

Report

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| Report to: | Risk and Audit Scrutiny Forum |
| Date of Meeting: | 31 January 2017 |
| Report by: | Executive Director (Finance and Corporate Resources) |

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| Subject: | Good Governance Action Plan 2016/2017 - Quarter 2 Progress Report |
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ advise the Forum of progress made against the Good Governance Action Plan as at the end of September 2016.

2. Recommendation(s)

2.1. The Risk and Audit Scrutiny Forum is asked to approve the following recommendation:-

- (1) that progress against the Good Governance Action Plan, detailed at Appendix 1 be noted.

3. Background

3.1. The Good Governance Action Plan highlights improvement areas which have been identified through the annual governance assessment. The Action Plan is developed in parallel with and informs the Governance Statement which is included in the Annual Accounts.

3.2. Progress against the Action Plan is reported to the Risk and Audit Scrutiny Forum on a regular basis as part of the formal governance assessment process. This report provides progress against actions undertaken by Resources as at Quarter 2 of the Resource Planning reporting process.

4. Good Governance Action Plan

4.1. The Action Plan is considered by the Good Governance Group using a reporting format which is linked to Resource Plans and provides a clear overview of progress being made by each Resource on specific governance actions highlighted by the Governance Statement.

4.2. Progress to date against the 2016/2017 Action Plan is attached at Appendix 1. The content of this report is based on progress reported against Resource Plans at Quarter 2 to Resource Committees. It should be noted, however, that some of the commentary contained in the Appendix to this report has been expanded upon to provide additional information for this Forum. The Appendix provides details of the control measures and the actions undertaken by Resources.

- 4.3. This report has been produced using the council's performance management reporting system IMPROVe, and uses a traffic light format with the following definitions to give a status report on each measure:

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| Green | The timescale or target has been met as per expectations |
| Amber | There has been minor slippage against timescale or minor shortfall against target |
| Red | There has been major slippage against timescale or major shortfall against target |
| To be reported later | For some measures, the statistics are not yet available to allow us to say whether the target has been reached or not. These will be reported when available |

- 4.4. The overall summary of progress to date by Resource is as follows:

| Resource | Green | Amber | Red | To be reported later | Total |
|--------------------------|--------------|--------------|------------|-----------------------------|--------------|
| Community and Enterprise | 11 | 0 | 0 | 0 | 11 |
| Education | 6 | 0 | 0 | 0 | 6 |
| Finance and Corporate | 19 | 0 | 0 | 0 | 19 |
| Housing and Technical | 11 | 0 | 0 | 0 | 11 |
| Social Work | 8 | 0 | 0 | 2 | 10 |
| Total | 55 | 0 | 0 | 2 | 57 |

- 4.5. The next report to be presented at the Risk and Audit Scrutiny Forum will be the Quarter 4 progress report which will include the Governance Statement for 2016-17.

5. Employee Implications

- 5.1. Key officers will continue to attend the Good Governance Group and commit time to reviewing and monitoring the revised approach to governance and assurance.
- 5.2. Officers are assigned to lead on areas identified as improvement areas.

6. Financial Implications

- 6.1. There are no financial implications directly associated with this report.

7. Other Implications

- 7.1. There are no other implications for risk or sustainability in terms of the information contained in this report.

8. Equality Impact Assessment and Consultation Arrangements

- 8.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and, therefore, no impact assessment is required.

Paul Manning
Executive Director (Finance and Corporate Resources)

21 December 2016

Link(s) to Council Objectives/Values

- ◆ All Council Objectives and Values

List of Background Papers

- ◆ Annual Accounts – Governance Statement 2016
- ◆ RASF Report - Good Governance Action Plan 2016-17 – Q1 Progress Report - 8 November 2016

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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