the impact of activity on set aside budgets.
- 10.15 The Accounting Standards as adapted for the public sector apply to the Integration Joint Board. The Code of Practice on Local Authority Accounting in the UK is the applicable guidance for their interpretation.
- 10.16 The financial statements of the Integration Joint Board will be completed to meet the audit and publication timetable specified in regulations (Regulations under section 105 of the Local Government (Scotland) Act 1973).
- 10.17 Initially, recording of financial information in respect of the Integration Joint Board will be processed via the Council ledger. The means for recording financial information will be reviewed by the Chief Financial Officer to ensure this method remains appropriate giving due regard to the needs of the Integration Joint Board. Should an amendment to this method be required, the Chief Financial Officer will consult with both parties and present recommendations to the Integration Joint Board for approval.
- 10.18 The financial ledger transactions relating to the Integration Joint Board will be carried out prior to the end of the financial year with post year-end adjustments for material information only. Year-end balances and transactions will be agreed timeously in order to allow completion of the Accounts in line with required timescales. This date will be agreed annually by the Integration Joint Board, the NHS Board and the Council.
- 10.19 From an asset management and capital planning perspective, in the short term, the Integration Joint Board will not be empowered to own capital assets and the regimes of the Council and the NHS Board will apply to capital assets used to provide the delegated services. Ownership of assets and associated liabilities will remain with each of the Parties.
- 10.20 The Chief Officer will consider all of the resources which are required to deliver the integration outcomes including the relevant non-current assets owned by the NHS Board and the Council. The Chief Officer will consult with the Parties to make best use of existing resources.
- 10.21 Should the Integration Joint Board believe there is a requirement to develop assets in order to facilitate the delivery of the Strategic Commissioning Plan's outcomes, then the Chief Officer must present a business case to the Council or the NHS Board for consideration. This should be submitted as part of the relevant Party's capital planning process. Partnership discussion would be required at an early stage for jointly funded projects.

10.22 Detailed Financial Regulations governing the Integration Joint Board have been agreed between the Council and the NHS Board and approved by the Integration Joint Board.

11. Participation and Engagement

11.1 Participation and engagement with all stakeholders is central to the development of the Integration Scheme and is a stated requirement as outlined in Section 6 (2) of the Act. The list of stakeholders who have been directly engaged with to date includes:

- Staff from all disciplines across health and social care, for example doctors, nurses, Allied Health Professionals, social workers, social care workers, performance and support staff (finance, administration, personnel and planning), members of the local Public Partnership Forums, the Third Sector, the Independent Sector and other relevant party agencies

11.2 There was initially a range of planned activity and a variety of methods used to consult with stakeholders including half day seminars in each of the Integration Joint Board's localities. These events have continued to be used as a vehicle for directly engaging localities in the development of the integration agenda. Furthermore, members of the Strategic Commissioning Group have had an oversight role in the reviews of the Integration Scheme undertaken thus far and will continue to do so.

11.3 In February/ March 2015 a more formal consultation process was undertaken on the Integration Scheme, with a draft circulated for comment and feedback across all stakeholders. This involved producing user-friendly commentary and information for stakeholders which gave an overview of the content of the Integration Scheme and the purpose and importance of this. The stakeholders who were consulted were:

- Health and social care professionals;
- Service users and carers of health and social care services;
- Commercial and Non – Commercial Providers of Health and Social Care;
- Non – Commercial Providers of Housing;
- Independent Sector;
- Third Sector bodies carrying out activities relating to health and social care;
- Staff likely to be affected by integration; and
- Other Partnerships who could be affected by the Integration Scheme, namely the North Lanarkshire Integration Joint Board.

11.4 The feedback from that process resulted in the Integration Scheme being further refined to reflect the views of stakeholders in shaping the final content and direction outlined in the scheme submitted for approval to the Scottish Government.

11.5 The Parties agree that they will make available to the Integration Joint Board existing forums and stakeholder groups with an interest in health and social care that are already established.

11.6 Further to the participation and engagement activity outlined above, the NHS Board and the Council undertook to support the Integration Joint Board in developing a Participation and Engagement Strategy to support the work of the Integration Joint Board moving forward.

11.7 In resourcing this, the Parties will provide support from staff working within the field of communications and public relations.

- 11.8 The South Lanarkshire Health and Social Care Forum plays a key role in community participation and engagement. The forum is an independent group of community volunteers who work to engage health service and social care users, carers and communities in how to improve local health and social care services, support wider public involvement in planning and decision-making about local health and social care services, and keep local people informed about the range and location of services. It has four local forums in line with locality areas.
- 11.9 The Communications Strategy outlines how the partnership will communicate effectively aligned to supporting the delivery of strategic objectives and national health and wellbeing outcomes. The strategy is linked to the Strategic Commissioning Plan and is based upon good practice guidance. Communication workshops will continue to be delivered to target and drive forward campaigns which will be measured by individuals and community groups themselves.
- 11.10 Supporting this, the Integration Joint Board has also agreed a Participation and Engagement Strategy which outlines how the Integration Joint Board will undertake ongoing and sustained engagement with all key stakeholders. This strategy was updated and approved by the Integration Joint Board in 2018.
- 12. Information Sharing and Data Handling**
- 12.1 In the first instance, the Parties agreed to be bound by the current Lanarkshire information sharing agreement and good practice guide, which has been incrementally developed over the last fifteen years by the Lanarkshire Data Sharing Partnership Board. The Lanarkshire Data Sharing Partnership Board is the key multi – agency forum within current partnership arrangements and includes representation from North and South Lanarkshire Councils, the NHS Board, Police Scotland, Fire Service and Third Sector. All staff employed by the Parties will continue to comply with all current policies and protocols with regards to information sharing.
- 12.2 The protocol and procedures for sharing information were reviewed and updated to reflect the new governance arrangements that pertain to health and social care by the Lanarkshire Data Sharing Partnership. The Chief Officer of the Integration Joint Board has joined the Local Data Sharing Partnership; and the revised protocol has been provided to the Parties and the Integration Joint Board.
- 12.3 Through the strategic direction provided by the Integration Joint Board as detailed in the Strategic Commissioning Plan, there may be circumstances in which the Integration Joint Board directs the Parties to further develop approaches to information sharing and data handling. In such instances, the Parties will present any changes or amendments to the protocol for the Integration Joint Board to consider.
- 12.4 It is the intention to ensure that any resultant information sharing agreements will be established and maintained within legislative or regulatory requirements in place at that time, primarily with respect to confidentiality, data protection and privacy.
- 12.5 The parties entered into an Information Sharing Protocol (Scottish Accord on the Sharing of Personal Information - SASPI) in relation to health and social care integration, primarily to support strategic planning and commissioning and service design/redesign.

13. Complaints

- 13.1 The current arrangements for complaints handling across health and social care in South Lanarkshire are well publicised via hard copy information and electronic means to ensure the public have readily available access to information regarding how to complain. The NHS Board and the Council will assist the Integration Joint Board in continuing to ensure that these processes are clear, well publicised and easily accessible to members of the public. This will involve the Integration Joint Board working with the NHS Board and the Council to review public information and effectiveness of this.
- 13.2 The system as it stands, operates whereby each of the Parties has its own process and timescales for responding to a complaint. This is outlined below:
- Where the complaint has a social care dimension to it, then it will continue to follow the Council's Social Work complaints procedures and timescales;
 - Where the complaint has a health care dimension to it, then it will continue to follow the NHS Board procedures and timescales;
 - Where the complaint is multi – faceted and has a multi – agency dimension to it, the Chief Officer will then designate one of the existing processes to take the lead for investigating and coordinating a response;
 - Each of the current arrangements have key timescales attached to them as set out by the Office of the Scottish Public Services Ombudsman; and
 - Complaints can be made either via the Council through the website or by telephoning Customer Services 0303 123 1015, or via the NHS Board through the website or telephoning the general enquiry line on 0300 3030 243.
- 13.3 Any revisions to update or improve these existing processes will involve the NHS Board and the Council assisting the Integration Joint Board to review and update the current processes.
- 13.4 The Integration Joint Board has a complaints process, and information is published on the South Lanarkshire Health and Social Care Partnership website. Complaints can be made in writing to South Lanarkshire Joint Integration Board, Council Offices, Almada Street, Hamilton ML3 0AA; by telephoning 01698 453700; or emailing customer.services@southlanarkshire.gov.uk
- 13.5 As with other areas of management information and feedback, the Chief Officer will receive a regular complaints report outlining all complaints for that period which have either been actioned to a conclusion or are a work in progress, together with the stage they are at. This will be augmented by sharing experiences from complaints and feedback from wider reviews undertaken.

14. Claims Handling, Liability and Indemnity

- 14.1 The Parties and the Integration Joint Board recognise that they could receive a claim arising from or which relates to the work undertaken on behalf of the Integration Joint Board.
- 14.2 The Parties agree to ensure that any such claims are progressed quickly and in a manner which is equitable between them.
- 14.3 So far as reasonably practicable the normal common law and statutory rules relating to liability will apply.
- 14.4 In the event of any claim against the Integration Joint Board or in respect of which it is not clear which Party should assume responsibility the Chief Officer (or his/her representative)

will liaise with the Chief Executives of the Parties (or their representatives) and determine which Party should assume responsibility for progressing the claim.

- 14.5 If a claim is settled by either Party and it thereafter transpires that liability (in whole or in part) should have rested with the other Party, then that Party shall indemnify the Party which settled the claim.
- 14.6 Any claim by a third party in respect of any damages or loss that is purely financial shall be met by the Party responsible in law for such loss. This would include the Integration Joint Board.
- 14.7 Claims regarding policy and/or strategic decisions made by the Integration Joint Board shall be the responsibility of the Integration Joint Board. The Integration Joint Board may require to engage independent legal advice for such claims and the costs of this will be shared between the Parties.
- 14.8 If a claim has a “cross boundary” element whereby it relates to another integration authority area, the Chief Officers of the integration authorities concerned shall liaise with each other until an agreement is reached as to how the claim should be progressed and determined.
- 14.9 The Parties and the Integration Joint Board will ensure appropriate risk financing arrangements are in place to meet the cost of claims and other associated costs.
- 14.10 The Parties and the Integration Joint Board will develop a procedure for claims relating to hosted services. Such claims may follow a different procedure than set out above.
- 14.11 Claims which pre-date the establishment of the Integration Joint Board will be dealt with by the Parties through the procedures used by them prior to integration.

15. Risk Management

- 15.1 The Parties will assist the Integration Joint Board in developing risk management strategy and methodology. The risk management strategy and methodology was formally considered for endorsement by the Integration Joint Board by 31 December 2015 and has been reviewed and updated annually. The risk management strategy and methodology ensures:
 - Identification, assessment and prioritisation of risk related to the delivery of services, particularly those which are likely to affect the Joint Board's delivery of the Strategic Commissioning plan;
 - Read across between the Parties top risks with those of the Integration Joint Board, particularly where there is a tangible impact on the IJBs ability to deliver its Strategic Commissioning Plan;
 - Identification and description of processes for mitigating these risks;
 - Agreeing the Integration Joint Board's approach to risk tolerance; and
 - Agreed reporting standards.
- 15.2 The risk management strategy and methodology sets out:
 - How the Parties and the Integration Joint Board prepare risk registers and arrangements to amend and update such registers;
 - Risks that should be reported from the date of delegation of functions and resources;
 - Frequency which the risk register will be reported to the Integration Joint Board and its Performance and Audit Sub Committee;
 - An agreed risk monitoring framework;

- That any changes to the risk management strategy shall be requested through a formal paper to the Integration Joint Board; and
- Protocols for sharing risk information.

15.3 The Parties will make relevant resources available to support the Integration Joint Board in its risk management. This will include identifying a person responsible for drawing together the risks from the organisations. The Integration Joint Board regularly reviews and updates its Risk Register, with its Performance and Audit Sub Committee providing an additional layer of scrutiny.

15.4 In addition to the above, the NHS Board, the Council and Integration Joint Board will consider and agree which risks should be taken from their own risk registers and placed on the shared risk register. Where these risks change, the NHS Board, the Council and Integration Joint Board will notify each other of where they have changed. This will be done formally through the risk register reports to Integration Joint Board and its Performance and Audit Sub Committee.

16. Dispute Resolution Mechanism

16.1 Where either of the Parties fails to agree with the other on any issue related to this Scheme or any of the duties or powers placed on them under the Act, then they will follow the process set out below:

- The Chief Executives of the NHS Board and the Council will meet to resolve the issue within 14 working days of a written request for such a meeting made by any of the Parties. The written request will contain a suggested place, time and date to meet. The Chief Officer will also be invited to attend this meeting in an operational capacity.
- If unresolved, the NHS Board and the Council will each prepare a written note of their position on the issue and exchange it with the others and will meet once more within 14 working days of the date of exchange of notes to resolve the matter.
- In the event that the issue remains unresolved, representatives of the NHS Board and the Council will proceed to mediation with a view to resolving the issue. The mediator will be chosen by agreement amongst the Parties. The Parties shall attempt to agree upon the appointment of a mediator, upon receipt, by any of them, of a written notice to concur in such appointment. Should the Parties fail to agree within fourteen days, any Party, upon giving written notice, may apply to the President of the Law Society of Scotland for the appointment of a mediator. The costs of mediation will be shared between the Parties.

16.2 Where the issue remains unresolved after following the processes as outlined in 16.1, the Parties agree the following process to notify Scottish Ministers that agreement cannot be reached:

- The Parties will write to the Scottish Ministers within 28 days of any Party refusing to accept any resolution suggested by mediation; and
- As part of the submission to the Scottish Ministers the Parties will send their respective written notes of their position as set out in sub clause -above together with a jointly worded summary of the issue in dispute requesting directions from the Scottish Ministers to resolve the dispute.

Part 1**Functions delegated by the Health Board to the Integration Joint Board**

Set out below is the list of functions that must be delegated by the Health Board to the Integration Joint Board as set out in the Public Bodies (Joint Working) (Prescribed Health Board Functions) (Scotland) Regulations 2014.

SCHEDULE 1 Regulation 3

Functions prescribed for the purposes of section 1(8) of the Act

<i>Column A</i>	<i>Column B</i>
The National Health Service (Scotland) Act 1978	
All functions of Health Boards conferred by, or by virtue of, the National Health Service (Scotland) Act 1978	Except functions conferred by or by virtue of— section 2(7) (Health Boards); section 2CB (Functions of Health Boards outside Scotland); section 9 (local consultative committees); section 17A (NHS Contracts); section 17C (personal medical or dental services); section 17I (use of accommodation); section 17J (Health Boards' power to enter into general medical services contracts); section 28A (remuneration for Part II services); section 38 (care of mothers and young children); section 38A (breastfeeding); section 39 (medical and dental inspection, supervision and treatment of pupils and young persons); section 48 (provision of residential and practice accommodation);

section 55 (hospital accommodation on part payment);

section 57 (accommodation and services for private patients);

section 64 (permission for use of facilities in private practice);

section 75A (remission and repayment of charges and payment of travelling expenses);

section 75B (reimbursement of the cost of services provided in another EEA state);

section 75BA (reimbursement of the cost of services provided in another EEA state where expenditure is incurred on or after 25 October 2013);

section 79 (purchase of land and moveable property);

section 82 use and administration of certain endowments and other property held by Health Boards);

section 83 (power of Health Boards and local health councils to hold property on trust);

section 84A (power to raise money, etc., by appeals, collections etc.);

section 86 (accounts of Health Boards and the Agency);

section 88 (payment of allowances and remuneration to members of certain bodies connected with the health services);

section 98 (charges in respect of non-residents);
and

paragraphs 4, 5, 11A and 13 of Schedule 1 to the Act (Health Boards);

and functions conferred by—

The National Health Service (Charges to Overseas Visitors) (Scotland) Regulations 1989;

The Health Boards (Membership and Procedure) (Scotland) Regulations 2001/302;
The National Health Service (Clinical Negligence and Other Risks Indemnity Scheme) (Scotland) Regulations 2000;

The National Health Services (Primary Medical Services Performers Lists) (Scotland) Regulations 2004;

The National Health Service (Primary Medical Services Section 17C Agreements) (Scotland) Regulations 2004;

The National Health Service (Discipline Committees) Regulations 2006;

The National Health Service (General Ophthalmic Services) (Scotland) Regulations 2006;

The National Health Service (Pharmaceutical Services) (Scotland) Regulations 2009;

The National Health Service (General Dental Services) (Scotland) Regulations 2010; and

The National Health Service (Free Prescription and Charges for Drugs and Appliances) (Scotland) Regulations 2011/55.

Disabled Persons (Services, Consultation and Representation) Act 1986

Section 7

(Persons discharged from hospital)

Community Care and Health (Scotland) Act 2002

All functions of Health Boards conferred by, or by virtue of, the Community Care and Health (Scotland) Act 2002.

Mental Health (Care and Treatment) (Scotland) Act 2003

All functions of Health Boards conferred by, or by virtue of, the Mental Health (Care and Treatment) (Scotland) Act 2003.

Except functions conferred by—

section 22 (Approved medical practitioners);

section 34 (Inquiries under section 33: co-operation);

section 38 (Duties on hospital managers: examination notification etc.);

section 46 (Hospital managers' duties: notification);

section 124 (Transfer to other hospital);

section 228 (Request for assessment of needs: duty on local authorities and Health Boards);

section 230 (Appointment of a patient's responsible medical officer);

section 260 (Provision of information to patients);

section 264 (Detention in conditions of excessive security: state hospitals);

section 267 (Orders under sections 264 to 266: recall);

section 281 (Correspondence of certain persons detained in hospital);

and functions conferred by—

The Mental Health (Safety and Security) (Scotland) Regulations 2005;

The Mental Health (Cross Border transfer: patients subject to detention requirement or otherwise in hospital) (Scotland) Regulations 2005;

The Mental Health (Use of Telephones) (Scotland) Regulations 2005; and

The Mental Health (England and Wales Cross border transfer: patients subject to detention requirement or otherwise in hospital) (Scotland) Regulations 2008.

Education (Additional Support for Learning) (Scotland) Act 2004

Section 23

(other agencies etc. to help in exercise of functions under this Act)

Public Services Reform (Scotland) Act 2010

All functions of Health Boards conferred by, or by virtue of, the Public Services Reform (Scotland) Act 2010

Except functions conferred by—

section 31(Public functions: duties to provide information on certain expenditure etc.); and

section 32 (Public functions: duty to provide information on exercise of functions).

Patient Rights (Scotland) Act 2011

All functions of Health Boards conferred by, or by virtue of, the Patient Rights (Scotland) Act 2011

Except functions conferred by The Patient Rights (Complaints Procedure and Consequential Provisions) (Scotland) Regulations 2012/36.

Part 2

Services currently provided by the Health Board which are to be integrated

The functions that are set out in Part 1 are delegated in relation to the services as set out below and relate to both adults and children

SCHEDULE 2 Regulation 3

PART 1

Interpretation of Schedule 3

1. In this schedule—

“Allied Health Professional” means a person registered as an allied health professional with the Health Professions Council;

“general medical practitioner” means a medical practitioner whose name is included in the General Practitioner Register kept by the General Medical Council;

“general medical services contract” means a contract under section 17J of the National Health Service (Scotland) Act 1978;

“hospital” has the meaning given by section 108(1) of the National Health Service (Scotland) Act 1978;

“inpatient hospital services” means any health care service provided to a patient who has been admitted to a hospital and is required to remain in that hospital overnight, but does not include any secure forensic mental health services;

“out of hours period” has the same meaning as in regulation 2 of the National Health Service (General Medical Services Contracts) (Scotland) Regulations 2004; and

“the public dental service” means services provided by dentists and dental staff employed by a health board under the public dental service contract.

PART 2

2. Accident and Emergency services provided in a hospital.

3. Inpatient hospital services relating to the following branches of medicine—

- (a) general medicine;
- (b) geriatric medicine;
- (c) rehabilitation medicine;
- (d) respiratory medicine; and
- (e) psychiatry of learning disability.

4. Palliative care services provided in a hospital.

5. Inpatient hospital services provided by General Medical Practitioners.

6. Services provided in a hospital in relation to an addiction or dependence on any substance.

7. Mental health services provided in a hospital, except secure forensic mental health services.

PART 3

8. District nursing services.
9. Services provided outwith a hospital in relation to an addiction or dependence on any substance.
10. Services provided by allied health professionals in an outpatient department, clinic, or outwith a hospital.
11. The public dental service.
12. Primary medical services provided under a general medical services contract, and arrangements for the provision of services made under section 17C of the National Health Service (Scotland) Act 1978, or an arrangement made in pursuance of section 2C(2) of the National Health Service (Scotland) Act 1978.
13. General dental services provided under arrangements made in pursuance of section 25 of the National Health (Scotland) Act 1978.
14. Ophthalmic services provided under arrangements made in pursuance of section 17AA or section 26 of the National Health Service (Scotland) Act 1978.
15. Pharmaceutical services and additional pharmaceutical services provided under arrangements made in pursuance of sections 27 and 27A of the National Health Service (Scotland) Act 1978.
16. Services providing primary medical services to patients during the out-of-hours period.
17. Services provided outwith a hospital in relation to geriatric medicine.
18. Palliative care services provided outwith a hospital.
19. Community learning disability services.
20. Mental health services provided outwith a hospital.
21. Continence services provided outwith a hospital.
22. Kidney dialysis services provided outwith a hospital.
23. Services provided by health professionals that aim to promote public health.
24. Health Visiting Services

Annex 2

Part 1

Functions delegated by the Local Authority to the Integration Joint Board

Set out below is the list of functions delegated by the South Lanarkshire Council to the Integration Joint Board.

SCHEDULE Regulation 2

PART 1

Functions prescribed for the purposes of section 1(7) of the Public Bodies (Joint Working) (Scotland) Act 2014

<i>Column A</i> <i>Enactment conferring function</i>	<i>Column B</i> <i>Limitation</i>
National Assistance Act 1948	
Section 48 (Duty of councils to provide temporary protection for property of persons admitted to hospitals etc.)	
The Disabled Persons (Employment) Act 1958	
Section 3 (Provision of sheltered employment by local authorities)	
The Social Work (Scotland) Act 1968	
Section 1 (Local authorities for the administration of the Act.)	So far as it is exercisable in relation to another integration function.
Section 4 (Provisions relating to performance of functions by local authorities.)	So far as it is exercisable in relation to another integration function.
Section 8 (Research.)	So far as it is exercisable in relation to another integration function.

<i>Column A</i> <i>Enactment conferring function</i>	<i>Column B</i> <i>Limitation</i>
Section 10 (Financial and other assistance to voluntary organisations etc. for social work.)	So far as it is exercisable in relation to another integration function.
Section 12 (General social welfare services of local authorities.)	Except in so far as it is exercisable in relation to the provision of housing support services.
Section 12A (Duty of local authorities to assess needs.)	So far as it is exercisable in relation to another integration function.
Section 12AZA (Assessments under section 12A - assistance)	So far as it is exercisable in relation to another integration function.
Section 13 (Power of local authorities to assist persons in need in disposal of produce of their work.)	
Section 13ZA (Provision of services to incapable adults.)	So far as it is exercisable in relation to another integration function.
Section 13A (Residential accommodation with nursing.)	
Section 13B (Provision of care or aftercare.)	
Section 14 (Home help and laundry facilities.)	
Section 28 (Burial or cremation of the dead.)	So far as it is exercisable in relation to persons cared for or assisted under another integration function.
Section 29 (Power of local authority to defray expenses of parent, etc., visiting persons or attending funerals.)	
Section 59 (Provision of residential and other establishments by local authorities and maximum period for repayment of sums borrowed for such provision.)	So far as it is exercisable in relation to another integration function.

The Local Government and Planning (Scotland) Act 1982

<i>Column A</i> <i>Enactment conferring function</i>	<i>Column B</i> <i>Limitation</i>
Section 24(1) (The provision of gardening assistance for the disabled and the elderly.)	
Disabled Persons (Services, Consultation and Representation) Act 1986	
Section 2 (Rights of authorised representatives of disabled persons.)	
Section 3 (Assessment by local authorities of needs of disabled persons.)	
Section 7 (Persons discharged from hospital.)	In respect of the assessment of need for any services provided under functions contained in welfare enactments within the meaning of section 16 and which have been delegated.
Section 8 (Duty of local authority to take into account abilities of carer.)	In respect of the assessment of need for any services provided under functions contained in welfare enactments (within the meaning set out in section 16 of that Act) which are integration functions.
The Adults with Incapacity (Scotland) Act 2000	
Section 10 (Functions of local authorities.)	
Section 12 (Investigations.)	
Section 37 (Residents whose affairs may be managed.)	Only in relation to residents of establishments which are managed under integration functions.
Section 39 (Matters which may be managed.)	Only in relation to residents of establishments which are managed under integration functions.
Section 41 (Duties and functions of managers of authorised establishment.)	Only in relation to residents of establishments which are managed under integration functions
Section 42 (Authorisation of named manager to withdraw from resident's account.)	Only in relation to residents of establishments which are managed under integration functions
Section 43 (Statement of resident's affairs.)	Only in relation to residents of establishments which are managed under integration functions
Section 44 (Resident ceasing to be resident of authorised establishment.)	Only in relation to residents of establishments which are managed under integration functions

<i>Column A</i> <i>Enactment conferring function</i>	<i>Column B</i> <i>Limitation</i>
Section 45 (Appeal, revocation etc.)	Only in relation to residents of establishments which are managed under integration functions
The Housing (Scotland) Act 2001	
Section 92 (Assistance for housing purposes.)	Only in so far as it relates to an aid or adaptation.
The Community Care and Health (Scotland) Act 2002	
Section 5 (Local authority arrangements for of residential accommodation outwith Scotland.)	
Section 14 (Payments by local authorities towards expenditure by NHS bodies on prescribed functions.)	
The Mental Health (Care and Treatment) (Scotland) Act 2003	
Section 17 (Duties of Scottish Ministers, local authorities and others as respects Commission.)	
Section 25 (Care and support services etc.)	Except in so far as it is exercisable in relation to the provision of housing support services.
Section 26 (Services designed to promote well-being and social development.)	Except in so far as it is exercisable in relation to the provision of housing support services.
Section 27 (Assistance with travel.)	Except in so far as it is exercisable in relation to the provision of housing support services.
Section 33 (Duty to inquire.)	
Section 34 (Inquiries under section 33: Co-operation.)	
Section 228 (Request for assessment of needs: duty on local authorities and Health Boards.)	
Section 259 (Advocacy.)	
The Housing (Scotland) Act 2006	

<i>Column A</i> <i>Enactment conferring function</i>	<i>Column B</i> <i>Limitation</i>
Section 71(1)(b) (Assistance for housing purposes.)	Only in so far as it relates to an aid or adaptation.

The Adult Support and Protection (Scotland) Act 2007

Section 4
(Council's duty to make inquiries.)

Section 5
(Co-operation.)

Section 6
(Duty to consider importance of providing advocacy and other.)

Section 11
(Assessment Orders.)

Section 14
(Removal orders.)

Section 18
(Protection of moved person's property.)

Section 22
(Right to apply for a banning order.)

Section 40
(Urgent cases.)

Section 42
(Adult Protection Committees.)

Section 43
(Membership.)

Social Care (Self-directed Support) (Scotland) Act 2013

Section 5
(Choice of options: adults.)

Section 6
(Choice of options under section 5: assistances.)

Section 7
(Choice of options: adult carers.)

Section 9
(Provision of information about self-directed support.)

<i>Column A</i> <i>Enactment conferring function</i>	<i>Column B</i> <i>Limitation</i>
Section 11 (Local authority functions.)	
Section 12 (Eligibility for direct payment: review.)	
Section 13 (Further choice of options on material change of circumstances.)	Only in relation to a choice under section 5 or 7 of the Social Care (Self-directed Support) (Scotland) Act 2013.
Section 16 (Misuse of direct payment: recovery.)	
Section 19 (Promotion of options for self-directed support.)	
Carers (Scotland) Act 2016 (b)	
Section 6 (Duty to provide Adult carer support plan)	
Section 21 (Duty to set local eligibility criteria)	
Section 24 (duty to provide support)	
Section 25 (provision of support to carers:break from caring)	
Section 31 (duty to prepare local carer strategy)	
Section 34 (information and advice service for carers)	
Section 35 (short breaks services statements)	

PART 2

Functions, conferred by virtue of enactments, prescribed for the purposes of section 1(7) of the Public Bodies (Joint Working) (Scotland) Act 2014

<i>Column A</i>	<i>Column B</i>
<i>Enactment conferring function</i>	<i>Limitation</i>
The Community Care and Health (Scotland) Act 2002	
Section 4	
The functions conferred by Regulation 2 of the Community Care (Additional Payments) (Scotland) Regulations 2002(

Part 2

Services currently provided by the Local Authority which are to be integrated

Scottish Ministers have set out in guidance that the services set out below must be integrated and this is the full list of services that will be integrated from South Lanarkshire Council perspective. These are delegated in so far as they relate to adults only.

- Social work services for adults and older people
- Services and support for adults with physical disabilities and learning disabilities
- Mental health services
- Drug and alcohol services
- Adult protection and domestic abuse
- Carers support services
- Community care assessment teams
- Support services
- Care home services
- Adult placement services
- Health improvement services
- Aspects of housing support, including aids and adaptations
- Day services
- Local area co-ordination
- Respite provision
- Occupational therapy services
- Re-ablement services, equipment and telecare

Annex 3

Hosted Services Proposed Arrangements between North and South Lanarkshire Integration Joint Boards

Where a Health Board spans more than one Integration Joint Board, one of them might manage a service on behalf of the other(s). This Annex sets out those arrangements which the Parties wish to put in place. Such arrangements are subject to the approval of the Integration Joint Board but will not be subject to Ministerial approval.

Services to be hosted by the South Lanarkshire Integration Joint Board	Services to be hosted by the North Lanarkshire Integration Joint Board
Community Dental Services	Care Home Liaison
Diabetes	Community Children's Services
Health and Homelessness	Paediatrics
Primary Care Administration	Dietetics
Palliative Care	Mental Health and Learning Disability
Primary Care Out of Hours	Psychology
Traumatic Brain Injury	Continence Services
Occupational Therapy	Podiatry
Physiotherapy	Sexual Health
	Speech and Language
	Substance Misuse
	Prisoner Health Care

Annex 4

Health and Wellbeing Outcomes

Outcome 1 – People are able to look after and improve their own health and wellbeing and live in good health for longer

Outcome 2 – People, including those with disabilities, long term conditions, or who are frail, are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community

Outcome 3 – People who use health and social care services have positive experiences of those services and have their dignity respected

Outcome 4 – Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services

Outcome 5 – Health and social care services contribute to reducing health inequalities

Outcome 6 – People who provide unpaid care are supported to look after their own health and wellbeing, including to reduce any negative impact of their caring role on their own health and wellbeing

Outcome 7 – People who use health and social care services are safe from harm

Outcome 8 – People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide

Outcome 9 – Resources are used effectively and efficiently in the provision of health and social care services

Report

10

Report to:	Executive Committee
Date of Meeting:	2 February 2022
Report by:	Executive Director (Finance and Corporate Resources)

Subject:	Tackling Poverty Working Group Progress Report
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ update the Executive Committee on the progress of the Tackling Poverty Working Group and present recommendations for approval.

2. Recommendation(s)

2.1. The Executive Committee is asked to approve the following recommendation(s):-

- (1) that the work which has been undertaken by the Tackling Poverty Working Group be noted; and
- (2) that the recommendations outlined in Section 5 of the report be approved.

3. Background

3.1. At its special meeting held on 27 February 2019, the Council approved proposals for a Cross-party Working Group to be formed on Tackling Poverty. An initial meeting was held on 12 February 2020, however, due to the Covid-19 pandemic the Group did not meet again until 3 February 2021 at which the Group considered its forward work plan.

A copy of the Working Group's Terms of Reference is attached as Appendix 1.

4. Work undertaken by the Group

4.1. On 3 February 2021, the Working Group agreed that it was interested in investigating the various approaches the Council is taking to Tackling Poverty and the contributions of each of its Resources.

4.2. The Working Group has held 7 meetings to date, and an overview of the topics covered is provided in Table 1.

Meeting Date	Items Considered
12 February 2020	Terms of Reference
3 February 2021	Covid-19 Financial Advice and Support Local Child Poverty Action Report Scottish Index of Multiple Deprivation 2020 South Lanarkshire Analysis Report Forward Workplan
31 March 2021	Resource Updates Finance and Corporate Resources Contribution to the Tackling Poverty Agenda

26 May 2021	Community and Enterprise Resources Contribution to the Tackling Poverty Agenda Fair Work
11 August 2021	Forward Meeting Plan Update Welfare Support Update Lived Experience Feedback to CPP Board Local Child Poverty - Examples of Policy and Practice from the Improvement Service Education Resources Contribution to the Tackling Poverty Agenda
6 October 2021	Housing and Technical Resources' Contribution to the Tackling Poverty Agenda Labour Market and Economic Recovery Living Wage Sub-Group Update Funeral Poverty Sub-Group Neighbourhood Planning Update Local Child Poverty Action Report Lived Experience Feedback to CPP Board
8 December 2021	Health and Social Care Contribution to the Tackling Poverty Agenda Access to Free Sanitary Provision Joint North and South Lanarkshire Community Planning Work on Inequalities Lived Experience Feedback to CPP Board

Table 1

4.3. To date the Working Group has:-

- ◆ gained an understanding of the contributions to the Tackling Poverty agenda from each of the Resources within the Council
- ◆ considered this in the context of the data which is available around poverty and its impact
- ◆ taken note of the lived experience feedback provided from various groups with experience of the effects of poverty
- ◆ examined the work of various partnership groups which address aspects of poverty related issues

4.4. On 8 December 2021, the Working Group agreed to hold its final meeting on 11 January 2022 to agree the recommendations to be presented to the Executive Committee for approval, in line with the Terms of Reference (see Appendix 1).

5. Recommendations from the Working Group

5.1. The Group has agreed that the following recommendations be referred to the Executive Committee and that future work of the Council should:

- consider how we are articulating the impacts of work in order to build an understanding of the most effective approaches to tackling poverty
- use the information gathered on impact and lived experience to determine the best solutions which should be resourced to address poverty related issues
- make use of the Fairer Scotland Duty as a means of ensuring all council services are held to account regarding reducing the impact of poverty on people's lives

- create an action plan which is focused on how to co-ordinate this work and integrate it with other workstreams both within the Council and with the work of partners

5.2. If these recommendations are approved by the Executive Committee, officers would be asked to develop the action plan which would contain the specific actions including responsibilities and timescales to deliver these recommendations. The work of the Tackling Poverty Working Group has been crosscutting and has been an effective way of pulling together all the work the Council has been doing to tackle poverty. The action plan will recognise existing work being carried out, including statutory requirements for reporting, and be aligned to the actions in the new Council and Community Plans which are under development. This would then be reported back to the Executive Committee at a further meeting.

6. Employee Implications

6.1. There are no immediate employee implications arising from this report.

7. Financial Implications

7.1. Any financial implications will be met within existing budgets.

8. Climate Change, Sustainability and Environmental Implications

8.1. There are no implications for climate change, sustainability or the environment in terms of the information contained within this report.

10. Other Implications

10.1. There are no other implications arising from this report at present.

11. Equality Impact Assessment

11.1. There is no requirement for an Equality Impact Assessment arising from this report.

Paul Manning
Executive Director
Finance and Corporate Resources

14 January 2022

Link to Council Ambitions/Objectives/Values

Vision: Improve the Quality of life for everyone in South Lanarkshire

Council Values: Focussed on people and their needs; Working with and respecting others; Fair, open and sustainable; Excellent Employer

Council Objectives :Support our communities by tackling disadvantage and deprivation and supporting aspiration; Support the local economy by providing the right conditions for inclusive growth

Previous References

- Executive Committee

List of Background Papers

- None

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

Rhonda Leith, Community Engagement Manager, South Lanarkshire Council

Ext: 5783 (Tel: 01698 455783)

Email: rhonda.leith@southlanarkshire.gov.uk

Tackling Poverty Working Group

Terms of Reference

1 Powers and Responsibilities

- 1.1 To have a strategic overview of initiatives in relation to poverty prevention and reduction.
- 1.2 To consider and agree a workplan which will focus on particular areas, for example, the Local Child Poverty Action Plan, welfare reform, benefits maximisation advice and support, reducing the costs of living, the employability programme and poverty awareness raising and engagement activities.
- 1.3 To refer any recommendations arising, as appropriate, to the Executive Committee.

2 Membership

- 2.1 11 members with provision for named substitutes (Political balance: 4 SNP; 3 Labour; 2 Conservative; 1 Independent Group and 1 Liberal Democrat)
- 2.2 To be chaired by a member of the Working Group - appointed at the first meeting of the Group.

3 Meeting Arrangements and Practice

- 3.1 To meet as required.
- 3.2. Meetings not held in public - Internal Working Group.

