



Council Offices, Almada Street
Hamilton, ML3 0AA

Wednesday, 11 July 2018

Dear Councillor

Executive Committee

The Members listed below are requested to attend a meeting of the above Committee to be held as follows:-

Date: Wednesday, 28 March 2018

Time: 10:00

Venue: Committee Room 1, Council Offices, Almada Street, Hamilton, ML3 0AA

The business to be considered at the meeting is listed overleaf.

Members are reminded to bring their fully charged tablets to the meeting

Yours sincerely

Lindsay Freeland
Chief Executive

Members

John Ross (Chair/ex officio), Maureen Chalmers (Depute Chair), Alex Allison, John Anderson, John Bradley, Walter Brogan, Robert Brown, Graeme Campbell, Gerry Convery, Margaret Cooper, Peter Craig, Maureen Devlin, Joe Fagan, Allan Falconer, Alistair Fulton, Lynsey Hamilton, Ann Le Blond, Eileen Logan, Katy Loudon, Joe Lowe, Colin McGavigan, Mark McGeever, Jim McGuigan, Davie McLachlan, Gladys Miller, Richard Nelson, David Shearer, Josh Wilson

Substitutes

Jackie Burns, Stephanie Callaghan, Andy Carmichael, Ian Harrow, Graeme Horne, Martin Grant Hose, Richard Lockhart, Hugh Macdonald, Catherine McClymont, Kenny McCreary, Mo Razzaq, Graham Scott, Collette Stevenson, Sheena Wardhaugh

BUSINESS

- 1 **Declaration of Interests**
- 2 **Minutes of Previous Meeting** 5 - 26
Minutes of the meeting of the Executive Committee held on 28 February 2018 submitted for approval as a correct record. (Copy attached)

Monitoring Item(s)		
3	Capital Budget Monitoring for Period 12 - 1 April 2017 to 2 February 2018	27 - 34
	Report dated 21 February 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)	
4	Revenue Budget Monitoring for Period 12 - 1 April 2017 to 2 February 2018 and 2017/2018 Probable Outturn	35 - 40
	Report dated 12 February 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)	
5	Trading Services Financial Performance for Period 1 April 2017 to 2 February 2018	41 - 44
	Report dated 12 February 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)	
6	Additional Funding from Scottish Government and Other External Sources	45 - 48
	Report dated 20 February 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)	

Item(s) for Decision		
7	2018/2019 Internal Audit Plan	49 - 60
	Report dated 5 March 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)	
8	Employment Programmes in Early Years and Social Care	61 - 64
	Report dated 9 March 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)	
9	Supplier Development Programme (SDP)	65 - 70
	Joint report dated 8 March 2018 by the Executive Director (Community and Enterprise Resources). (Copy attached)	
10	Recommendations Referred by Community and Enterprise Resources Committee	71 - 74
	Report dated 8 March 2018 by the Chief Executive. (Copy attached)	
11	Representation on Committees etc and Outside Bodies	75 - 78
	Report dated 8 March 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)	
12	Outcome of the Statutory Consultation on Duncanrig and Calderglen High Schools	79 - 82
	Report dated 7 February 2018 by the Executive Director (Education Resources). (Copy attached)	
13	Land and Property Transfers and Disposals	83 - 86
	Report dated 12 March 2018 by the Executive Director (Housing and Technical Resources). (Copy attached)	

- 14 Representation on the Education Resources Committee - Update** 87 - 88
Joint report dated 12 March 2018 by the Executive Directors (Education Resources) and (Finance and Corporate Resources). (Copy attached)

Item(s) for Noting

- 15 Revenue Budget and Level of Local Taxation 2018/2019 - Local Government Finance (Scotland) Order 2018** 89 - 92
Report dated 7 March 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)
- 16 Sustainable Development Update** 93 - 112
Report dated 8 March 2018 by the Executive Director (Community and Enterprise Resources). (Copy attached)
- 17 Fairer Scotland Duty** 113 - 116
Report dated 15 February 2018 by the Executive Director (Finance and Corporate Resources). (Copy attached)

Urgent Business

- 18 Urgent Business**
Any other items of business which the Chair decides are urgent.

For further information, please contact:-

Clerk Name: Gordon Bow

Clerk Telephone: 01698 454719

Clerk Email: gordon.bow@southlanarkshire.gov.uk

EXECUTIVE COMMITTEE

Minutes of meeting held in Committee Room 1, Council Offices, Almada Street, Hamilton on 28 February 2018

Chair:

Councillor John Ross (ex officio)

Councillors Present:

Alex Allison, John Anderson, John Bradley, Walter Brogan, Robert Brown, Stephanie Callaghan (*substitute for Councillor Chalmers*), Graeme Campbell, Gerry Convery, Margaret Cooper, Peter Craig, Maureen Devlin, Joe Fagan, Allan Falconer, Alistair Fulton, Lynsey Hamilton, Martin Grant Hose (*substitute for Councillor McGavigan*), Ann Le Blond, Eileen Logan, Katy Loudon, Joe Lowe, Catherine McClymont (*substitute for Councillor McLachlan*), Kenny McCreary (*substitute for Councillor Nelson*), Mark McGeever, Jim McGuigan, Gladys Miller, David Shearer, Josh Wilson

Councillors' Apologies:

Maureen Chalmers (Depute), Colin McGavigan, Davie McLachlan, Richard Nelson

Attending:

Chief Executive's Service

L Freeland, Chief Executive

Community and Enterprise Resources

M McGlynn, Executive Director

Education Resources

T McDaid, Executive Director

Finance and Corporate Resources

P Manning, Executive Director; G Bow, Administration Manager; G McCann, Head of Administration and Legal Services; K McVeigh, Head of Personnel Services; J Taylor, Head of Finance (Strategy); L Wyllie, Administration Assistant

Housing and Technical Resources

D Lowe, Executive Director

Social Work Resources/Health and Social Care

L Purdie, Head of Children and Justice Services

1 Declaration of Interests

No interests were declared.

2 Minutes of Previous Meeting

The minutes of the meeting of the Executive Committee held on 31 January 2018 were submitted for approval as a correct record.

The Committee decided: that the minutes be approved as a correct record.

3 Capital Budget Monitoring for Period 11 - 1 April 2017 to 5 January 2018

A report dated 24 January 2018 by the Executive Director (Finance and Corporate Resources) was submitted on the physical and financial progress at 5 January 2018 on the various Capital Programmes.

The General Fund Capital Programme included Education Resources, Social Work Resources, Roads and Transportation and General Services. The General Fund Programme totalled £78.317 million and the Housing Programme £40.581 million. At 5 January 2018, £41.605 million had been spent on the General Fund Capital Programme and £25.142 million on the Housing Capital Programme.

The General Fund Programme had been increased from £78.291 million to £78.317 million to take account of a proposed adjustment detailed in Appendix 2 to the report.

Following a probable outturn exercise, it was forecast that the total spend to 31 March 2018 would be £65.313 million. This was an underspend of £13.004 million, mainly due to the Primary Schools' Modernisation Programme.

Ongoing monitoring of the Capital Programmes would be undertaken by the Financial Resources Scrutiny Forum and reports submitted to this Committee on a regular basis.

The Committee decided:

- (1) that the position on the various Capital Programmes for 2017/2018, as detailed in the report, be noted; and
- (2) that the proposed adjustment to the General Fund Capital Programme, as detailed in Appendix 2 to the report, be approved.

[Reference: Minutes of 31 January 2018 (Paragraph 3)]

4 Revenue Budget Monitoring for Period 11 - 1 April 2017 to 5 January 2018 and 2017/2018 Probable Outturn

A report dated 15 January 2018 by the Executive Director (Finance and Corporate Resources) was submitted comparing actual expenditure against budgeted expenditure for the period ending 5 January 2018.

The figures included an underspend of £1.125 million on the General Fund Revenue Account and a breakeven position on the Housing Revenue Account.

A number of factors impacting on the Revenue Budget 2017/2018 were detailed in the report.

Following a probable outturn exercise, it was forecast that there would be an underspend of £0.500 million at 31 March 2018. This amount would be transferred to the Uncommitted General Fund.

The Committee decided:

- (1) that the underspend on the General Fund Revenue Account of £1.125 million at 5 January 2018 and forecast underspend to 31 March 2018 of £0.500 million be noted; and
- (2) that the breakeven position on the Housing Revenue Account at 5 January 2018 and the forecast outturn to 31 March 2018 of a breakeven position be noted.

[Reference: Minutes of 31 January 2018 (Paragraph 4)]

5 Trading Services' Financial Performance for Period 11 - 1 April 2017 to 5 January 2018

A report dated 15 January 2018 by the Executive Director (Finance and Corporate Resources) was submitted on the projected financial results for 2017/2018 for the Council's Trading Services.

In the period to 8 December 2017, the target for Trading Services' surpluses was revised to £9.905 million to reflect a decrease to the budgeted trading surplus of £0.138 million. In the period to 5 January 2018, this figure had been further revised to £9.907 million to reflect a transfer of budget from the Fleet Trading Operation to Community and Enterprise Resources of £0.002 million.

At 5 January 2018, surpluses of £7.100 million had been achieved.

Following a probable outturn exercise, it was forecast that the surplus at 31 March 2018 would be £9.753 million.

Officials were working to maximise the levels of surplus using the projected figure as a minimum target.

The Committee decided:

- (1) that the surpluses achieved to 5 January 2018 of £7.100 million be noted; and
- (2) that following a probable outturn exercise, the revised forecast surplus to 31 March 2018 of £9.753 million be noted.

[Reference: Minutes of 31 January 2018 (Paragraph 5)]

6 Housing Revenue and Capital Account Budget 2018/2019 - Recommendations Referred from Special Housing and Technical Resources Committee

A report dated 22 February 2018 by the Chief Executive was submitted on recommendations referred to this Committee by the special meeting of the Housing and Technical Resources Committee of 21 February 2018.

The recommendations of the special Housing and Technical Resources Committee were as follows:-

- ◆ that the Housing Revenue Account Budget 2018/2019 and the Housing Capital Programme 2018/2019 be endorsed and referred to the Council for approval subject to the removal of the following from the Housing Revenue Account savings proposals for 2018/2019 in relation to the rationalisation of services in tower blocks:-
 - ◆ removal of Greenhill Court, East Kilbride night shift cover £0.087 million
 - ◆ reduction in communal cleaning £0.021 million
 - ◆ rationalisation of the laundry service £0.010 million

The Committee decided: that the recommendations referred by the Housing and Technical Resources Committee (special) of 21 February 2018 be endorsed and referred to the Council for approval.

[Reference: Minutes of special meeting of Housing and Technical Resources Committee of 21 February 2018 (Paragraph 2)]

7 Overall Position of the Revenue Budget 2018/2019 and Savings Proposals

A report dated 21 February 2018 by the Executive Director (Finance and Corporate Resources) was submitted on:-

- ◆ the updated level of grant funding allocated to the Council in 2018/2019
- ◆ an updated prioritised package of savings proposals developed in preparation for the 2018/2019 budget

As part of the budget process, the Scottish Government had notified councils of additional grant funding of £2.053 million for 2017/2018 and £7.440 million for 2018/2019. It was proposed to allocate the £2.053 million to Reserves and combine the 2 amounts, giving an overall benefit of £9.493 million to the 2018/2019 Revenue Budget Strategy which, when added to the level of benefit previously identified, showed a revised overall benefit to the original budget strategy of £25.893 million.

It was proposed that the 2018/2019 Budget Strategy include an anticipated £3.800 million based on an increase in Council Tax of 3% which would increase the net benefit to the strategy to £29.693 million.

To reflect this improved position, it was proposed that the savings package be reduced by an additional £1.429 million which, when combined with previous savings package reductions proposed on 31 January 2018 of £6.855 million, gave an overall proposed reduction in the original savings package of £8.284 million. The savings removed or reduced from the original savings package were detailed in the report.

Taking account of the additional grant funding made available to the Council, it was proposed that £8.545 million be used to invest in new initiatives around the following areas:-

◆ Free Breakfast Clubs	(£0.225 million)
◆ Holiday Lunch Clubs	(£0.225 million)
◆ Year of Young People	(£0.050 million)
◆ Free Lets for Parent Council / Parent/Teacher Association	(£0.070 million)
◆ Extending Concessions for Under 16 Clubs	(£0.035 million)
◆ Uplift in Grants Paid to Third Sector Organisations	(£0.080 million)
◆ Teachers plus Classroom Support	(£3.000 million)
◆ Employability – Early Years and Social Care	(£4.000 million)
◆ Free School Meals and Clothing grant	(£0.860 million)

Those reductions in savings would result in the impact on the strategy of an additional £12.864 million after the Grant settlement and Council Tax increase.

It was proposed that £10.500 million of the £12.864 million improvement in the strategy position be used to reinstate Reserves into 2019/2020, leaving a balance of £2.364 million. This would reduce the Reserves required for 2019/2020 to offset the level of savings from the previously agreed £5.300 million to £2.936 million.

A package of savings totalling £15.245 million had been developed and was detailed in the appendices to the report. The package of savings had been amended to reflect the removal/amendments of savings totalling £8.284 million. The savings had been broken down into the following categories:-

◆ savings previously approved	£0.234 million
◆ efficiency and outturn	£8.376 million
◆ charging	£0.274 million
◆ service impact	£6.361 million

The savings previously approved totalling £0.234 million were those where the Council had taken a decision to implement the strategy/principle within the proposed saving.

The allocation of General Capital Grant for 2018/2019 totalling £27.607 million had been confirmed. The approved Capital Budget for 2018/2019 had been based on an estimate of £24.000 million, therefore, there was an additional £3.607 million of General Capital Grant for use in 2018/2019. It was proposed that £3.000 million be allocated to the improvement of footways (£1.000 million) and carriageways (£2.000 million) to mitigate the impact of the Roads Carriageway savings contained in the overall savings package.

A separate report had been issued to all members summarising the outcome of a wide-ranging consultation exercise carried out during December 2017.

In terms of the savings requirement beyond 2018/2019, those would continue to present a considerable challenge for the Council and would require further consideration of areas of service delivery.

Councillor Ross, seconded by Councillor Craig, moved that the recommendations contained in the report be approved. Councillor Allison, seconded by Councillor Le Blond, moved the following amendment:-

Proposal as per 28 February 2018 paper			Amendment Proposed to Budget Paper			Amendment impact on Budget
Council Tax Increase	3% Increase (section 6)	£3.8m	Amendment	1% Increase	£1.3m	-£2.500m
Savings Removed	As per Executive Committee Report 28 Feb (Section 7)	£8.284m	Amendment	As detailed below (see tables 2a-c)	£9.063m	-£0.779m
New Initiatives	As per Executive Committee Report 28 Feb (Sections 8 & 9)	£8.545m	Amendment	As detailed below (see tables 3a-c below)	£7.225m	+£1.320m
Changes to Temporary Corporate Funding Solutions	As per Executive Committee Report 28 Feb (Section 10)	£12.864m	Amendment		£10.905m	+£1.959m
			Total impact of amendment			£0

1. **Council Tax:** That the proposed increase to Council Tax be 1%, and not 3% as proposed in the report (page 39 Section 6). **This would represent a cost to the budget strategy of £2.500 million.**

2. **Savings Removed:** That £9.063 million of savings be removed from the savings package, rather than £8.284 million as proposed in the report (section 7). This was made up of:-
- ◆ reduction in the savings removed (Table 2a below) – Reduction in cost of £3.799 million
 - ◆ additional savings to be removed (Table 2b below) – Cost of £1.279 million
 - ◆ full savings to be removed (Table 2c below) – Cost of £3.299 million
 - ◆ **those changes would result in a total cost to the budget of £0.779 million.**
3. **New Initiatives:** That New Initiatives of £7.225 million be proposed, as opposed to £8.545 million of New Initiatives as proposed in the report (sections 8 and 9). This was made up of:-
- ◆ removal of new initiatives (Table 3a below) – Reduction in Cost of £1.270m
 - ◆ Reduction in New Initiatives (Table 3b below) – Reduction in Cost of £3.500m
 - ◆ Additional New Initiatives (Table 3c below) – Cost of £3.450m
 - ◆ **These changes would result in a total reduction in cost to the budget of £1.320m.**
4. **Changes to Temporary Corporate Funding Solutions:** That the use of funds to offset Corporate Funding Solutions be set at £10.905m, as opposed to the Executive Committees proposal of £12.864m (section 10). **This is a reduction in the cost of the budget of £1.959m.**

Table 2a: Reduction in Savings to be removed.

The Report proposes the removal of a number of savings from the originally presented savings package (this is covered in section 7 of report of the 28 February). This amendment proposes that the following savings are not removed from the Savings Package:

Ref.	Description	FTE	Value £m
COR02	Business Support	20.0	0.500
CER02	Staffing Structure - Roads Contracting Restructure	2.0	0.250
CER06	Pitch Maintenance Regime	1.0	0.029
CER10	South Lanarkshire Leisure and Culture Staffing Structure – Saving Reduced	4.8	0.240
CER18	Halls Staffing Structure	2.7	0.058
CER29	Market Surveillance Approach to Trading Standards Inspections	2.0	0.080
CER31	Planning and Economic Development Service – Saving Reduced	3.0	0.150
CER33	Employability Programmes		0.480

Ref.	Description	FTE	Value £m
CER37	Remove Night Shift Council Officer Cover at HQ and Realign Frequency of Duties in all Principle Offices	4.9	0.146
CER40	Town Centre Maintenance	-	0.020
CER42	Payments to Other Bodies	-	0.012
CER44	Rationalisation of Library Attendants – Saving Reduced	2.0	0.038
CER46	Community Managed Halls	1.0	0.025
CER49	Provision of Cleaning in Council Offices and Depots	12.4	0.150
EDR02	Realignment of Property Costs, Supplies and Services and Administration Costs Budgets	-	0.337
EDR07	Behaviour Support in Secondary Schools	3.0	0.085
FCR07	Supported Employment and Management	1.0	0.200
FCR08	IT Services	9.0	0.810
HTR05	Lindsay House	2.0	0.060
SWR04	In House Care Support Services	-	0.100
Charges:			
CER23	Price Increases – Calderglen Zoo	-	0.029
	TOTAL	70.8	3.799

This represents a reduction in the cost to the budget strategy of £3.799m (70.8 FTE)

Table 2b – Additional savings to be removed

The Report proposes the removal of a number of savings from the originally presented savings package (this is covered in section 7 of report of the 28 February). This amendment proposes that, in addition, the following savings are also removed from the Savings Package:

Ref.	Description	FTE	Value £m
CER28	Litter enforcement	2.0	-0.050
CER30	Fleet management – saving reduced	-	-0.286

Ref.	Description	FTE	Value £m
CER43	Tourism Marketing	-	-0.018
EDR09	School Technicians	10.5	-0.350
HTR02	Planned and Reactive Maintenance	-	-0.575
	TOTAL	12.5	-1.279

This represents a cost to the budget strategy of £1.279m (12.5 FTE)

Table 2c – Full savings to be removed

The Report proposes the part removal of a number of savings from the originally presented savings package (this is covered in section 7 of report of the 28 February). This amendment proposes that, in addition, the remainder of the following savings are also removed from the Savings Package:

		Proposed Value Removed £m	FTE Impact	Impact on Budget £m
CER07	Grounds Staffing Structure – full saving removed	0.075	1	-0.038
CER27	Environmental Services – full saving removed	0.180	2	-0.090
CER41	Business Support Grants – Full saving removed	0.160	1	-0.045
CER45	Carriageway Resurfacing Programme – full saving removed	4.366		-3.000
SWR05	Adult and Older People Day Care	0.550		-0.100
CER24	Activage Membership	0.200		-0.013
SWR01	Increase in charge for Community Alert Alarm	0.130		-0.013
Total			-4	-3.299

This represents a cost to the budget strategy of £3.299 (4 FTE)

Table 3a: Removal of New Initiatives

The Report proposes a number of New Initiatives (this is covered in sections 8 & 9 of report of the 28 February). This amendment proposes that the following new initiatives be removed and should not form part of the approved budget.

	Impact on Budget £m
Holiday Lunch Clubs	0.225
Free Lets for Parent Council / PTA fundraising	0.070
	Impact on Budget £m
Extending Concessions for under 16 Clubs	0.035
Uplift in grants to third sector Organisations	0.080
Free school meals and clothing grants	0.860
TOTAL	1.270

This represents a reduction to the cost to the budget strategy of £1.270m

Table 3b – Reduction in New Initiatives

The Report proposes a number of New Initiatives (this is covered in section 8 & 9 of report of the 28 February). This amendment proposes that the following new initiatives should not be approved in full, but should instead be included as part of the approved budget in reduced form.

	Proposed New Initiative £m	Impact on Budget £m
Teachers plus classroom support	3.000	1.500
Employability – Early years and Social Care	4.000	2.000
TOTAL		3.500

This represents a reduction to the cost to the budget strategy of £3.500m

Table 3c – Additional New Initiatives:

The Report proposes a number of New Initiatives (this is covered in sections 8 & 9 of report of the 28 February). This amendment proposes that the following new initiatives should be included in the approved budget.

	£m
Add : Free School Budgets This will be distributed to schools on a per head basis (approx. £22) This will allow the head teacher a fund to use for the benefit of the school in the way that best suits the school.	-1.000
Add : Residential Gritting Pilot In the winter weather residential areas path clearing and gritting is not always possible. This pilot project is to identify ways that council can support local residents to clear their own areas and have access to primary gritting routes.	-0.400
	£m
Add : Additional Investment in Roads and pavements The current maintenance budget for roads and paths is not capable of maintaining the roads and paths in an acceptable standard. This increase is to address this problem	-1.500
Add : Primary School Property The new primary schools are an asset to the education of our pupils it is essential that the buildings and land are kept and adapted in a prime condition	-0.250
Add : Halls Many of our halls are in need of attention and this is to support an increased level of maintenance on these buildings.	-0.300
Total	-3.450

This represents a cost to the budget strategy of £3.450m

Councillor Brogan, seconded by Councillor Devlin, moved as a second amendment the following:-

Proposal as per 28 February 2018 paper			Amendment Proposed to Budget Paper		Amendment impact on Budget	
Council Tax Increase	3% Increase (section 6)	£3.8m	No change		£3.8m	
Savings Removed	As per Executive Committee Report 28 Feb (Section 7)	£8.284m	Amendment	As detailed below (see table 2 below)	£11.384m	-£3.100 m

Proposal as per 28 February 2018 paper			Amendment Proposed to Budget Paper		Amendment impact on Budget	
New Initiatives	As per Executive Committee Report 28 Feb (Sections 8 & 9)	£8.545m	No Change		£8.545m	
Changes to Temporary Corporate Funding Solutions	As per Executive Committee Report 28 Feb (Section 10)	£12.864m	Amendment	See section 1.4.(2) below	£9.764	£3.100 m
			Total impact of amendment		£0	

5. **Savings Removed:** That £11.384m of savings be removed from the savings package, rather than £8.284m as proposed in the Executive Committee paper (section 7). This is made up of :

- ◆ Full Savings to be removed (Table 2 below) – Cost of £3.100 m
- ◆ Those changes would result in a total cost to the budget of **£3.100m**

6. **Changes to Temporary Corporate Funding Solutions:** The Labour Amendment is to offset the additional £3.1m cost to the budget through additional use of Reserves. The change in the budget paper to allow this is through a reduction in the funding used to Change Temporary Corporate Funding Solutions. **This is a reduction in the cost of the budget of £3.1m**

Table 2 – Full savings to be removed

The Report proposes the part removal of a number of savings from the originally presented savings package (this is covered in section 7 of report of the 28 February). This amendment proposes that, in addition, the remainder of the following savings are also removed from the Savings Package:

		Proposed Value Removed £m	Impact on Budget £m
CER45	Carriageway Resurfacing Programme – full saving removed	4.366	-3.000
SWR05	Adult and Older People Day Care – full saving removed	0.550	-0.100
Total			-3.100

This represents a cost to the budget strategy of £3.100 m

On a vote being taken by a show of hands between the second amendment (moved by Councillor Brogan and seconded by Councillor Devlin) and the first amendment (moved by Councillor Allison and seconded by Councillor Le Blond), 8 members voted for the second amendment and 6 voted for the first amendment. The second amendment was declared carried and placed against the motion. On a vote being taken by a show of hands, 8 members voted for the amendment and 14 voted for the motion which was declared carried.

The Committee decided:

- (1) that the updated 2017/2018 grant allocation and the transfer of £2.053 million to Reserves be approved;
- (2) that the updated summary of the 2018/2019 Revenue Budget, including the additional grant allocation, be noted;
- (3) that the inclusion in the budget strategy of an anticipated increase to Council Tax of 3% be endorsed and referred to the Council for approval;
- (4) that the new initiatives detailed in the report be approved;
- (5) that the proposed changes to the corporate solutions of £10.500 million be approved;
- (6) that the package of already approved savings totalling £0.234 million be noted;
- (7) that the remaining package of savings totalling £15.011 million be approved; and
- (8) that the use of £3.000 million of additional General Capital Grant totalling £3.607 million to improve footpaths and roads carriageways, as detailed in the report, be approved.

8 Revenue Budget and Level of Local Taxation 2018/2019

A report dated 21 February 2018 by the Executive Director (Finance and Corporate Resources) was submitted on:-

- ◆ the outcome of the budget process and the content of the Council's Revenue Budget for 2018/2019
- ◆ the proposed Revenue Budget for 2018/2019 and base budget allocation to Resources
- ◆ the proposed level of Council Tax for 2018/2019
- ◆ arrangements to monitor and review budgetary performance at Service, Resource and corporate levels

Following approval of the previous agenda item, in relation to the overall position of revenue budget 2018/2019 and savings proposals, the revenue budget for 2018/2019 was now £684.493 million and was made up as follows:-

	£ million
Total Services Gross Expenditure	837.356
Deduct: Total Services Gross Income	203.781
Net Service Spending	633.575
Add: Loan Charges	57.772
Add: Corporate Items	4.605
Deduct: Surplus from Trading Services	11.459
Net Expenditure	£684.493

Proposed base revenue budgets for Resources, taking account of the revised savings for all Resources and savings from cross Resource reviews, were as follows:-

2018/2019 Proposed Phased Budget	
	£ million
Community and Enterprise Resources	116.849
Education Resources	313.699
Finance and Corporate Resources	31.899
Housing and Technical Resource	17.371
Social Work Resources	151.379
Total	£631.197

In addition, it was proposed that the 2018/2019 Revenue Budget for Joint Boards be set at £2.378 million.

The budget was conditional on the approval of the Local Government Finance (Scotland) Order and a Finance Circular confirming this would be issued on 6 March 2018. A report would be submitted to the next meeting of this Committee noting receipt of the Circular to allow this condition to be purified.

An update on the following elements and their impact on the 2018/2019 Revenue Budget was provided:-

- ◆ the Living Wage
- ◆ Government Grant
- ◆ Non-Domestic Rates
- ◆ Council Tax and Other Balances

In terms of Council Tax, it was suggested that a yield of £128,534 for £1 tax at Council Tax Band D be used in the 2018/2019 budget. This represented an increase when compared to 2017/2018 due to an increase in the number of properties. At 97.125%, this represented a continuation of the collection rate performance achieved in recent years.

It was proposed that the governance arrangements, incorporating the work carried out by the Performance and Review Scrutiny Forum, the Risk and Audit Scrutiny Forum and the Financial Resources Scrutiny Forum be continued.

A number of actions which were required to finalise the Council's Revenue Budget for 2018/2019 were detailed.

In relation to 2018/2019 Council Tax annual billing, the Council would replace the printed budget insert currently provided to all households with online information and associated guidance on how to access budget information.

The Committee recommended to the Council:

- (1) that the Revenue Budget for 2018/2019, as detailed above, be approved;
- (2) that the budget allocations for each Resource, as detailed above, be approved;
- (3) that the Band D Council Tax for 2018/2019 be set at a figure of £1134;
- (4) that the actions required to finalise the Council's detailed Revenue Budget for 2018/2019 be approved; and

- (5) that, in line with the Council's governance arrangements, the functions carried out by the Performance and Review Scrutiny Forum, the Risk and Audit Scrutiny Forum and the Financial Resources Scrutiny Forum be continued in 2018/2019.

[Reference: Minutes of 31 January 2018 (Paragraph 7)]

9 Pay Model Structure and Living Wage

A report dated 20 February 2018 by the Executive Director (Finance and Corporate Resources) was submitted on:-

- ◆ updated arrangements in respect of the Living Wage
- ◆ proposed changes to the Council's pay model, across all grades, to be implemented on a phased basis over a 2 year period, with initial changes being applied from 1 April 2018

It was proposed that the Council restructure the current pay model. Details of how this would impact on existing pay grades were provided in the report, together with changes to specific pay grades which were detailed in an attached appendix.

The principles of the restructure had been discussed with the Trades Unions and further consultation would continue throughout the implementation of the restructuring process.

Any pay offer for 2018/2019 would be applied, once approved, to the current hourly rates for pay. If the pay award for 2018/2019 was 3%, this would make the Council's entry level on the lowest spinal column to £9.00 per hour.

The changes in the pay model, which would cost an estimated £0.500 million, had been taken into account in the 2018/2019 budget strategy.

The Committee decided:

- (1) that the proposed restructure of the current pay model phased over a 2 year period, as detailed in the report, be approved;
- (2) that the changes to grades and levels, as detailed in the report and attached appendix, be implemented on a phased basis commencing on 1 April 2018; and
- (3) that the Council's living Wage of £9.00 per hour, based on a 3% pay award commencing on 1 April 2018, be noted.

[Reference: Minutes of South Lanarkshire Council of 16 February 2017 (Paragraph 4)]

10 Capital Programme 2017/2018 to 2019/2020 - Update

A report dated 5 February 2018 by the Executive Director (Finance and Corporate Resources) was submitted on the updated General Services Capital Programme for financial years 2017/2018 to 2019/2020.

The General Services Capital Account for the 3 financial years 2017/2018 to 2019/2020 had been approved on 16 February 2017. Since that approval had been given, a number of changes had been approved by this Committee throughout 2017/2018 which had added £26.276 million to the expected spend in 2018/2019. Details of those adjustments were detailed in an appendix to the report.

In addition, work was ongoing on the undernoted elements of the Programme which would have an impact on its profile expenditure:-

- ◆ Clyde Gateway
- ◆ Glasgow Region City Deal
- ◆ Replacement Care Facilities in Social Work

Taking account of all adjustments had resulted in a revised capital budget for 2018/2019 and 2019/2020 totalling £126.478 million. The various elements making up the Programme were summarised in the report, together with a proposed programme and funding package which were detailed in appendices to the report.

Scottish Government General Capital Grant of £27.607 million had been confirmed for 2018/2019 which was £3.607 million above the £24.000 million contained in the Programme. It had been agreed that £3.000 million of this allocation be invested in footways and carriageways to help mitigate the impact of the Roads Carriageway saving for 2018/2019. It was proposed that the remaining £0.607 million be allocated as follows:-

- | | |
|--|----------------|
| ◆ Springhall Regeneration Project | £0.307 million |
| ◆ Bin store areas at various locations | £0.200 million |
| ◆ Enhancement of War Memorials | £0.100 million |

The potential impact on the programme of other emerging capital issues including Education Growth and Capacities and the Expansion of Early Years from 600 hours per year to 1140 were detailed in the report.

The Committee decided:

- (1) that the adjustments to the expenditure profile in relation to Clyde Gateway, Glasgow City Region City Deal and Social Work, Residential Day Care Facilities be approved;
- (2) that confirmation of the level of General Capital Grant from the Scottish Government totalling £27.607 million, £3.607 million above what had been included in the 2018/2019 Capital Budget, be noted;
- (3) that the proposed use of the additional £3.607 million allocated to the Council, as detailed in the report, be approved;
- (4) that the General Fund Capital Programme for 2018/2019, totalling £126.478 million, as summarised in the report and detailed in the attached appendices, be approved; and
- (5) that the other emerging issues which would impact on the Capital Programme be noted.

11 Prudential Indicators 2018/2019 to 2020/2021, Treasury Management Strategy 2018/2019 and Annual Investment Strategy 2018/2019

A report dated 6 February 2018 by the Executive Director (Finance and Corporate Resources) was submitted on the:-

- ◆ Prudential Code Indicators for 2018/2019 to 2020/2021
- ◆ Council's Treasury Management Strategy for 2018/2019
- ◆ Council's Annual Investment Strategy for 2018/2019

The key objectives of the Prudential Code were to provide a clear framework to ensure that:-

- ◆ local authorities' capital investment plans were affordable, prudent and sustainable
- ◆ treasury management decisions were made in accordance with good professional practice and in full understanding of the risks involved and how those risks would be managed to levels that were acceptable to the organisation

To meet the objectives of the Prudential Code, the Council was required to:-

- ◆ report on a number of indicators
- ◆ use those indicators to demonstrate the affordability and sustainability of its capital plans
- ◆ show good treasury management practice

The Prudential Code and the resulting indicators focused on the following main areas:-

- ◆ capital expenditure plans and asset management
- ◆ treasury management practices

Details were provided on the Prudential Indicators for 2018/2019 to 2020/2021 which had been categorised as follows:-

- ◆ capital expenditure, external debt and treasury management
- ◆ affordability

The Prudential Code indicators for 2018/2019 to 2020/2021 were detailed in Appendix 1 to the report, together with explanations for each indicator. An updated position for 2017/2018 was also included.

In terms of the Council's Financial Regulations and the Chartered Institute of Public Finance and Accountancy (CIPFA) Treasury Management in Public Services Code of Practice, a Treasury Management Strategy required to be submitted to Committee each year for approval.

The main areas covered by the Strategy were:-

- ◆ debt and investment projections
- ◆ expected movement in interest rates
- ◆ borrowing strategies
- ◆ statutory repayment of loans fund advances

The Strategy for adoption by the Council was detailed in Appendix 2 to the report.

The Local Government Investments (Scotland) Regulations 2010 had been introduced on 1 April 2010 and provided a formal investment framework for local authorities. While the regulatory framework provided greater freedom for local authorities in their investment activities, there was an onus on local authorities to act prudently in relation to investment and treasury management activities.

The 2 fundamental principles of the Investment Regulations were that councils were:-

- ◆ required to manage their investments and deposits in a way that minimised the risk to the capital sum and optimised the return on the funds consistent with those risks
- ◆ able to determine what investments they made, including both the type and duration of the investment

In terms of the Regulations, the Council was required to prepare an Annual Investment Strategy prior to the start of the financial year. The Annual Investment Strategy for 2018/2019, attached as Appendix 3 to the report, covered the following areas:-

- ◆ investment policy and strategy
- ◆ treasury management risks
- ◆ permitted investments
- ◆ risk management
- ◆ borrowing in advance
- ◆ investment projection 2018/2019 to 2020/2021
- ◆ prudential indicators
- ◆ Common Good investments

The Committee recommended to the Council:

- (1) that the Prudential Code indicators for the period 2018/2019 to 2020/2021, as detailed in Appendix 1 to the report, be approved;
- (2) that the Treasury Management Strategy for 2018/2019, as detailed in Appendix 2 to the report, be approved; and
- (3) that the Council's Annual Investment Strategy for 2018/2019, as detailed in Appendix 3 to the report, be approved.

[Reference: Minutes of special meeting of South Lanarkshire Council of 16 February 2017 (Paragraph 4)]

12 Land and Property Transfers and Disposals

A report dated 30 January 2018 by the Executive Director (Housing and Technical Resources) was submitted on recommendations to declare certain areas of land and property surplus to operational requirements.

The recommendations had been made in terms of agreed procedures for dealing with surplus land and property.

The Committee decided:

- (1) that the land in connection with minor disposals, as detailed in Appendix A to the report, be declared surplus to Council requirements; and
- (2) that the land and property detailed in Appendix B to the report, which would be the subject of negotiated transactions, be declared surplus to Council requirements.

13 Recommendations Referred from Resource Committees

A report dated 13 February 2018 by the Chief Executive was submitted on recommendations which had been referred to this Committee by the:-

- ◆ Education Resources Committee of 6 February 2018
- ◆ Social Work Resources Committee of 7 February 2018
- ◆ Finance and Corporate Resources Committee of 7 February 2018

The recommendations of the Resource Committees were as follows:-

Education Resources Committee

- ◆ that the Education Resources' Resource Plan for 2017/2018 be approved
- ◆ that the revised Admissions Policy for the allocation of places to all Early Years' Establishments be approved and implemented from the start of the new term in August 2018

[Reference: Minutes of the Education Resources Committee 6 February 2018 (Paragraphs 6 and 7)]

Social Work Resources Committee

- ◆ that the Social Work Resources' Resource Plan for 2017/2018 be approved

[Reference: Minutes of the Social Work Resources Committee of 7 February 2018 (Paragraph 6)]

Finance and Corporate Resources Committee

- ◆ that the Finance and Corporate Resources' Resource Plan for 2017/2018 be approved

[Reference: Minutes of the Finance and Corporate Resources Committee of 7 February 2018 (Paragraph 9)]

The Committee decided:

- (1) that the recommendations referred by the Education Resources, Social Work Resources and Finance and Corporate Resources Committees in relation to Resource Plans for 2017/2018 be approved; and
- (2) that the recommendations referred by the Education Resources Committee in relation to the Admissions Policy be approved.

14 Single Use Items

A report dated 7 February 2018 by the Executive Director (Community and Enterprise Resources) was submitted on:-

- ◆ work carried out to review the use of single use items across the Council
- ◆ the development of an action plan to coordinate the continued reduction in the use of single use items through the Sustainable Development Member/Officer Working Group

Council Resources had, on an individual basis, been reviewing the use of single use items while looking to reduce or design out their use. A number of actions already implemented by Resources across the Council were detailed in the report.

In order to provide a more coordinated approach to this issue, it was proposed that the Sustainable Development Member/Officer Working Group coordinate the development of an action plan to:-

- ◆ reduce the Council's reliance on, and use of, single use items or evaluate possible alternatives that had a lesser impact on the environment
- ◆ assess the financial implications of any proposed changes
- ◆ report progress against the plan to this Committee on a 6 monthly basis

The Committee decided:

that the Sustainable Development Member/Officer Working Group develop an action plan on the use of single use items and report progress to this Committee on a 6 monthly basis.

15 Amendments to Committee Memberships and Representation on Outside Bodies Etc

A report dated 8 February 2018 by the Executive Director (Finance and Corporate Resources) was submitted on action taken, in terms of Standing Order No 36(c), because of the timescales involved, by the Executive Director (Finance and Corporate Resources), in consultation with the Chair and an ex officio member, for amendments to Committees and outside body memberships.

The Committee decided:

that the following action taken, in terms of Standing Order No 36(c), by the Executive Director (Finance and Corporate Resources), in consultation with the Chair and an ex officio member, be noted:-

- ◆ that Councillor Nugent be replaced by Councillor Lowe as a member of the Social Work Resources Committee
- ◆ that Councillor Lowe be replaced by Councillor Greenshields as a member of the Community and Enterprise Resources Committee
- ◆ that Councillor Brown be replaced by Councillor Cooper as a member of the Finance and Corporate Resources Committee
- ◆ that Councillor Brown be replaced by Councillor Greenshields as a member of the Licensing Committee
- ◆ that Councillor Greenshields be appointed as a substitute member of the Social Work Resources Committee
- ◆ that Councillor Shearer be replaced by Councillor Nugent as a substitute member of the Social Work Resources Committee
- ◆ that Councillor Calikes be replaced by Councillor Marrs as the Chair of the Corporate Connections Board
- ◆ that Councillor Marrs be replaced by Councillor Loudon on COSLA's Children and Young People Policy Board

[Reference: Minutes of South Lanarkshire Council of 18 May 2017 (Paragraph 7)]

16 Consultation on Electoral Reform

A report dated 14 February 2018 by the Chief Executive was submitted on the consultation being undertaken in terms of Electoral Reform which had been launched on 19 December 2017.

Members had been advised of the consultation and had been provided with a link so that they could respond prior to the closing date of 12 March 2018.

The SOLAR Elections Working Group would respond direct and the Scottish Assessors' Association were progressing a response on behalf of Assessors in Scotland.

The Chief Executive had been invited to respond and had the option of responding as Returning Officer or as an individual.

Due to the diverse nature of the consultation, a corporate response from the Council would not be submitted and, instead, individual elected members and political parties were encouraged to respond direct.

The Committee decided: that the report be noted.

17 Urgent Business

There were no items of urgent business.

18 Exclusion of Press and Public

The Committee decided: that, in terms of Section 50A(4) of the Local Government (Scotland) Act 1973, the press and public be excluded from the meeting for the following item of business on the grounds that it was likely that there would be disclosure of exempt information in terms of Paragraphs 8 and 10 of Part I of Schedule 7A of the Act.

19 Greenhills Road/A726 Strathaven Road Corridor Improvement - Final Business Case

A joint report dated 9 February 2018 by the Executive Directors (Community and Enterprise Resources) and (Finance and Corporate Resources) was submitted on:-

- ◆ the Final Business Case for Greenhills Road/A726 Strathaven Road, East Kilbride Corridor Improvement Project
- ◆ the next steps in delivering the project

The Full Business Case, which had been produced for the Greenhills Road/A726 Strathaven Road, East Kilbride Corridor Improvement Project, continued to make the case for the project in terms of:-

- | | |
|----------------------|-------------------------------------|
| ◆ strategic fit | ◆ financial case |
| ◆ options appraisal | ◆ sustainability case |
| ◆ commercial aspects | ◆ project governance and management |
| ◆ economic case | |

Tender returns had been evaluated and it was expected that the decision to award the contract would be made by the City Deal Chief Executive's Group on 29 March 2018. This approval would release the funding necessary to deliver the proposed works.

In order to deliver the works, it was considered necessary to add the following 2 posts to the establishment of Roads and Transportation Services on a fixed terms basis of 23 months:-

- ◆ 1 post of Project Manager at Grade 4, Level 2, SCP 79-94 (£38,030 to £47,593)
- ◆ 1 post of Engineering Officer at Grade 3, Level 8, SCP 75-94 (£35,841 to £38,614)

Those posts would be funded through City Deal.

Discussions were taking place with Public Utility Companies to finalise costs for this project and it was expected that 75% of the finalised costs would be paid upfront in April/May 2018, with the remaining 25% paid on completion of the project.

The principle of upfront payments to Utility Companies was common across all transport projects where diversions were required in order to deliver the projects in a timely and coordinated way.

The Committee decided:

- (1) that the Final Business Case for the Greenhills Road/A726 Strathaven Road, East Kilbride be approved;
- (2) that the next steps in delivering the project be approved;
- (3) that authority be granted to the Executive Director (Community and Enterprise Resources) to make any necessary revisions to the final Business Case following the review by the Glasgow and Clyde Valley City Deal Programme Management Office;
- (4) that full grant funding be requested, in accordance with the City Deal Grant Distribution process outlined in the Assurance Framework, in relation to the delivery of the full project with the precise arrangements to be agreed by the City Deal Chief Executive's Group;
- (5) that the principle of upfront payments to Public Utility Companies in terms of projects of this nature be noted and approval given to any subsequent payments made to those companies on that basis; and
- (6) that the addition of 2 temporary posts of Project Manager and Engineering Officer within Roads and Transportation Services, as detailed in the report, be approved.

[Reference: Minutes of 6 July 2016 (Paragraph 14)]



Report

3

Report to: **Executive Committee**
 Date of Meeting: **28 March 2018**
 Report by: **Executive Director (Finance and Corporate Resources)**

Subject: **Capital Budget Monitoring for Period 12 - 1 April 2017 to 2 February 2018**

1. Purpose of Report

1.1. The purpose of the report is to:-

- ◆ consolidate the capital budget monitoring to give a Council-wide summary of the 2017/2018 Capital Programme including 2016/2017 carry forward and adjustments. It includes information on two programmes: the General Fund Programme and the Housing Investment Programme.
- ◆ update the Executive Committee of progress on the Capital Programme for the period 1 April 2017 to 2 February 2018.

2. Recommendation(s)

2.1. The Executive Committee is asked to approve the following recommendations:

- (1) that the Period 12 position (ended 2 February 2018) of the General Fund Capital Programme itemised at Appendices 1 to 3 and the Housing Capital Programme at Appendix 4, be noted;
- (2) that the adjustments to the General Fund programme listed at Appendix 2, be approved;
- (3) that the revised programmes be monitored by the Financial Resources Scrutiny Forum.

3. Background

3.1. The attached statements to this report provide a summarised monitoring position as at 2 February 2018. Spending has been split into two separate sections:

- ◆ General Fund Capital Programme including Education, Social Work, Roads and Transportation and General Services (Section 5.1)
- ◆ Housing Capital Programme (Section 5.2)

4. Employee Implications

4.1. None

5. Financial Implications

5.1. General Fund

5.1.1. 2017/2018 Budget

The budget agreed at Executive Committee on 28 February 2018 was £78.317 million. A revised budget of £78.242 million is presented in Appendix 1. This takes into account the proposed adjustments listed in Appendix 2.

5.1.2. 2017/2018 Outturn

Current estimates from Housing and Technical Resources suggest a 2017/2018 outturn of £62.137 million. This is an underspend of £16.105 million and the main reason for this relates to the Primary Schools' Modernisation Programme within Education Resources. This reflects the expected timing of project spend and funding will carry forward into next financial year.

5.1.3. Period 12 Position

The programme spend and funding for the General Fund is summarised in Appendices 1 and 2. This totals £78.242 million for 2017/2018.

5.1.4. Budget for the period is £50.264 million and spend to the 2 February 2018 is £44.605 million (57.01%). This represents expenditure of £5.659 million behind profile. This is mainly due to timing of spend on the Council's Primary Schools' Modernisation Programme.

5.1.5 During the construction phase of various projects in the Primary Schools Modernisation Programme there have been technical issues encountered which have delayed work programmes and have led to a reduction in spend in the current financial year. These projects are progressing in line with the completion dates agreed with Education Resources. The timing of receipt of external funding in relation to schools has also contributed to the requirement to carry funds forward.

5.1.6. Actual funding received to 2 February 2018 is £67.816 million (86.67%). Relevant officers will continue to closely monitor the generation of all income including receipts.

5.2. Housing Programme

5.2.1. Appendix 3 summarises the position on the Housing programme as at 2 February 2018. The revised capital programme for the year is £40.581 million. Programmed funding for the year totals £40.581 million.

5.2.2. 2017/2018 Outturn

In terms of the Housing Capital Programme work has been ongoing to clarify the predicted spend position for this financial year and current estimates from Housing and Technical Resources suggest an outturn of £38.080 million. This is an underspend of £2.501 million and reflects the anticipated timing of spend in relation to the Urban Park Development of the former Blairbeth Golf Course site and the continued work of the Housing Investment Programme. Funding for these projects will also carry forward into next financial year.

5.2.3. Period 12 Position

Budget for the period is £28.130 million and spend to 2 February 2018 amounts to £27.165 million (66.94%). This represents expenditure of £0.965 million behind profile and reflects the timing of spend on Blairbeth Golf Course and the Housing Investment Programme.

5.2.4. As at 2 February 2018, £27.165 million of funding had been received.

5.2.5. Regular monitoring of both the General Fund Programme and the Housing Programme is carried out in detail by the Financial Resources Scrutiny Forum and reported on a regular basis to this Committee.

6. Other Implications

6.1. The main risk associated with the Council's Capital Programme is an overspend. The risk has been assessed as low given the detailed project management plans prepared and monitored for each project. The risk of overspend is managed through four weekly Investment management meetings.

7. Equality Impact Assessment and Consultation Arrangements

7.1. This report does not introduce a new policy, function or strategy or recommend a change to existing policy, function or strategy and therefore no impact assessment is required.

7.2. There was also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning

Executive Director (Finance and Corporate Resources)

21 February 2018

Link(s) to Council Values/Ambitions/Objectives

- ◆ Accountable, Effective, Efficient and Transparent

Previous References

- ◆ Executive Committee, 28 February 2018

List of Background Papers

- ◆ Capital Ledger prints to 2 February 2018

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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SOUTH LANARKSHIRE COUNCIL
CAPITAL EXPENDITURE 2017/18
GENERAL FUND PROGRAMME
FOR PERIOD 1 APRIL 2017 TO 2 FEBRUARY 2018

APPENDIX 1

£m £m

Total 2017/18 Budget Approved **112.839**
(Council, 16 February 2017)

Net Slippage from Previous Years 8.649

Transfers to Revenue:

- Private Housing Scheme of Assistance	(1.000)	
- Planned Maintenance	<u>(4.301)</u>	(5.301)

Carry Forward Adjustment from 2016/17 Outturn 0.039

Reduction in Primary School Modernisation Programme requirement (5.500)

Reduction in Street Lighting requirement:

- LED's	(2.395)	
- Columns	<u>(1.048)</u>	(3.443)

Reduction in Glasgow City Region City Deal requirement (16.531)

Total Original 2017/18 Budget including Carry Forward **90.752**

Previously Approved Adjustments (12.435)

Proposed Adjustments (0.075)

Total Revised Budget including Carry Forward **78.242**

<u>Resource</u>	<u>Approved 2017/18 Budget £m</u>	<u>C/F From 2016/17 £m</u>	<u>Previous Year Adj'ments £m</u>	<u>Total Original 2017/18 Budget £m</u>	<u>Previous Period Approved Adj'ment £m</u>	<u>Period 12 Proposed Adj'ments £m</u>	<u>Revised 2017/18 Budget £m</u>
Community & Enterprise	52.174	1.059	(16.404)	36.829	(5.904)	(0.075)	30.850
Education	40.349	(1.519)	(1.969)	36.861	3.556	0.000	40.417
Finance & Corporate	1.026	(0.102)	0.680	1.604	0.355	0.000	1.959
Housing & Technical	7.994	0.492	(4.433)	4.053	0.558	0.000	4.611
Social Work	11.296	0.109	0.000	11.405	(11.000)	0.000	0.405
Total	112.839	0.039	(22.126)	90.752	(12.435)	(0.075)	78.242

Proposed Adjustments

Community and Enterprise Resources

Springhall Regeneration Project

Confirmation has been received from the Scottish Government of a funding award of £0.300m from the Regeneration Capital Grants Fund towards Springhall Community Hub. This project involves redeveloping Springhall Community Hall in consultation with the community. £0.300m

This £0.300m will be added to £0.075m of Council match funding from Regeneration Services Capital programme in 2017/18, giving a total allocation in 2017/18 of £0.375m. In order to reflect the timescales for this project to commence on site, approval is sought to slip the £0.375m into 2018/19. (£0.375m)

In addition, the Community Hub project has a second match allocation of £0.075m from the Prioritised Urgent Investment Fund in 2018/19. This will result in a total project budget of £0.450m in 2018/19.

The Executive Committee on 28 February 2018 approved £0.307m of additional 2018/19 capital funding for 2018/19 be allocated to the Springhall Regeneration Project. This is already included in the 2018/19 Capital Programme. Therefore there will be £0.757m of capital funding available in 2018/19.

Finally, in relation to Springhall Regeneration Project and The Cage specifically, Healthy and Happy have led a bid to the Big Lottery Fund (£0.465m). The outcome of this bid is expected in June 2018.

Minor Adjustments

-

Total Adjustments (£0.075m)

SOUTH LANARKSHIRE COUNCIL
CAPITAL EXPENDITURE 2017/18
GENERAL FUND PROGRAMME
FOR PERIOD 1 APRIL 2017 TO 2 FEBRUARY 2018

APPENDIX 3

	<u>2017/18</u> <u>Original</u> <u>Estimate inc</u> <u>C/F</u> £m	<u>2017/18</u> <u>Revised</u> <u>Budget</u> £m	<u>2017/18</u> <u>Budget to</u> <u>02/02/18</u> £m	<u>2017/18</u> <u>Actual to</u> <u>02/02/18</u> £m
Expenditure				
General Fund Programme	90.752	78.242	50.264	44.605
Income	<u>2017/18</u> <u>Budget</u> £m	<u>2017/18</u> <u>Revised</u> <u>Budget</u> £m		<u>2017/18</u> <u>Actual</u> <u>To</u> <u>02/02/18</u> £m
Prudential Borrowing	53.461	33.357		33.357
Heritage Lottery / Sportscotland Grant	0.032	0.302		0.276
Developers Contributions	2.716	2.924		2.529
Partners (Including SPT, Sustrans, Viridor and Renewable Energy Fund)	0.808	3.188		1.436
Scottish Government:				
- Capital Grant	30.331	30.331		25.278
- Cycling, Walking and Safer Streets	0.433	0.433		0.000
- Vacant and Derelict Land	1.637	1.637		1.367
- Scotland's Energy Efficiency Programme (SEEP)	0.076	0.076		0.071
- Gaelic Education Hub	0.476	0.476		0.476
- Early Years 1,140 Hours	0.000	1.743		1.743
- Schools for the Future	0.000	2.492		0.000
Specific Reserves	0.511	1.012		1.012
Capital Receipts	0.000	0.000		0.000
Capital Financed from Current Revenue	0.271	0.271		0.271
TOTAL FUNDING	90.752	78.242		67.816

SOUTH LANARKSHIRE COUNCIL
CAPITAL EXPENDITURE 2017/18
HOUSING PROGRAMME
FOR PERIOD 1 APRIL 2017 TO 2 FEBRUARY 2018

APPENDIX 4

	<u>2017/18</u> <u>Annual</u> <u>Budget</u> <u>£m</u>	<u>2017/18</u> <u>Revised</u> <u>Budget</u> <u>£m</u>	<u>2017/18</u> <u>Budget to</u> <u>02/02/18</u> <u>£m</u>	<u>2017/18</u> <u>Actual to</u> <u>02/02/18</u> <u>£m</u>
Expenditure				
2017/18 Budget incl carry forward from 2016/17	39.709	40.581	28.130	27.165
Income				
	<u>2017/18</u> <u>Annual</u> <u>Budget</u> <u>£m</u>	<u>2017/18</u> <u>Revised</u> <u>Budget</u> <u>£m</u>	<u>2017/18</u> <u>Actual to</u> <u>02/02/18</u> <u>£m</u>	
Capital Receipts – House Sales	5.872	5.872	6.138	
Capital Receipts – Land Sales	0.000	0.000	0.063	
Capital Funded from Current Revenue	18.997	19.520	19.520	
Prudential Borrowing	9.890	9.890	1.333	
Specific Grant				
- Scottish Government – New Council Houses	4.830	4.830	0.000	
- Scottish Government – Mortgage to Rent	0.120	0.120	0.050	
- Scottish Natural Heritage	0.000	0.349	0.000	
- Home Energy Efficiency Programme (HEEP)	0.000	0.000	0.061	
	39.709	40.581	27.165	

Report

4

Report to: **Executive Committee**
 Date of Meeting: **28 March 2018**
 Report by: **Executive Director (Finance and Corporate Resources)**

Subject: **Revenue Budget Monitoring for Period 12: 1 April 2017 to 2 February 2018 and 2017/2018 Probable Outturn**

1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ advise on the overall financial position of the Council's General Fund Revenue Account and Housing Revenue Account for the period 1 April 2017 to 2 February 2018, and a projection for the year.

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the monitoring underspend of £0.793 million on the General Fund Revenue Account at 2 February 2018, as detailed in Appendix 1 of the report, and the forecast position of an underspend of £0.500 million to 31 March 2018, be noted;
- (2) that the breakeven position on the Housing Revenue Account at 2 February 2018 and the forecast to 31 March 2018 of breakeven (Appendix 2), be noted.

3. Background

3.1. This is an overview report summarising the General Services Monitoring Position regarding actual expenditure and income to 2 February 2018, compared with the budget for the same period (section 4). This is provided on a Resource basis in Appendix 1 to the report and a subjective basis in Appendix 2.

2017/18 General Services Monitoring Position

4. Financial Position as at 2 February 2018

- 4.1. As at 2 February 2018, Appendix 1 of the report details an underspend of £0.793 million (1.1%) against the phased budget. This position reflects underspends anticipated on Education Resources as well as a number of Corporate Items (such as Utilities, Rates and Auto Enrolment), which are partially offset by an overspend on Community and Enterprise Resources and Social Work Resources.
- 4.2. An improved position is currently being seen in Social Work, primarily due to Care Home numbers fluctuating over the winter months. This position will be monitored over the coming months.
- 4.3. **Winter Maintenance:** The figures included in Appendix 1 give the position at 2 February 2018. This does not include the costs that have been incurred in relation to winter weather over recent weeks. In relation to the recent inclement weather, the

Cabinet Secretary for Finance and the Constitution has activated the Bellwin Scheme to provide councils with emergency financial assistance for any eligible costs resulting from recent inclement weather. There are thresholds under which a claim cannot be made. The threshold allocated to the Council is £1.352 million (which is calculated as 0.2% of a local authority's budgeted net revenue expenditure)

- 4.4. The Council is currently collating all costs included through the relevant time period to submit to the Government, and if the Council has incurred eligible costs above the threshold, then this should allow the Council to reclaim any such costs. The Scheme is intended to meet uninsured additional expense of local authorities in providing immediate relief, in safeguarding life and property, and in keeping key communications open in the immediate aftermath of an emergency incident. The key criterion is to safeguard, life and property, and prevent suffering or severe inconvenience in a local authority area or amongst its inhabitants in the 2 months following an incident. Claims require to be submitted within 4 months of the incident.
- 4.5. It is estimated that the costs of winter this year is expected to be approximately £7 million. The estimate included within the probable outturn position reported was £5.7 million. The balance of costs will be met from the Winter Maintenance Reserve initially, and offset with any future recovery of funds available through the Bellwin Scheme.
- 4.6. **Trading Operations:** Included within the underspend position for the General Fund Revenue Account in 4.1 above are the Trading Operations. As at 2 February 2018, the actual surpluses achieved by the Trading Operations total £8.109 million, representing an under recovery of £0.136 million against the phased budget.
- 4.7. **General Revenue Grant**
The Scottish Government have now distributed the General Revenue Grant in respect of the Teachers Induction Scheme (£2.213 million). This has been included in Education Resources' budget in Appendix 1.
- 4.8. **Other Funding**
There has been no additional funding notified to Resources since the last report.
5. **2017/2018 General Services Probable Outturn**
 - 5.1. Following the probable outturn exercise, the financial forecast for year ended 31 March 2018, has been confirmed. Taking account of all known commitments, the figures at Appendices 1 and 2 show an underspend position of £0.500 million on the current year budget, which will be transferred to the Uncommitted General Fund at the end of the financial year.
 - 5.2. This final outturn position includes transfers to reserves of £11.018 million from Resources and £10.852 million additional Corporate transfers.
6. **Housing Revenue Account Position**
 - 6.1. As at 2 February 2018, Appendix 3 of the report shows a breakeven position against the phased budget on the Housing Revenue Account, after taking account of the proposed transfer to reserves of £0.029 million. The forecast to 31 March 2018 on the Housing Revenue Account is a breakeven position.

7. Employee Implications

7.1. None.

8. Financial Implications

8.1. As detailed in the report.

9. Other Implications

9.1. The main risk associated with the Council's Revenue Budget is that there is an overspend. The risk has been assessed as low given the detailed budget management applied across the Resources. The risk is managed through four weekly Budget Monitoring Meetings at which any variance is analysed. In addition, the probable outturn exercise ensures early warning for corrective action to be taken where appropriate.

9.2. There are no implications for sustainability in terms of the information contained in this report.

10. Equality Impact Assessment and Consultation Arrangements

10.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and therefore no impact assessment is required.

10.2. There is also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning

Executive Director (Finance and Corporate Resources)

12 February 2018

Link(s) to Council Values/Ambitions/Objectives

♦ Accountable, Effective, Efficient and Transparent

Previous References

♦ None

List of Background Papers

♦ Financial ledger and budget monitoring results to 2 February 2018

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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SOUTH LANARKSHIRE COUNCIL

Revenue Budget Monitoring Report

Period Ended 2 February 2018 (No.12)

<u>Committee</u>	Annual Budget	Forecast Before Transfers	Forecast After Transfers	Annual Forecast Variance (After Transfers)	Budget Proportion to 02/02/18	Actual to Period 12 02/02/18	Variance to 02/02/18	
Departments:	£m	£m	£m	£m	£m	£m	£m	
Community and Enterprise Resources	120.135	120.501	120.819	(0.684)	95.745	96.341	(0.596)	over
Facilities Streets and Waste (Inc. Support)	62.736	62.865	62.975	(0.239)	50.300	50.546	(0.246)	over
Environmental Services (Inc. Projects)	4.542	4.401	4.401	0.141	3.614	3.459	0.155	under
Leisure and Culture Services	18.765	18.722	18.722	0.043	18.698	18.658	0.040	under
Planning and Economic Development	4.665	4.942	5.150	(0.485)	2.635	3.050	(0.415)	over
Roads	29.427	29.571	29.571	(0.144)	20.498	20.628	(0.130)	over
Education Resources	296.372	289.832	295.730	0.642	233.146	232.640	0.506	under
Finance and Corporate Resources	33.912	33.621	33.912	0.000	36.865	36.865	0.000	-
Finance Services	16.118	15.926	15.926	0.192	19.684	19.501	0.183	under
Audit and Compliance Services	0.421	0.445	0.445	(0.024)	0.469	0.494	(0.025)	over
Information Technology Services	3.902	3.902	3.902	0.000	4.589	4.589	0.000	-
Communications and Strategy Services	1.046	1.164	1.164	(0.118)	1.153	1.313	(0.160)	over
Administration and Licensing Services	5.756	5.806	5.806	(0.050)	5.302	5.300	0.002	under
Personnel Services	6.669	6.378	6.669	0.000	5.668	5.668	0.000	-
Housing and Technical Resources	23.967	23.308	23.967	0.000	20.065	20.065	0.000	-
Housing Services	7.639	6.784	7.443	0.196	4.837	4.770	0.067	under
Property Services	16.328	16.524	16.524	(0.196)	15.228	15.295	(0.067)	over
Social Work Resources	139.279	140.203	140.203	(0.924)	107.760	108.271	(0.511)	over
Performance and Support Services	7.944	7.544	7.544	0.400	6.872	6.656	0.216	under
Children and Family	27.200	28.224	28.224	(1.024)	23.063	23.818	(0.755)	over
Adults and Older People	103.169	103.553	103.553	(0.384)	77.039	77.084	(0.045)	over
Justice and Substance Misuse	0.966	0.882	0.882	0.084	0.786	0.713	0.073	under
Joint Boards	2.363	2.363	2.363	0.000	2.061	2.061	0.000	-
	616.028	609.828	616.994	(0.966)	495.642	496.243	(0.601)	over
Resource Forecast Variance BEFORE Transfers		6.200						

<u>Committee</u>	Annual Budget	Forecast Before Transfers	Forecast After Transfers	Annual Forecast Variance (after Transfers)	Budget Proportion to 02/02/18	Actual to Period 12 02/02/18	Variance to 02/02/18	
	£m	£m	£m	£m	£m	£m	£m	
Service Departments Total	616.028	609.828	616.994	(0.966)	495.642	496.243	(0.601)	over
Trading Accounts Surplus	(9.907)	(9.753)	(9.753)	(0.154)	(8.245)	(8.109)	(0.136)	over
CFCR	0.707	0.271	0.271	0.436	0.707	0.271	0.436	under
Loan Charges	57.751	52.501	52.501	5.250	53.309	48.462	4.847	under
Corporate Items	7.054	3.456	4.808	2.246	6.511	4.438	2.073	under
Transfer to Reserves	0.000	0.000	10.852	(10.852)	0.000	10.017	(10.017)	over
Total Expenditure	671.633	656.303	675.673	(4.040)	547.924	551.322	(3.398)	over
Council Tax	137.458	142.218	140.718	3.260	126.884	129.894	3.010	over rec
Less: Council Tax Reduction Scheme	(21.370)	(19.090)	(20.090)	1.280	(19.726)	(18.545)	1.181	under
Net Council Tax	116.088	123.128	120.628	4.540	107.158	111.349	4.191	over rec
General Revenue Grant	254.812	254.812	254.812	0.000	235.211	235.211	0.000	-
Non Domestic Rates	287.862	287.862	287.862	0.000	265.719	265.719	0.000	-
Transfer from Reserves	12.871	12.871	12.871	0.000	11.881	11.881	0.000	-
Total Income	671.633	678.673	676.173	4.540	619.969	624.160	4.191	over rec
Net Expenditure / (Surplus) Before Transfers to Reserves	0.000	(22.370)	(0.500)	0.500	(72.045)	(72.838)	0.793	under

	Annual Budget	Forecast for Year	Forecast After Transfers	Annual Forecast Variance (after Transfers)
	£m	£m	£m	£m
Net Expenditure / (Surplus) Before Transfers to Reserves	0.000	(22.370)	-	-
Transfers to Specific Reserves	0.000	5.102	-	-
(Surplus) After Transfers to Specific Reserves	0.000	(17.268)	-	-
Transfer to Earmarked General Fund	0.000	16.768	-	-
Surplus for Year Transferred to Uncommitted General Fund	0.000	(0.500)	(0.500)	0.500

SOUTH LANARKSHIRE COUNCIL

Revenue Budget Monitoring Report (including Transfers to Reserves)

Period Ended 2 February 2018 (No.12)

<u>Budget Category</u>	Annual Budget	Forecast for Year	Annual Forecast Variance	Budget Proportion to 02/02/18	Actual to Period 12 02/02/18	Variance to 02/02/18	
Service Departments:	£m	£m	£m	£m	£m	£m	
Expenditure							
Employee Cost	405.768	404.233	1.535	331.930	330.403	1.527	under
Property Costs	55.037	54.224	0.813	44.465	43.862	0.603	under
Supplies and Services	32.504	33.602	(1.098)	20.450	21.348	(0.898)	over
Transport Costs	22.668	23.837	(1.169)	18.214	19.209	(0.995)	over
Administration Costs	12.076	12.557	(0.481)	8.586	8.880	(0.294)	over
Payments to Other Bodies	53.640	53.124	0.516	41.138	40.902	0.236	under
Payments to Contractors	177.647	178.866	(1.219)	135.975	136.843	(0.868)	over
Transfer Payments	2.546	2.605	(0.059)	2.426	2.472	(0.046)	over
Housing Benefits	89.624	89.624	0.000	72.426	72.426	0.000	-
Financing Charges (controllable)	1.564	1.459	0.105	1.104	1.001	0.103	under
Total	853.074	854.131	(1.057)	676.714	677.346	(0.632)	over
Service Departments Total	853.074	854.131	(1.057)	676.714	677.346	(0.632)	over
Trading Accounts Surplus	(9.907)	(9.753)	(0.154)	(8.245)	(8.109)	(0.136)	over
CFCR	0.707	0.271	0.436	0.707	0.271	0.436	under
Loan Charges	57.751	52.501	5.250	53.309	48.462	4.847	under
Corporate Items	7.054	4.808	2.246	6.511	4.438	2.073	under
Transfer to Reserves	0.000	10.852	(10.852)	0.000	10.017	(10.017)	over
Total Expenditure	908.679	912.810	(4.131)	728.996	732.425	(3.429)	over
Income							
Housing Benefit Subsidy	84.184	83.934	(0.250)	66.272	66.128	(0.144)	under rec
Other Income	152.862	153.203	0.341	114.800	114.975	0.175	over rec
General Revenue Grant	254.812	254.812	0.000	235.211	235.211	0.000	-
Non Domestic Rates	287.862	287.862	0.000	265.719	265.719	0.000	-
Council Tax (Net of Council Tax Reduction Scheme)	116.088	120.628	4.540	107.158	111.349	4.191	over rec
Transfer from Reserves	12.871	12.871	0.000	11.881	11.881	0.000	-
Total Income	908.679	913.310	4.631	801.041	805.263	4.222	over rec
Net Expenditure	0.000	(0.500)	0.500	(72.045)	(72.838)	0.793	under

SOUTH LANARKSHIRE COUNCIL
Revenue Budget Monitoring Report
Period Ended 2 February 2018 (No.12)
Housing Revenue Account

	Annual Budget	Forecast for Year	Annual Forecast Variance	Budget Proportion to 02/02/18	Actual to Period 12 02/02/18	Variance to 02/02/18		%	Note
	£m	£m	£m	£m	£m	£m			
Employee Costs	12.639	12.197	0.442	10.402	10.026	0.376	under	3.6%	1
Property Costs	37.938	39.460	(1.522)	28.144	29.055	(0.911)	over	(3.2%)	2
Supplies & Services	0.610	0.619	(0.009)	0.400	0.398	0.002	under	0.5%	
Transport & Plant	0.216	0.176	0.040	0.158	0.136	0.022	under	13.9%	
Administration Costs	5.886	5.903	(0.017)	0.602	0.599	0.003	under	0.5%	
Payments to Other Bodies	3.490	3.232	0.258	0.055	0.068	(0.013)	over	(23.6%)	
Payments to Contractors	0.100	0.061	0.039	0.100	0.112	(0.012)	over	(12.0%)	
Transfer Payments	0.000	0.000	0.000	0.000	0.000	0.000	-	n/a	
Financing Charges	19.520	19.513	0.007	19.559	19.550	0.009	under	0.0%	
Total Controllable Expenditure	80.399	81.161	(0.762)	59.420	59.944	(0.524)	over	(0.9%)	
Total Controllable Income	(95.401)	(95.664)	0.263	(72.093)	(72.120)	0.027	over recovered	0.0%	
Transfer to/(from) Balance Sheet	0.619	0.029	0.590	0.524	0.027	0.497	under	94.8%	
Net Controllable Expenditure	(14.383)	(14.474)	0.091	(12.149)	(12.149)	0.000	-	0.0%	
Add: Non Controllable Budgets									
Financing Charges	14.383	14.474	(0.091)	0.000	0.000	0.000	-	0.0%	
Total Budget	0.000	0.000	0.000	(12.149)	(12.149)	0.000	-	0.0%	

Variance Explanations

1. Employee Costs

This underspend reflects the current turnover levels within the service and also underspends on overtime.

2. Property Costs

This overspend is due to repairs and grounds maintenance works which are demand led services and will be managed within the overall budget.

Report

5

Report to: **Executive Committee**
 Date of Meeting: **28 March 2018**
 Report by: **Executive Director (Finance and Corporate Resources)**

Subject: **Trading Services Financial Performance for Period
1 April 2017 to 2 February 2018**

1. Purpose of Report

1.1. The purpose of the report is to:-

- ◆ provide information on the estimated surplus for each of the Council's Trading Operations
- ◆ provide information on the actual surplus as at 2 February 2018
- ◆ provide an update on the projected results for the financial year 2017/2018.

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the surplus achieved to 2 February 2018 of £8.109 million, as detailed in Appendix A of the report, be noted; and
- (2) that following the probable outturn exercise, the revised forecast surplus to 31 March 2018 of £9.753 million, be noted.

3. Background

Trading Operations Position

- 3.1. At the start of the financial year, an estimated target for Trading Operations' surpluses was set at £14.323 million for 2017/2018. Throughout the year, budget movements have amended this and at the end of the period to 5 January 2018, the estimated surplus for the year stood at £9.907 million.
- 3.2. There have been no further adjustments in the accounting period to 2 February 2018.
- 3.3. Following the probable outturn exercise, the financial forecast for year ended 31 March 2018, has been confirmed. Taking account of all known commitments, the figures at Appendix A show a surplus of £9.753 million which represents an under recovery against surplus of £0.154 million on the current year budget.
- 3.4. This reflects a decreased surplus within Fleet (£0.071 million) and Roads (£0.083 million).
- 3.5. Details of the financial performance of each of the individual Trading Operations is provided in appendix A to this report.

- 3.6. This budgeted surplus sum will continue to be taken as a minimum target for achievement by the Trading Operations. Effort will be maintained to maximise results during the remainder of this financial year.

4. Employee Implications

- 4.1. None

5. Financial Implications

- 5.1. As at 2 February 2018, the actual surpluses achieved by the Trading Operations total £8.109 million.

6. Other Implications

- 6.1. The main risk associated with the Trading Operations' Budgets is that there is a reduction in the surplus achieved. The risk has been assessed as low given the detailed budget management applied across the Trading Operations. The risk is managed through four weekly Budget Monitoring Meetings at which any variance is analysed. In addition, the probable outturn exercise ensures early warning for corrective action to be taken where appropriate.

- 6.2. There are no implications for sustainability in terms of the information contained in this report.

7. Equality Impact Assessment and Consultation Arrangements

- 7.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and therefore no impact assessment is required.

- 7.2. There is also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning

Executive Director (Finance and Corporate Resources)

12 February 2018

Link(s) to Council Values/Ambitions/Objectives

- ◆ Accountable, Effective, Efficient and Transparent

Previous References

- ◆ None

List of Background Papers

- ◆ Financial ledger and budget monitoring results to 2 February 2018

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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SOUTH LANARKSHIRE COUNCIL
Revenue Budget Monitoring Report
Period Ended 2 February 2018 (No.12)
Trading Operations

	2017/2018 Revenue Budget Surplus	2017/18 Forecast Revenue Budget Surplus	Phased Budget (Surplus) at 02/02/18	Actual (Surplus) / Deficit at 02/02/18	Variance at 02/02/18	
Trading Service	£m	£m	£m	£m	£m	
Fleet	(1.957)	(1.886)	(1.655)	(1.591)	(0.064)	under surplus
Roads	(3.820)	(3.737)	(3.232)	(3.160)	(0.072)	under surplus
Property Services	(4.130)	(4.130)	(3.358)	(3.358)	0.000	-
Total	(9.907)	(9.753)	(8.245)	(8.109)	(0.136)	under surplus

Report

6

Report to:	Executive Committee
Date of Meeting:	28 March 2018
Report by:	Executive Director (Finance and Corporate Resources)

Subject:	Additional Funding from Scottish Government and Other External Sources
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ advise Members of additional funding that has been made available to the Council by the Scottish Government and other external sources since the last report to this Committee (31 January 2018).

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the additional revenue funding of £0.009m, and additional capital funding of £0.326m as detailed at Appendix 1 of the report, be noted.

3. Background

- 3.1. The Council is periodically advised of additional funding which is made available from the Scottish Government and other sources to enable various initiatives to be undertaken.
- 3.2. Additional funding may either be paid through the General Revenue Grant mechanism or by the completion of appropriate grant claims.
- 3.3. Details of the additional funding for 2017/2018, and beyond, are attached at Appendix 1 to the report. The report details additional funding that has been reported by Resources as part of the additional resources notification process, as well as any additional funding that has increased the Council's budget by more than £0.100m.

4. Employee Implications

4.1. None.

5. Financial Implications

- 5.1. Additional revenue funding of £0.002m has been identified for 2017/18, with a further £0.007m identified for future years.
- 5.2. Additional capital funding of £0.026m has been identified for 2017/18, with a further £0.300m identified for 2018/19.
- 5.3. Resource budgets will be updated to reflect this additional funding as required, and where appropriate, details of spending plans will be presented to Resource Committees for approval.

6. Other Implications

- 6.1. There are no implications for sustainability in terms of the information contained in this report.

7. Equality Impact Assessment and Consultation Arrangements

- 7.1. This report does not introduce a new policy, function or strategy or recommend a change to existing policy, function or strategy and therefore no impact assessment is required.
- 7.2. There was also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning

Executive Director (Finance and Corporate Resources)

20 February 2018

Link(s) to Council Objectives/Values

- ◆ Accountable, Effective and Efficient

Previous References

- ◆ Executive Committee, 31 January 2018

List of Background Papers

- ◆ Additional Funding Reports: 3 January 2018 to 14 February 2018

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Additional Revenue Funding

Resource	Description	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	2021/22 £m	Total £m	Method
Community and Enterprise	Mauldslie and West Brownlie Woods Maintenance	0.002	0.002	0.002	0.002	0.001	0.009	Forestry Commission Scotland Grant
	TOTAL REVENUE FUNDING	0.002	0.002	0.002	0.002	0.001	0.009	

Additional Capital Funding

Resource	Description	2017/18 £m	2018/19 £m	2019/20 £m	Total £m	Method
Community and Enterprise	Mauldslie and West Brownlie Woods Maintenance	0.026	0.000	0.000	0.026	Forestry Commission Scotland Grant
Community and Enterprise	Springhall Community Hub	0.000	0.300	0.000	0.300	Regeneration Capital Grants Fund
	TOTAL CAPITAL FUNDING	0.026	0.300	0.000	0.326	

Report

7

Report to: **Executive Committee**
 Date of Meeting: **28 March 2018**
 Report by: **Executive Director (Finance and Corporate Resources)**

Subject: **2018/2019 Internal Audit Plan**

1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ request approval of the proposed Internal Audit Plan for 2018/2019

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the proposed Internal Audit Plan 2018/2019, as detailed in Appendix 2, be approved.

3. Background

- 3.1. Public Sector Internal Auditing Standards (PSIAS) require a specific risk based approach to be taken when preparing audit plans. All requirements have been followed in preparation of the 2018/2019 Internal Audit Plan. The internal audit function's compliance with PSIAS has been independently assessed during 2017/2018 to fulfil the requirement for such an independent assessment to be undertaken once every five years. A report on the outcome of this assessment has been finalised and no significant issues identified.
- 3.2. The content of the Audit Plan each year is determined by the requirement to deliver a programme of work that will inform the annual audit opinion on the overall adequacy and effectiveness of the organisation's framework of governance, risk management and control arrangements. The preparation of the annual Audit Plan has focussed on the delivery of this objective.
- 3.3. During preparation of the 2018/2019 Audit Plan, the Council's external auditor, Audit Scotland has been consulted and their input reflected in the draft Plan.
- 4. **Internal Audit Plan 2018/2019**
- 4.1. The Plan will inform the overall audit opinion for 2018/2019. The audits will be conducted in accordance with the revised PSIAS and within the context of the Internal Audit Charter, which is attached at Appendix One. This Charter sets out the role and remit of Internal Audit and has been updated¹ to reflect actions arising from the independent assessment of the function's level of compliance with PSIAS.

¹ To clarify the position re auditing of areas where the Audit and Compliance Manager has operational responsibilities and to update the section re escalation to move non-relevant paragraphs and note the role of the Chair of the RASF in the escalation process.

- 4.2. A copy of the proposed Internal Audit Plan for 2018/2019 is attached at Appendix Two. This shows the name of the proposed assignment, the lead Resource (if relevant) and a brief outline scope for each proposed assignment. Objectives and scopes will be refined by auditors prior to the commencement of work and once a full risk analysis has been completed and key controls identified. Clients will be involved in this initial risk and control assessment and will be consulted prior to the preparation of the audit remit for each assignment.
- 4.3. At a meeting of the RASF on 21 March 2018, members reviewed and endorsed the Plan. The suggested Plan provides time to deliver a range of assignments that aligns to corporate objectives as well as seeking to provide assurance around the general control environment of the Council. The focus of the 2018/2019 Plan is to assess how adequately the Council's top risks are mitigated together with an assessment of the management of emerging risks and whether these responses are sufficient to mitigate against loss to the Council. This is considered to provide adequate focus around the significant risks and challenges the Council faces.
- 4.4. In terms of best practice, a contingency list has also been prepared. Assignments from this may be delivered if resources are available or if significant risks in such areas emerge as the year progresses.
- 4.5. An Audit Strategy was prepared prior to the 2018/2019 planning process. As required by PSIAS, progress against the audit strategy will be monitored and reported to the RASF as part of regular monitoring reports that are presented at each meeting. Delivery of the strategy will be evidenced by completion of the 2018/2019 Plan and this will be tracked through performance indicators. Any subsequent changes to the Plan, arising from a change in the organisation's priorities or risks, will be agreed with the Executive Director Finance and Corporate Resources and presented to the RASF to endorse.
- 4.6. The Executive Committee is asked to approve the 2018/2019 Internal Audit Plan.

5. Employee Implications

- 5.1. A full employee capacity plan was prepared at commencement of the audit planning process. This was based on a number of assumptions around the projected 2018/2019 saving requirements.

6. Financial Implications

- 6.1. Capacity planning has been based on a prudent projection of resources that will be available to the Service in 2018/2019 and reflects the planned 2018/2019 budget. This is considered to be sufficient to deliver the Audit Plan.

7. Other Implications

- 7.1. Specific time has been allocated within the Plan to map all sources of assurance throughout the Council. This will be used in the periodic review of the Plan presented to the Forum, as required by PSIAS, and to inform the audit opinion expressed within the annual Statement of Assurance.
- 7.2. Six of the Council's top risks are not directly covered by the Plan; (1) failure to maintain the required pupil/teacher ratio (2) failure to work with key partners to achieve the outcomes of the Local Outcome Improvement Plan (3) lack of capacity and skills to meet increased Service demands (4) increasing levels of adverse weather (5) failure to fulfil emergency response commitments befitting the Council's status as a Category One (emergency) responder (6) death or injury to employees, service users or members of the public affected by Council operations. Of these risks, the first three risks (or related risks) have been the subject of audits in either

2016/2017 or 2017/2018 and will be covered by follow-up work in 2018/2019 as required. The remaining three risks are not included in the Plan as an audit may not necessarily add significant value. If required, further time can be allocated from contingency to review any of these risk areas during 2018/2019.

7.3 To mitigate against the risk of non-delivery of the Plan, the progress of every assignment is monitored using the Council's risk management software, Figtree. In addition, Executive Directors have been asked to remind their teams of specific audit responsibilities and timescales.

7.4. There are no sustainability issues in terms of the information contained in this report.

8. Equality Impact Assessment and Consultation Arrangements

8.1. There is no requirement to conduct an equality impact assessment on the proposed Audit Plan. As part of the process, there has been extensive consultation during preparation of the Plan and consultation invitations were extended to the following main consultees:

- ◆ Internal Audit Team
- ◆ Finance and Corporate Resources Management Team
- ◆ Executive Directors
- ◆ Audit Scotland, the Council's external auditors
- ◆ Members of the Risk and Audit Scrutiny Forum

All returned suggestions were included within the initial "audit universe" for risk evaluation.

Paul Manning

Executive Director Finance and Corporate Resources

5 March 2018

Link(s) to Council Objectives/Improvement Themes/Values

- ◆ Achieve results through leadership, good governance and organisational effectiveness

Previous References

- ◆ None

List of Background Papers

- ◆ Internal Audit planning documentation

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

Yvonne Douglas

Audit and Compliance Manager

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South Lanarkshire Council Internal Audit Charter

Purpose

Internal Audit is an in-house, independent assurance function that provides an objective opinion and consultative guidance to South Lanarkshire Council and external clients on how well risks are controlled within their operations.

Internal Audit provides managers and elected members with assurance on how well processes and procedures in place are controlling the associated risks and recommends actions for improvements to ensure controls are effectively managed.

This complies with the definition of Internal Audit included within the Public Sector Internal Audit Standards:

“Internal Audit is an independent, objective assurance and consulting activity designed to add value and improve an organisation’s operations. It helps an organisation accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control and governance processes.”

Compliance with the Public Sector Internal Audit Standards (PSIAS) is mandatory from 1 April 2013.

The Standards were revised from 1 April 2017 to incorporate new and revised international standards resulting in additional public sector requirements and interpretations.

The Internal Audit service reports to the Executive Committee through the Risk and Audit Scrutiny Forum (RASf) who for the purposes of PSIAS are defined as the Board. This Forum serves as the Audit Committee for SLC and independently provides assurance on the soundness of the Council’s control environment and the adequacy of the risk management framework. It also delivers scrutiny and oversees external financial reporting processes. The Forum is made up of ten members of the Council. Membership changes with each new Council to ensure political balance and the Forum is chaired by a member of the opposition party.

An Annual Statement of Assurance is provided to this Forum and elected members by the Audit and Compliance Manager, to report on the effectiveness of the control environment and governance arrangements in place. Assurance is based on the professional practices outlined within Internal Audit’s Audit Manual.

The authority to deliver an internal audit service is contained within SLC’s Financial Regulations, Standing Orders and Scheme of Delegation (all of which are available on the intranet) as well as in the Anti-Fraud and Corruption Strategy.

For internal audit work delivered to external bodies, authority is provided by the Accounts Commission’s ‘Code of Guidance on Following the Public Pound’ as well as Service Level Statements agreed with clients.

As well as professional standards Internal Audit will be bound by SLC local standards including the Employee Code of Conduct and the Code of Corporate Governance.

Relationship with the Risk and Audit Scrutiny Forum

The Executive Director Finance and Corporate Resources and the Audit and Compliance Manager should seek to maintain sound working relationships with appropriate elected members and ensure that good channels of communication are maintained. Within South Lanarkshire Council, the Audit and Compliance Manager is considered to be the Chief Internal Auditor. The Chair of the RASF and the Audit and Compliance Manager may meet privately from time to time to discuss audit findings, the performance of the Forum and other related matters. Internal Audit report all findings from concluded assignments via progress reports to the Risk and Audit Scrutiny Forum. Lines of communication between the Chairs of all Committees and the Audit and Compliance Manager will be open at all times.

All elected members are free to raise concerns directly with the Audit and Compliance Manager and input to the audit plan but these will be assessed in terms of risk prior to any audit work being undertaken.

Enquiries from elected members will follow the Council's protocols and guidance.

Objective

Internal Audit's objectives are to:

- improve internal control through provision of advice and guidance on preventative measures and good governance
- safeguard public expenditure and ensure control over Council capital, revenue and project expenditure and minimise loss through a programme of routine and contract audits
- promote compliance with all corporate standards and frameworks, ensure that management information is produced accurately and safeguard the Council's computer and on-line transactions with particular emphasis on security, efficiency and sustainable service delivery
- deliver objective assurance over controls within operational and financial systems and governance arrangements
- contribute to efficiency by identifying opportunities and leading the management of the fraud risk
- promote risk awareness and plan risk based audit work within available resources
- meet agreed targets directing all efforts towards sound performance in all areas

In delivering the above objectives, Council values and Performance and Development requirements will be adhered to.

Role and Scope of Work

To provide the assurance of controls in place within processes and procedures across the entire control environment of the organisation, Internal Audit work will:

- independently review and appraise all systems of financial and operational control in terms of their adequacy and application;
- ascertain the extent of compliance with financial and operational procedures, policies, regulations and legislation and their impact on operations;
- advise on control implications for new and modified IT systems;
- provide advice and guidance and contribute to working groups and ad-hoc strategic exercises;
- provide consultancy services (which is defined for the purposes on PSIAS as pertaining to the provision of advice and guidance to Council Resources) on a secondment or

group representation basis, provided this does not compromise independence and that a sufficient period elapses before auditors formally review those services where consultancy has been provided (usually one year);

- perform periodic governance checks;
- provide written assurance in selected areas as well as an overall opinion at least once per annum;
- recommend improvements in control, performance and productivity in achieving corporate objectives;
- follow-up the extent to which earlier recommendations have been implemented;
- work in partnership with the external auditors;
- detect, prevent and investigate fraud and crime in accordance with approved anti-fraud strategies and policies.
- Internal Audit undertakes investigative work in respect of potential fraud, irregularity and serious breaches of governance and as such, is required to be notified of all suspected or detected fraud, corruption or impropriety. It also monitors devolved responsibility for investigation by Resources.

Internal audit testing may go beyond the records and adopt a more direct approach, including interviews, fact finding and on site surveys.

The existence of Internal Audit does not diminish the responsibility of management to exercise sound systems of internal control. It is clearly and solely a management responsibility to ensure that activities are conducted in a secure, efficient and well-ordered manner and that finances are safeguarded and used to maximum effect. This includes identifying and managing risks including fraud.

Managers are expected to provide requested information within a reasonable timescale and earlier than the equivalent timescale for Freedom of Information (FOI) Requests. Managers are expected to respond to assignment feedback requests, usually within seven days and to draft reports within fourteen days.

Internal Audit charges a fee for work performed for external clients, subject to agreed Service Level Statements. In addition, Internal Audit will undertake work relating to external bodies funded by the Council or partners, as authorised by the Following the Public Pound guidance.

Internal Audit may work jointly with neighbouring authorities, Scottish Government departments and external auditors on a variety of projects, aimed at improving the control and/or efficiency environment of public sector bodies.

Independence

Internal Audit is located within Audit and Compliance Services, under the direction of the Proper Officer, the Executive Director Finance and Corporate Resources.

In addition, general management duties will be delegated from the Executive Director Finance and Corporate Resources to the Audit and Compliance Manager and will cover audit matters as well as general management duties, including participation in the Senior Management Team.

The Audit and Compliance Manager has management responsibilities outwith Internal Audit for Funding and Compliance Services. It is recognised that arrangements are required to be in place for any audit work in this area to allow this to be managed independently of the Audit and Compliance Manager. The specific nature of these arrangements will be reported to the RASF when audit activity in this area is planned.

The establishment structure will comprise qualified and technician posts with a mix of professional specialisms. Structural reviews may take place from time to time and regular resource monitoring is reported to the Risk and Audit Scrutiny Forum. Any reduction in resources which might jeopardise the delivery of assurance will be immediately reported to the Executive Director Finance and Corporate Resources in the first instance.

As far as is practicable, Internal Audit should not participate in the day-to-day operation of any internal systems of financial or operational control.

Plans will be formed to reflect organisational audit needs but within available resources.

Upon request from the Executive Director Finance and Corporate Resources, appropriate specialists from other departments should be made available to take part in any audit requiring specialist knowledge.

Within SLC, the Employee Code of Conduct provides guidance on the type and nature of interests that should be declared (including paid employment outside the Council and personal interests in contracts). Auditors must declare their interests in accordance with the Code of Conduct and with regard to the audit principle of independence, and notify the Audit and Compliance Manager of any conflicts of interest which may arise. This formal declaration is renewed on an annual basis as part of the performance development review process but can be revisited should any conflicts arise.

Auditors will not be assigned to review or be involved in any activity where they have previously had operational or other involvement, usually within a period of one year. This includes instances where Internal Audit employees have been consulted during system, policy or procedural developments.

Access

Internal Auditors have authorisation from the Council to examine all council records, IT systems, cash, stores and other property, to obtain explanations and to enter Council property or land.

Access is unrestricted and shall be granted on demand and not necessarily be subject to prior notice.

Reporting

All planned audit assignments will formally be reported and every assignment will be closed after review by audit management. All Internal Audit reports will be submitted to the Executive Director Finance and Corporate Resources, and to recipients within the Resource being audited, including the Executive Director, Head of Service and the auditee. Circulation to the Chair of the Resource Committee is the responsibility of the Executive Director. Copies of reports relating to routine planned assignments will also be forwarded to external auditors.

The Audit Manager will plan for regular formal consultations with Heads of Service and Executive Directors, especially when preparing the formal audit plan. Feedback on performance and value of work undertaken will be sought. Heads of Service will be copied on every report affecting their area of responsibility. Effective relationships will be maintained by both parties and confidentiality of information will be protected, unless this would prevent the delivery of audit assurance. Timing of work is subject to consultation but this will not preclude unannounced visits when necessary or requested by Executive Officers or Members.

Best practice dictates that the Head of Internal Audit must report to those charged with governance. In SLC this means that the Audit and Compliance Manager reports to the Corporate Management Team (CMT), which is made up of Executive Directors covering all Council Resources, who for the purposes of PSIAS are defined as Senior Management. The CMT endorses, as a minimum the Internal Audit Plan and the Internal Audit Annual Report, which provides the opinion on the Council's internal control environment for both financial and operational matters and its overall governance arrangements.

Internal Audit also reports plans, annual assurance and all findings to the RASF who will in turn recommend further reporting to the Executive Committee. For external clients, reports and opinions will also be offered to audit committees, where these exist, or to client Boards and senior management teams.

Escalation

The Audit and Compliance Manager has direct access, reports and is accountable to the Executive Director Finance and Corporate Resources, the Proper Officer, as defined in Section 95, Local Government Act 1973 and meets with this officer on a regular one to one basis.

This relationship will be the escalation route for issues arising within Internal Audit and for those matters where it is appropriate for the Chair of the RASF to be briefed. The Audit and Compliance Manager's relationship with the Chair of the RASF and elected members is detailed above within the section 'Relationship with the Risk and Audit Scrutiny Forum'.

Auditors will use escalation processes so that slippage in relation to the delivery of audit assignments can be dealt with swiftly.

Responsibilities

In delivering assurance, Internal Audit adopts a predominantly systems-based approach to audit. In discharge of this duty, the Audit and Compliance Manager will:

- prepare an annual plan for formal agreement
- deliver a range of audit assignments, resulting in reports for management. Occasionally, letters or memos, rather than full reports will be issued. This usually occurs where few concerns are raised during the audit or where work is of a rolling nature, for example spot cash counts
- make recommendations for improvements
- provide Committee reports and other briefings to provide advice or raise awareness of performance or risk issues
- ensure a system of close supervision of audit work, and maintain a review of audit files through the supervisory structure
- maintain a skill level within the section specifically for the investigation of fraud
- provide an annual opinion within a full annual audit assurance statement, for agreement with the Chief Executive and Executive Director Finance and Corporate Resources and onward presentation to the Risk and Audit Scrutiny Forum and Executive Committee.

Lead Resource	Audit assignment	Outline Scope	Expected days
All	Contract Scrutiny Groups	Participate in Contract Scrutiny Groups as required.	10
All	Attend SLACIAG and SLAIG groups and computer audit sub-group	Attend as required, respond to requests, participate in consultations and provide updates.	9
Finance and Corporate	General Ledger Data	Provide 2017/2018 general ledger entry data to External Audit for the audit of the financial accounts for the year ended 31 March 2018.	20
All	Audit plan 2019/2020	Undertake consultation, risk assessment, Resource and Section planning, set scopes and objectives and seek approval through preparation of reports.	10
All	Internal Working Groups	Attend and participate in internal working groups, including, Information Governance Board; Good Governance Group; Serious and Organised Crime Group; Community Payback Order Working Group and Historic Abuse Working Group.	5
All	General contingency	Conclude all 2017/2018 audits. Respond to requests for unplanned work during 2018/2019, including advice and guidance to Resources.	50
Housing and Technical	Procurement	Provide assurance that procurement practices are robust in areas assessed as being subject to higher risk of fraud.	40
Community and Enterprise	Lighting	Provide assurance that procurement practices are robust in areas assessed as being subject to higher risk of fraud.	25
Community and Enterprise	Mobile Working (Roads)	Provide assurance that risks in relation to mobile working are managed and benefits are being realised.	25
Finance and Corporate	IT audit	Continue the rolling programme of audits in relation to Cyber Security to provide assurance that effective controls are in place to mitigate both existing and evolving risks.	30
Education	Pupil Equity Fund	Provide assurance of good governance around spend and tracking of benefits deriving from spend.	30

Lead Resource	Audit assignment	Outline Scope	Expected days
All	Fraud Contingency	Deliver of a programme of anti-fraud reviews to provide assurance that robust and effective controls are in place that both prevent and detect fraud. Undertake investigations as required.	170
All	National Fraud Initiative (NFI)	Facilitate the investigation of 2017/2018 matches and provision of NFI data in 2018/2019.	40
All	Fraud Alerts	React to fraud alerts through internal and external sources and disseminate information as appropriate.	1
Community and Enterprise	City Deal – Benefits Realisation	Provide assurance that effective arrangements are in place to track benefit realisation.	20
Community and Enterprise	Carbon Report	Provide assurance around accuracy and completeness of submission to the Scottish Government.	20
All	Follow Up	For all Council Resources, identify audit recommendations due in the period April 2017 to March 2018. Risk assess and follow-up to ensure implementation of all high risk actions.	75
Finance and Corporate	Budgetary Control	Review budgetary control processes to ensure effective and controls robust.	30
Finance and Corporate	ICON or Treasury Management	Undertake programme of routine tests around controls (including External Audit testing).	30
Community and Enterprise	Job Costing	Test job costing methodology to ensure robust.	35
Finance and Corporate	Benefits	Undertake specific testing around risk areas highlighted by daily benefit audits.	20
Finance and Corporate	Financial Strategy	Test current financial planning process against best practice.	10
All	Governance Statement	Facilitate preparation of the 2017/2018 Governance Statement	10
Community and Enterprise	Waste Contract (residual waste)	Provide assurance that contract being delivered within the terms of the contract and adequate arrangements are in place to contract monitor and provide assurance around the correctness of charges.	40
Finance and Corporate	Universal Credit	Procedural check to obtain assurances that housing benefits are being stopped timeously where a person has moved over to Universal credit.	30

Lead Resource	Audit assignment	Outline Scope	Expected days
All	CCM	Continue to download data in current CCM areas. Use data for analysis and to inform internal and external audit testing. Prepare formal reports to allow exceptions and unusual trends in financial controls to be reported to Resources for further investigation	75
All	Informal Follow Up	Prompt Resources on a quarterly and monthly basis of actions due within that period. Collate responses for reporting.	15
Social Work	Mobile Working (Homecare)	Provide assurance that risks in relation to mobile working are managed and benefits are being realised.	20
Social Work	Kinship Care	Provide assurance that processes are robust and deliver good governance.	20
Social Work	Self Directed Support	Provide assurance of compliance with Act and review procedures around service options and adequacy of controls to mitigate against potential risks.	5
Total number of days			920

Report

8

Report to: **Executive Committee**
 Date of Meeting: **28 March 2018**
 Report by: **Executive Director (Finance and Corporate Resources)**

Subject: **Employment Programmes in Early Years and Social Care**

1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ outline arrangements to develop capacity in our early years and social care workforce, by implementing new employment programmes.

2. Recommendation(s)

2.1. The Executive Committee is asked to approve the following:-

- (1) that the content of the report be noted
- (2) that the addition of the posts to Resource establishments, as detailed in section 6.1, be approved.

3. Background

- 3.1. At its meeting on 28 February 2018, the Executive Committee approved £4 million funding for a New Initiative to introduce Employment Programmes for Early Years and Social Care Services.
- 3.2. The Council, through its workforce planning, has identified the need to prepare for the future increase in demand for social care and early years. The constancy of demand in social care in Care Homes, Day Care and Care at Home/Supporting Your Independence, means that the Council faces challenges in recruiting employees to all these posts. Similarly, indications are that the expansion of funded Early Years hours for children, will require an increased early years' workforce between now and 2020.
- 3.3. This initiative will provide a stream of qualified staff to contribute towards the increasing demands in these areas.
- 3.4. The Council will establish a dedicated training programme leading to practical experience and qualifications in these fields. Students in this training programme will be employed by the Council for an initial period of two years.
- 3.5. The programme will deliver training to a minimum of 50 Social Care and 50 Early Years students over the two year period. As students move onto employment, a further intake of students can be considered.
- 3.6. In addition to the direct employment of students themselves, support staff will be embedded within Social Work and Education Resources to co-ordinate activities,

support and mentor students and provide reporting on this initiative. Updates will be provided to elected members as this initiative progresses.

4. Delivery and Outcomes

- 4.1. For Social Care, recruitment will take place around the current locations where we have physical resources (such as older people, or children's facilities). There would be targeted recruitment in areas where recruitment difficulties were being experienced. At this point in time, there are no recruitment difficulties in specific localities.
- 4.2. For Early Years recruitment, these are entry level opportunities and applications will be sought from individuals identified as having an interest in this area of work and requiring support to gain employment. These will be mainly in the age range 16 to 19 and links will be made with those undertaking Foundation Apprenticeships in order to offer this as a transition option.

5. Qualifications and Support

- 5.1. Social Care – Students will undertake a Level 2 VQ in Social Services and Health Care (Adults and Older Care) which should take around 12 months to complete. The delivery of the programme will be undertaken internally by the Council.
- 5.2. Early Years – Students will undertake a Level 3 VQ in Childcare as well as the 3 additional modules to enable them to meet the SSSC practitioner qualification requirement. This training route will take a minimum of 18 months to complete. Delivery of the programme will be undertaken internally by the Council.
- 5.3. The salary level for these training posts will be Grade 1, Level 1 (£16,861). In addition, they will undertake core training as follows:-
- ◆ Induction
 - ◆ Core e-learning mandatory modules
 - ◆ First Aid at Work
 - ◆ Moving and Assisting Induction
 - ◆ REHIS Elementary Food Hygiene
- 5.4. South Lanarkshire Council will employ the students with a variety of work placements being offered to ensure a wide and varied experience. This will include placements with contracted social care providers and early years' partners. Placements will be available across all localities in SLC.

Training can be provided on a locality basis minimising the need for participants to travel.

- 5.5. Support – Two FTE Vocational Development Officer (Support Workers) will be required, one within Education Resources and one within Social Work Resources. They will support the programmes and provide the wrap-around support needed for these students. In addition, participants will be supported through an allocated VQ Assessor and links made to Council services for any other individual support required. There will be 1 assessor per 25 students and a 0.5 FTE acting as a VQ verifier for each of the qualifications. These are essential posts in order to ensure progress towards the formal qualification. These assessor and verifier posts will be embedded within Education and Social Work Resources, but employed by Finance and Corporate Resources, in order to benefit from the management structure in place and professional links with other VQ Assessors.

- 5.6. The monitoring, evaluation and reporting of the programme takes place through the Social Work Governance Group and the Education Learning and Development Board and the respective Resource Committees.

6. Employee Implications

- 6.1. As detailed in section 5.5., the following posts require to be added to Resource establishments as follows:-

Post title	Number of posts (FTE)	Grade / SCP	Hourly Rate	Annual salary	Gross costs (including on-costs 30.3%)
Vocational Development Officer (1 FTE – Education Resources; 1 FTE – Social Work Resources)	2.0	Grade 3 Level 2	£14.60 - £16.94	£26,643 - £30,913	£69,432 - £80,559
Assessor (Finance and Corporate Resources)	4.0	Grade 2 Level 2 - 3	£11.06 - £13.19	£20,183 - £24,070	£105,194 – £125,453
Verifier (Finance and Corporate Resources)	1.0	Grade 2 Level 2 - 3	£11.06 - £13.19	£20,183 - £24,070	£26,298 - £31,363
Total Costs					£200,924 - £237,375

These posts have been graded using the Council's job evaluation scheme.

- 6.2. The 100 trainees are in addition to the establishment and will be employed on a 2 year contract initially. The trainees will move to established posts within the Council as these become available and as the trainees gain the necessary competencies.

7. Finance Implications

- 7.1. The annual cost to deliver the programme for 50 students in each area would be approximately £2 million after expected income is taken into account. This includes the costs of the posts required to support the programme. Funding approved at the Executive Committee on 28 February 2018 allows the programme to be funded for 2 years and continuation of the programme will feature as part of future years' financial strategies.

- 7.2. Income can be claimed for students undertaking vocational qualifications. However, this income will be dependent on the age of the candidate and the programme being undertaken.

8. Other Implications

- 8.1. There are no implications for sustainability or risk in terms of the information contained within this report
- 8.2. As part of increasing the wider Early Years workforce, a Graduate Level Apprenticeship is being developed at a National Level and may form a progression for

Modern Apprentices to develop further in their career. Information on this new qualification will be shared with elected members as it becomes available.

9. Equality Impact Assessment and Consultation Arrangements

- 9.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and, therefore, no impact assessment is required.

Paul Manning

Executive Director (Finance and Corporate Resources)

9 March 2018

Link(s) to Council Values/Ambitions/Objectives

- ◆ Focused on People and their Needs
- ◆ Excellent Employer

Previous References

- ◆ None

List of Background Papers

- ◆ Executive Committee, 28 February 2018

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

Gill Bhatti, Employee Development and Diversity Manager

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Report

Report to:	Executive Committee
Date of Meeting:	28 March 2018
Report by:	Executive Director (Community and Enterprise Resources)
	Executive Director (Finance and Corporate Resources)

Subject:	Supplier Development Programme (SDP)
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ◆ update the Executive Committee on the Supplier Development Programme and recommend the Council continue to host the Programme
- ◆ progress the appointment of an appropriate elected member to represent South Lanarkshire Council on the SDP Board of Directors.

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the continued good performance of the Supplier Development Programme since the arrangements to host the central team within South Lanarkshire Council were implemented in 2014, be noted;
- (2) that the Committee agree that South Lanarkshire Council continues to host the Supplier Development Programme (SDP) within the Planning and Economic Development Service for the next two years and extends the contracts of the three posts associated with it on the basis set out in sections 6 and 7 of this report;
- (3) that Councillor Collette Stevenson be nominated to serve on the Board of Directors of the Supplier Development Programme Ltd; and
- (4) that the Committee authorise the Executive Director (Community and Enterprise Resources), in consultation with the Head of Personnel and the Head of Administration and Legal Services, to conclude any necessary legal and personnel matters in the best interests of the Council.

3. Background

3.1. The Supplier Development Programme (SDP) is a business support initiative which aims to help Small Medium Employers (SMEs) improve their performance in winning contracts with public sector organisations through offering information, support and training events to help them compete in the complex tendering processes. The Programme was founded, initially by three local authorities, (Glasgow, Renfrew and South Lanarkshire) in 2005 and is set up as a not for profit company, limited by guarantee, with a board of directors made up from elected members and senior officers from 12 member authorities. The company and its operations are managed below board level by a hierarchy of regional management, stakeholder and executive groups, with the board membership comprising a balanced representation of regional

groups. The Programme activities and running costs are funded by the 32 local authority members' subscriptions, contributions from new member subscribers (other public bodies) and Scottish Government contributions.

- 3.2. Following the resignation of Councillor Chris Thompson from his position as Chair of the SDP Board in 2015, the Council has been represented by a senior officer in Planning and Economic Development Services. However, with the successful completion of the transition from Glasgow City Council and the establishment of the team within Planning and Economic Development Services, it is now appropriate to consider the nomination of an elected member onto the Board of Directors to represent the Council and to support the future strategic development of the Programme. It is therefore proposed that Councillor Collette Stevenson is nominated as the Council's representative on the SDP Board.
- 3.3. Following an initial two year arrangement in which South Lanarkshire Council succeeded Glasgow City Council in 'hosting' SDP, the Executive Committee on 14 April 2016, approved a recommendation to extend this for a further 2 years, until June 2018. As this period is drawing to a close the SDP Board have asked South Lanarkshire Council to continue to host the programme for a further period of two years.
- 3.4. SDP is accommodated within Planning and Economic Development Services in Montrose House, staffed by South Lanarkshire Council employees who are seconded to the company, with staff and accommodation costs recovered from SDP.
- 3.5. The Council provides support services, governed by a Service Level Agreement. This covers the terms under which Council services provide assistance to the team and include procurement, finance, (accounts payable, VAT administration, revenue monitoring and annual accounts,) IT, media relations, sign and printing and the charges which the Council will make to recover costs incurred on behalf of SDP.

4. Programme Update

- 4.1. Since the Council took responsibility for hosting this initiative local authority membership increased from 26 to all 32 of the Scottish Councils. In addition, working with Scottish Government, there has been a concerted effort to encourage uptake of membership by the wider public sector. This has seen the following public sector bodies join the Programme.

- ◆ Forestry Commission
- ◆ Highlands & Islands Enterprise
- ◆ Historic Environment Scotland
- ◆ Registers of Scotland
- ◆ CalMac
- ◆ National Records of Scotland
- ◆ Scottish Parliament

Working across the wider public sector puts the Programme in a strong position to raise awareness of contract opportunities with member authorities and to enable them to raise awareness of these opportunities to their SME business base at a local level.

- 4.2. The smart use of procurement can play a key role in helping businesses. The Procurement Reform (Scotland) Act 2014 means that public bodies now have a duty to consider how they can facilitate the involvement of SMEs, third sector bodies and supported businesses in procurement.

- 4.3. The sustainable procurement duty requires that before a contracting authority buys anything, it must think about how it can improve the social, environmental and economic wellbeing of the area in which it operates, with a particular focus on reducing inequality. It also requires a contracting authority to consider how its procurement processes can facilitate the involvement of SMEs, third sector bodies and supported business, and how public procurement can be used to promote innovation.
- 4.4. As part of a new initiative to connect Councils to the SME community, a new webinar programme, “Talking Tenders” has been launched. This provides SDP member authorities with the opportunity to access a 40 minute webinar discussing:-
- ◆ Prospective tender opportunities in the pipeline
 - ◆ Supplier information and engagement days
 - ◆ Buying processes and procedures
 - ◆ Q & A on opportunities and processes in conducting business with the Council

South Lanarkshire were the first Council to participate in this new initiative, and had 61 suppliers connect live to the webinar held on 7th February 2018, which was delivered by an officer from the Council’s procurement team and received very positive feedback.

- 4.5. Supplier registration to the Programme has increased from around 7,000 to 10,961 companies since 2016, with 815 companies in South Lanarkshire now registered: a 20% increase from 2016. The Programme has delivered an improved range of training and networking events assisting these businesses to develop their skills and increase confidence to tender for public and private sector opportunities. SDP have played an important role raising awareness now and will continue to do so in the coming years, ensuring City Region businesses are equipped to benefit from the opportunities of the Glasgow City Region City Deal as well as the opportunities arising from the Glasgow 2018 European Championships.
- 4.6. Looking to the future, SDP will continue to develop engagement with other public bodies, offering a 'joined up' approach to meet sustainable procurement duty targets to improve the economic, social and environmental wellbeing of the authority’s area. This includes connecting with NHS, Further Education organisations and other local public bodies.
- 4.7. In conclusion the delivery of the work of the SDP, since it has been based in South Lanarkshire, continues to be a success continuing to deliver on the various objectives set, including increasing membership of public bodies, and increased company registrations. Given the success of the transformed SDP in 2016-2018, it is proposed that the Council continues to host this programme for a further period of two years from 1 July 2018.

Key achievements in 2016-2018 include:-

- ◆ successful delivery of an Annual “Meet the Buyer” event, which attracted over 1,200 suppliers and had over 70 stands
- ◆ additional public bodies have joined the Programme, increasing annual subscription income by £24,500
- ◆ supplier registrations continue to grow year on year
- ◆ supported the Glasgow City Region City Deal with a number of information raising events

Given the success of the transformed SDP in the period 2016 to 2018, it is proposed that the Council continues to host this programme for a further period of two years from 1 July 2018.

5. Employee Implications

- 5.1. The current arrangement will continue with the Programme management team staffed by four SLC officers on a secondment basis and costs recovered from SDP Limited. It is proposed, therefore, these posts be retained on the establishment of Planning and Economic Development Services for a further two year period, from 1 July 2018 to 30 June 2020, with potential to be extended thereafter.
- 5.2. Three of the current employees are on fixed term contracts and the extension of the arrangement until June 2020 will mean they will become permanent employees of the Council. When the arrangements are reviewed in June 2020, should the Council cease to host this initiative and no other authority agrees to host it, the Council may be liable for early release/redundancy costs, which may be between £6,260 and £13,891. If an alternative host authority is established then it is likely the posts would transfer under TUPE.

6. Financial Implications

- 6.1. All employee costs in full relating to the four posts and the costs associated with physically hosting and operationally managing the posts will continue to be met from SDP budgets. The council has a Service Level Agreement in place with SDP.
- 6.2. The Council's subscription of £10,000 for SDP membership will continue to be met from the Community and Enterprise budget at the current level.

7. Other Implications

- 7.1. The SDP board are satisfied with the performance of the team and the progress made in expanding the programme since 2014 and has confirmed they wish to continue with the existing arrangements.
- 7.2. There is the possibility of the Programme failing to meet its objectives on behalf of 32 member authorities and a reputational risk to the Council if the successfully re-launched Programme does not continue to be delivered in a consistent manner. This risk can be minimised by South Lanarkshire Council continuing to host the SDP and maintaining oversight via the Council's robust performance monitoring and management procedures.

8. Equality Impact Assessment and Consultation Arrangements

- 8.1. This matter does not require an equality impact assessment to be undertaken.
- 8.2. Consultation has been undertaken with SDP Board and council economic development and procurement officers who support the recommendation of this report.

Michael McGlynn

Executive Director (Community and Enterprise Resources)

8 March 2018

Link(s) to Council Values/Objectives

- ◆ Support the local economy by providing the right conditions for growth, improving skills and employability.

Previous References

- ◆ Executive Committee, 14 May 2014
- ◆ Executive Committee, 13 April 2016

List of Background Papers

- ◆ None

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Report

10

Report to:	Executive Committee
Date of Meeting:	28 March 2018
Report by:	Chief Executive

Subject:	Recommendations Referred by Community and Enterprise Resources Committee
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ◆ request approval of the following recommendations referred to this Committee by the Community and Enterprise Resources Committee held on 6 March 2018

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the recommendations referred by the Community and Enterprise Resources Committee in relation to the Collections Development Policy and the Policy on Balloons and Sky Lanterns be approved.

3. Background

3.1. Collections Development Policy – Extract of Minute

3.1.1. A report dated 21 February 2018 by the Executive Director (Community and Enterprise Resources) was submitted on the Collections Development Policy for the period 2018 to 2023.

The Collections Agreement between the Council, South Lanarkshire Leisure and Culture Limited and South Lanarkshire Leisure Trading was implemented prior to the transfer of the museum service to South Lanarkshire Leisure and Culture in 2010 to provide the museum service with authorisation to manage the collections on behalf of the Council.

The current Collections Development Policy 2013 to 2018 was due for renewal and the proposed Collections Development Policy 2018 to 2023, attached as an appendix to the report, had taken account of the Audit Scotland action relating to the inventory of heritable assets.

The Committee decided: that the Collections Development Policy for 2018 to 2023, as detailed in the appendix to the report, be endorsed.

The Committee recommended to the Executive Committee: that the Collections Development Policy 2018 to 2023, as detailed in the appendix, be approved and published on the Council's website.

3.2 Policy on Balloons and Sky Lanterns – Extract of Minute

- 3.2.1 A report dated 12 February 2018 by the Executive Director (Community and Enterprise Resources) was submitted on the introduction of a policy on the release of balloons and sky lanterns.

The National Farmers' Union Scotland had launched a campaign to ban the use of lanterns and helium balloons on council owned land or at events supported by councils due to the danger they posed to animals.

In order to support this, it was proposed that the use of helium filled balloons and sky lanterns at Council events be prohibited, as detailed in the policy document attached as an appendix to the report.

The Committee decided: that the Release of Balloons and Sky Lanterns Policy, as detailed in the appendix to the report, be endorsed.

The Committee recommended to the Executive Committee: that the Release of Balloons and Sky Lanterns Policy, as detailed in the appendix, be approved and published on the Council's website.

4. Employee Implications

- 4.1. All employee implications were highlighted as part of the original reports to Resource Committees.

5. Financial Implications

- 5.1. All financial implications were highlighted as part of the original reports to Resource Committees.

6. Other Implications

- 6.1. Any risks or sustainability issues or other implications were highlighted as part of the original reports to the Resource Committees.

7. Equality Impact Assessment and Consultation Arrangements

- 7.1. Equality impact assessment and consultation arrangements were highlighted as part of the original reports to the Resource Committees.
- 7.2. There is no requirement to carry out an Equality Impact Assessment or consultation in terms of the proposals contained in this report.

Lindsay Freeland
Chief Executive

8 March 2018

Link(s) to Council Values/Ambitions/Objectives

- ♦ Accountable, effective, efficient and transparent

Previous References

- ◆ Minutes of Community and Enterprise Resources Committee of 6 March 2018

List of Background Papers

- ◆ Individual reports to Resource Committees

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Report

11

Report to: **Executive Committee**
 Date of Meeting: **28 March 2018**
 Report by: **Executive Director (Finance and Corporate Resources)**

Subject: **Representation on Committees etc and Outside Bodies**

1. Purpose of Report

- ♦ The purpose of the report is to:-
 - ♦ request approval for a number of amendments to the membership of Committees, Partnerships, Joint/Outside Bodies and East Kilbride Licensing Division

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that Councillor Marrs be appointed as the Council's representative on the West of Scotland Road Safety Forum;
- (2) that Councillor Walker be replaced by Councillor Lennon on the Cambuslang and Rutherglen Sports Council;
- (3) that Councillor Le Blond be replaced by Councillor Wark as a full member of the Education Resources Committee with Councillor Le Blond taking up Councillor Wark's position as a substitute member;
- (4) that Councillors Le Blond and Harrow be replaced by Councillors Wark and Nailon respectively on the Education Appeals Committee;
- (5) that Councillor Harrow be replaced by Councillor Corbett as a substitute member of the Executive Committee;
- (6) that Councillor Campbell be replaced by Councillor Lockhart as a member of the South Lanarkshire Integration Joint Board with Councillor Nelson taking Councillor Lockhart's place as a substitute member;
- (7) that Councillor Harrow be replaced by Councillor Nailon as a member of the Getting It Right for South Lanarkshire Children's Partnership;
- (8) that Councillor Harrow be replaced by Councillor Campbell as a member of East Kilbride Licensing Division
- (9) that Councillor Corbett be replaced by Councillor Harrow as a substitute member of the Financial Resources Scrutiny Forum.

3. Background

3.1. The Council, at its meeting on 18 May 2017, approved its representation on certain committees, statutory joint boards and outside bodies. It further agreed to be represented on a range of other outside bodies, with the Executive Committee to consider and approve those appointments and any subsequent amendments.

4. Outside Body Representation

4.1. A request has been received from the lead authority, Renfrewshire Council, to nominate an elected member representative to the West of Scotland Road Safety Forum. In this regard, it is proposed that Councillor Julia Marrs be appointed as the

Council's representative on the West of Scotland Road Safety Forum. Councillor Marrs is Chair of the Council's Roads Safety Forum.

- 4.2 An approach has been made by the Business Manager of the Labour Group requesting that Councillor Walker be replaced by Councillor Lennon as a Council representative on the Cambuslang and Rutherglen Sports Council and it is proposed that this request be approved.
- 4.3 An approach has also been made by the Business Manager of the Conservative Group for various changes to the memberships of committees, joint boards, partnerships and East Kilbride Licensing Division and it is proposed that those changes be approved as detailed in Paragraph 2.

5. Employee Implications

- 5.1. There are no employee implications arising from this report.

6. Financial Implications

- 6.1. There are no financial implications associated with the proposals contained in this report.

7. Other Implications

- 7.1. There are no risks to the Council in relation to the recommendations contained in this report.
- 7.2. There are no issues in terms of sustainability in relation to the recommendations contained in this report.

8. Equality Impact Assessment and Consultation Arrangements

- 8.1. This report does not introduce a new policy, function or strategy or recommend a change to existing policy, function or strategy and therefore no impact assessment is required.
- 8.2. There was also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning
Executive Director (Finance and Corporate Resources)

8 March 2018

Link(s) to Council Objectives/Values/Ambitions

- ◆ Fair, Open and Sustainable
- ◆ Accountable, Effective, Efficient and Transparent

Previous References

South Lanarkshire Council 18 May 2017

List of Background Papers

Correspondence from outside organisation

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Report

12

Report to:	Executive Committee
Date of Meeting:	28 March 2018
Report by:	Executive Director (Education Resources)

Subject:	Outcome of the Statutory Consultation on Duncanrig and Calderglen High Schools □
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ advise the Committee on the outcome of the statutory consultation undertaken on the proposal to change the catchment areas of both Duncanrig Secondary School and Calderglen High School with either
 - Option 1 – associate the new, non-denominational primary school planned for the Jackton/East Kilbride Community Growth Area (CGA) to Calderglen High School; or
 - Option 2 – change the association of Greenhills Primary School from Duncanrig Secondary School which would mean pupils would transfer to Calderglen High School as the local secondary school;
- ♦ advise the Committee that the final Consultation Report was published on 24 January 2018;
- ♦ request formal Committee approval for the implementation of the proposal with Option 1.

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the outcome of the statutory consultation undertaken on the proposal to change the catchment areas of both Duncanrig Secondary School and Calderglen High School, East Kilbride be noted;
- (2) that the publication of the final Consultation Report on 24 January 2018 be noted;
- (3) that that the implementation of the proposal with Option 1 be approved.

3. Background

3.1. Approval was given on 27 September 2017 to undertake a statutory consultation on the proposal to change the catchment areas of both Duncanrig Secondary School and Calderglen High School with either:-

Option 1 – associate the new, non-denominational primary school planned for the Jackton/East Kilbride Community Growth Area (CGA) to Calderglen High School; or
Option 2 – change the association of Greenhills Primary School from Duncanrig Secondary School which would mean pupils would transfer to Calderglen High School as the local secondary school.

- 3.2. This consultation was undertaken in line with the Schools (Consultation)(Scotland) Act 2010 commencing 9 October 2017 and concluding on 18 December 2017 with receipt of Education Scotland's response.

4. Outcome of the Consultation – Stakeholder Feedback

- 4.1. Notification of this consultation was provided to approximately 4,500 statutory consultees, and an advert appeared in the East Kilbride News on 4 October 2017 giving the details of the consultation, the closing date, how to access necessary documentation and the details of the public meeting.
- 4.2. The total number of responses that were received was 276 and the breakdown is shown below in Table 1.

Table 1

Responses	Number
In favour of the proposal and Option 1 (associate new primary school in Jackton/CGA to Calderglen High School)	144 (parents / others) 117 (staff)
In favour of the proposal and Option 2 (associate Greenhills Primary School to Calderglen High School)	12
Not in favour of the proposal and suggesting an alternate option	1
Undecided	2
TOTAL	276

- 4.3. A breakdown of those responses by consultee designation is shown below in Table 2.

Table 2

Response to proposal	Parent Council of affected schools	Parent of pupil at Greenhills Primary School	Parent of pupil at Calderglen High School	Parent of pupil at Duncanrig Secondary School	Parent of pupil at another primary school	Local Resident	Staff	Other
In favour of proposal and Option 1	2	3	82	0	17	30	117	10
In favour of proposal and Option 2	0	1	1	4	4	1	1	0
Not in favour and suggesting alternative option	0	0	0	0	1	0	0	0
Undecided	0	0	1	0	0	0	1	0
TOTAL	2	4	84	4	22	31	119	10

- 4.4. The recurring themes raised within any comments provided were:
- ◆ continuity of association for Greenhills Primary School to Duncanrig Secondary School (maintaining established curricular links);
 - ◆ travel distance, transport and safe routes to school;
 - ◆ staffing/resources.

5. Outcome of the Consultation – Education Scotland Report

- 5.1. Education Scotland was provided with the consultation proposal document at the start of the consultation period. In addition, a summary document including the note of the Public Meeting, all written responses to the consultation and Pupil Views was provided to consider in conjunction with the proposal.
- 5.2. A helpful report was received by the Council on 18 December 2017 in which Education Scotland recognised the clear educational benefit of addressing the emerging capacity issues at Duncanrig Secondary School and also that both Duncanrig Secondary and Calderglen High Schools are modern, purpose built schools, with very good facilities for learning.
- 5.3. It was identified that the educational benefits of Option 2 (change the association of Greenhills Primary School from Duncanrig Secondary which would mean pupils transfer to Calderglen High School as the local secondary school) were not sufficiently clear and that the Council would require to consider how to address the concerns raised should it decide to implement this option.

6. Summary of Consultation

- 6.1 Education Resources reviewed the proposal having regard to the representations made at the Public Meeting, those received in writing and also, Education Scotland's report.
- 6.2 After review, the proposal to change the catchment areas of both Duncanrig Secondary School and Calderglen High School and associate the new, non-denominational primary school planned for the Jackton/East Kilbride Community Growth Area (CGA) to Calderglen High School is recommended.

7. Employee Implications

- 7.1. In terms of employee resources, this will continue to be provided in each school by means of existing process e.g. annual class configuration protocols and agreed management allocations.

8. Equality Impact Assessment and Consultation Arrangements

- 8.1 There is no requirement to carry out an impact assessment in terms of the proposals contained in this report. As referred to in 3.1 above, this consultation was undertaken in line with the Schools (Consultation) (Scotland) Act 2010.

Tony McDaid

Executive Director (Education Resources)

7 February 2018

Link(s) to Council Values/Objectives

- ◆ Raise educational achievement and attainment
- ◆ Achieve efficient and effective use of resources

Previous References

- ◆ None

List of Background Papers

- ◆ Consultation Report (circulated via email to all Councillors 24 January 2018 and available from:-
http://www.southlanarkshire.gov.uk/downloads/file/11970/duncanrig_secondaryalderglen_high_school_consultation_report

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Report

13

Report to: **Executive Committee**
 Date of Meeting: **28 March 2018**
 Report by: **Executive Director (Housing and Technical Resources)**

Subject: **Land and Property Transfers and Disposals**

1. Purpose of Report

1.1. The purpose of the report is to:-

- ♦ recommend that the land detailed in the Appendix is transferred between Resources in order to meet operational requirements

2. Recommendation

2.1. The Committee is asked to approve the following recommendation:-

- (1) that the land detailed in the Appendix is transferred from the General Services Account to the Housing Revenue Account, in order to meet operational requirements

3. General Background

- 3.1. Details of land and property, which is potentially suitable for redevelopment or disposal, are circulated to all Resources and Community Planning Partners via the Property Bulletin to establish if they are suitable for alternative operational use.
- 3.2. Any recommendations made follow consideration of planning, title and other legal constraints. Any assets with competing interests are judged against Council priorities, including the need for capital receipts, prior to a recommendation being made.
- 3.3. Transactions outwith the Property Bulletin process, where there is a requirement to reallocate or declare property surplus as part of minor/ adjoining sales, or to facilitate a Council project, are not circulated through the Property Bulletin,
- 3.4. Transactions are only included in the report after successful consultation with the Holding Resource(s) and Planning.
- 3.5. This particular Report refers to a single reallocation proposal.

4. Non Bulletin Transfers

- 4.1. The site, which extend to 1.463 hectares (3.62 acres), or thereby, comprises part of the site of the former St Joseph's Primary school, Blantyre which is now surplus to Education Resources' requirements following the completion of the replacement school and demolition of the original building.
- 4.2. The land, as shown on the attached plan, has been identified as a potential site for the construction of new build Council Housing as part of the Council's house building commitment and requires to be transferred to the Housing Revenue Account for this

purpose, with the remainder of the site being retained for a future operational use by Social Work Resources and which will be the subject of a future report to Committee.

5. Employee Implications

- 5.1. There are no employee implications.

6. Financial Implications

- 6.1. In terms of Scottish Government Guidance, an acquisition of land by the Housing Revenue Account must be done at the best consideration that the site could reasonably be expected to achieve and it is, therefore, proposed that the site will be transferred at Market Value.
- 6.2. The date of transfer and settlement are still to be agreed in consultation with Scottish Government.

7. Other Implications

- 7.1. The Final density and design of development is the subject of discussions with Planning and detailed site investigations.
- 7.2. There are no significant issues in terms of sustainability arising from this report.

8. Equality Impact Assessment and Consultation Arrangements

- 8.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and therefore no impact assessment is required.
- 8.2. Consultation was undertaken with Planning, Roads, Legal, and Housing Services.

Daniel Lowe

Executive Director (Housing and Technical Resources)

12 March 2018

Link(s) to Council Values/Ambitions/Objectives

- ◆ Achieve results through leadership, good governance and organisational effectiveness

Previous References

- ◆ None

List of Background Papers

- ◆ Plans of the land and property referred to in this report

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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APPENDIX

NON BULLETIN TRANSFERS

Area	Description	Holding Account	Proposal	Value Band
1.463 hectares	Land at site of former St Joseph's Primary School, Joanna Terrace, Blantyre	Education	Transfer to Housing and Technical Resources	2

Value Bands

1 – over £1 million

2 – £500,000 to £999,999

3 – £100,000 to £499,999

4 – less than £100,000



The site plan illustrates the proposed development at St Joseph's Primary School. The school's footprint is outlined in a thick black line, showing a large central hall, several classrooms, and a playground. The plan also shows the surrounding area, including St Joseph's Church, a hall, and various residential streets. Key features include a 'Proposed Development' area, a 'Proposed Access' point, and a 'Proposed Car Park'.

DATE: 06/13/2018

Report

14

Report to:	Executive Committee
Date of Meeting:	28 March 2018
Report by:	Executive Director (Education Resources) Executive Director (Finance and Corporate Resources)

Subject:	Representation on the Education Resources Committee - Update
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ◆ provide an update on the arrangements for the appointment of representatives other than councillors to serve on the Education Resources Committee

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the appointment of Christine Hall and Hilary Kirby as the 2 new Parent Council representatives on the Education Resources Committee be confirmed

3. Background

3.1. At its meeting on 28 June 2017, the Committee agreed that the election and selection procedures to obtain specified categories of religious and parent/carer representation on the Education Resources Committee be approved.

3.2. On 31 January 2018, the Committee noted:-

- ◆ that arrangements had been made for the Chair of the Education Resources Committee, Executive Director (Education Resources) and Operations Manager, Education Resources, to interview each Parent Council nominee
- ◆ the intention to report details of the 2 new Parent Council representatives on completion of the interview process

4. Update on Appointment of 2 Parent/Carer Representatives

4.1. All Parent Councils were invited to submit nominations to serve on the Education Resources Committee by 10 November 2017.

4.2. Each Parent Council could nominate 1 member provided that the nomination was proposed at a formal meeting of the Parent Council.

4.3. Each nominee was invited to provide a statement of support.

4.4. 6 nominees were interviewed and it is proposed that the following 2 applicants be confirmed as the 2 new Parent Council representatives on the Education Resources Committee:-

- ♦ Christine Hall
- ♦ Hilary Kirby

6. Employee Implications

- 6.1. None.

7. Financial Implications

- 7.1. The appointment of representatives to serve on the Education Resources Committee can be met from within existing resources.

8. Other Implications

- 8.1. There are no implications for risk or sustainability in terms of the information contained in this report.

9. Equality Impact Assessment and Consultation Arrangements

- 9.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and, therefore, no impact assessment is required.
- 9.2. Information on the nomination process for Parent Council representation was issued to all Parent Councils.

Tony McDaid

Executive Director (Education Resources)

Paul Manning

Executive Director (Finance and Corporate Resources)

12 March 2018

Link(s) to Council Values/Ambitions/Objectives

- ♦ Raise educational achievement and attainment
- ♦ Increase involvement in lifelong learning

Previous References

- ♦ Executive Committee of 28 June 2017
- ♦ Executive Committee 31 January 2018

List of Background Papers

- ♦ Nomination letters and statements of support for Parent Council representation

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Report

15

Report to: **Executive Committee**
 Date of Meeting: **28 March 2018**
 Report by: **Executive Director (Finance and Corporate Resources)**

Subject: **Revenue Budget and Level of Local Taxation 2018/2019
 - Local Government Finance (Scotland) Order 2018**

1. Purpose of Report

1.1. The purpose of the report is to:-

- ◆ confirm receipt of the Local Government Finance (Scotland) Order 2018.
- ◆ provide an update on the 2018/2019 based on the Order

2. Recommendation(s)

2.1. The Committee is asked to approve the following recommendation(s):-

- (1) that the receipt of the Local Government Finance (Scotland) Order be noted;
- (2) that the revised 2018/2019 Revenue Budget be noted;
- (3) that the additional funding allocations and associated commitments be noted;
and
- (4) that the terms of this report be referred to the next Council meeting for noting.

3. Background

3.1. At its meeting on 28 February 2018, the Council approved the recommendations of this Committee in relation to the following issues:-

- ◆ Housing Revenue and Capital Account Budget 2018/2019
- ◆ Revenue Budget and Level of Local Taxation 2018/2019
- ◆ Prudential Indicators 2018/2019 to 2020/2021, Treasury Management Strategy 2018/2019 and Annual Investment Strategy 2018/2019

3.2. On the basis that the budget decisions were conditional on the receipt of the Local Government Finance (Scotland) Order 2018, it was further agreed that a report was to be submitted to this meeting to confirm receipt of the Order to allow the condition to be purified.

3.3. The Local Government Finance (Scotland) Order 2018 was approved by Parliament on 6 March 2018 and councils were issued with their approved grant figures in Finance Circular 4/2018 - Local Government Finance (Scotland) Order 2018.

4. Local Government Finance (Scotland) Order 2018

4.1. The Local Government Finance (Scotland) Order 2018 was made and came into force on 6 March 2018. The Order confirmed the grant settlement which had been used to form the budget conditionally approved by the Council at its meeting on 28 February 2018.

- 4.2. The Order provided an updated settlement position for 2018/2019. The 2018/2019 grant figure in the Order is £558.984 million, an increase of £9.868 million on the figure approved as part of the budget by the Council on 28 February 2018.
- 4.3. The increase of £9.868 million is due to the allocation of funding that was not distributed in the earlier settlement, comprising the Community Justice Social Work funding £4.220 million, Discretionary Housing Payments £2.897 million, 1+2 Languages £0.190 million, Early Years Expansion £2.569 million and minor changes (£0.008 million). All of these items, except the (£0.008 million) for minor changes are committed and therefore, there is no benefit to the Council. The amounts require to be added to Resource budgets to fund the commitments.
- 4.4. The original budget approved on 28 February 2018 was £684.493 million. The additional allocation takes the revised budget to £694.361 million.
- 4.5. As noted in section 4.3.above, these additional allocations have commitments against them and thus do not alter the budget decisions taken in the report of the 28 February 2018.
- 4.6. This, therefore, purifies the condition in respect of the budget decisions taken at the meeting of the Council on 28 February 2018.
- 5. Employee Implications**
- 5.1. There are no employee implications.
- 6. Financial Implications**
- 6.1. The financial implications are as detailed in the report.
- 7. Other Implications**
- 7.1. There are no implications for sustainability in terms of the information contained in this report.
- 8. Equality Impact Assessment and Consultation Arrangements**
- 8.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and therefore no impact assessment is required.
- 7.2. There is also no requirement to undertake any consultation in terms of the information contained in this report.

Paul Manning
Executive Director (Finance and Corporate Resources)

7 March 2018

Link(s) to Council Values/Ambitions/Objectives

- ◆ Accountable, Effective, Efficient and Transparent

Previous References

- ◆ South Lanarkshire Council, 28 February 2018

List of Background Papers

- ◆ Local Government Finance (Scotland) Order 2018

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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Report

Report to:	Executive Committee
Date of Meeting:	28 March 2018
Report by:	Executive Director (Community and Enterprise Resources)

Subject:	Sustainable Development Update
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1. Purpose of Report

1.1. The purpose of the report is to:-

- ◆ advise the Executive Committee of the status of Sustainable Development Strategy actions and measures at Quarter 2 of 2017/2018.

2. Recommendation(s)

2.1. The Executive Committee is asked to approve the following recommendation(s):-

- (1) that the 2017/2018 Q2 position in respect of actions and measures within the Sustainable Development Strategy be noted.

3. Background

3.1. The Council's Sustainable Development and Climate Change Strategy was approved by Executive Committee on 6 December 2017 and will be formally published by the end of March 2018.

3.2. During the preparation of the strategy, Services have continued to contribute to the Council's sustainable development objectives and climate change duties. Now that the strategy has been approved progress during 2017/2018 can be reported.

3.3. The strategy's action plan is monitored using IMPROVe and the current arrangement is to report progress on the action plan to the Executive Committee at Q2 and Q4.

4. Sustainable Development Strategy 2017/18 Q2 Update

4.1. The action plan for 2017/18 contains 27 actions across the three strategic themes. Each action has one or more defined measures providing the current status for each action. In total there are 45 measures in the 2017/18 action plan.

4.2./...

4.2. The overall summary of progress at the end of quarter two is as follows:-

Total number of measures		45
Status – Green	The timescale or target has been met as per expectations	35 (78%)
Status – Amber	There has been minor slippage against timescale or minor shortfall against target	3 (6%)
Status - Red	There has been major slippage against timescale or major shortfall against target	0
Reportable at a later stage / contextual	For some measures, the statistics are not yet available to allow us to report whether the target has been reached or not. These will be reported when available	7 (16%)

Progress on all objectives, actions and measures is noted at appendix 1.

4.3. Highlights during quarter 2 of 2017/18 include:

- ◆ Central Energy Efficiency Fund (CEEF) projects progressing including upgrading of LED lights within principal offices and installation of photovoltaic panels in a further four Primary Schools – Blacklaw Primary School, Glenlee Primary School, Greenhills Primary School and Robert Owen Primary School
- ◆ Forestry extraction at Millheugh/Greenhall Estate is now complete and footpath regeneration work is well underway
- ◆ Cycle route works are substantially complete to upgrade NCN74 at Tieglum Road in Lesmahagow and to provide a new cycle network in the Calderwood Road area of East Kilbride
- ◆ The Council continues to maintain its 100% registration with Eco-schools Scotland. Currently 133 schools have bronze awards; 119 have silver awards and 73 have Green Flag awards

4.4. Discussions are underway with Services to agree the action plan for 2018/19.

5. Employee Implications

5.1 All Resources are required to contribute to the implementation of the Sustainable Development and Climate Change strategy and Carbon Management Plan to ensure that actions and targets are met. The sustainable development officer and carbon management officer monitor and report the Council's progress.

6. Financial Implications

6.1 Actions within the sustainable development and climate change strategy and associated action plan are funded from existing Resource budgets or successful external funding bids.

7. Other Implications

7.1 The new sustainable development and climate change strategy has undergone public consultation and through the Strategic Environmental Assessment process.

8. Equality Impact Assessment and Consultation Arrangements

- 8.1 This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and, therefore, no impact assessment is required.
- 8.2 There is also no requirement to undertake any consultation in terms of the information contained in this report.

Michael McGlynn

Executive Director (Community and Enterprise Resources)

8 March 2018

Link(s) to Council Objectives and Values

- ◆ Work with communities and partners to promote high quality, thriving and sustainable communities

Previous References

- ◆ Executive Committee report on 6th December 2017 – Sustainable Development and Climate Change Strategy 2017-2022

List of Background Papers

- ◆ Sustainable Development and Climate Change Strategy 2017-2022
- ◆ Carbon Management Plan update report 2016

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

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South Lanarkshire Sustainable Development Strategy

improve

Performance Report Quarter 2 : 2017/18 - April to September



How to use this performance report

This performance report is intended to be both informative and easy to use. The guide below is designed to help you get the most out of the report and to answer the most common questions you might have.

Council Plan objective or theme

Resource Plan objective

Resource Plan action & associated measures.

Progress update against measure.

Measure Status – how are we doing? The “traffic light” codes are:

Green Achieved, or due to achieve with no issues

Amber There may be problems or minor slippage

Red Not on course, major slippage anticipated

Measures which are to be reported later or which are “for information only” are not colour coded

Vision and Strategic Direction

Improve effective use of our buildings and transport in order to reduce greenhouse gas emissions

Improve effective use of our buildings and transport in order to reduce greenhouse gas emissions		Comments / Progress		Status	This Year		Previous Years		
Action	Measures (non statistical measures shaded grey)				Target	To date	2008/09	2009/10	Trend
Further implement the carbon management plan to reduce greenhouse gas emissions	Reduce the Council's greenhouse gas emissions by March 2010 compared to 2005/06 baseline. Carbon management plan actions covering all key areas of emissions refreshed in March 2011		Current carbon reduction projects cover all resources. These projects will be reviewed and refreshed in March 2011 by members of the carbon management group.	Unknown	0.0%	0.0%	5.6%	Not avail	⬇
	Switch Off energy campaigns held in October 2010		The carbon management group are currently planning the 2010 energy reduction campaign to align to the national event, 'Energy Saving Week'.	Green	---	---	---	---	---
Implement the public sector duty under the Climate Change (Scotland) Act 2009.	Review the carbon management plan by January 2011 once guidance on new public sector duties in the Climate Change (Scotland) Act 2009 are clarified		Review of existing Carbon Management Plan on going. Public sector duties within the Climate Change (Scotland) Act 2009 will be taken into account in the revised version of the carbon management plan.	Green	---	---	---	---	---
	Review of SDS to be started by July 2010, and published for consultation Jan 2011		This action will be delayed due to the departure of the Council's sustainable development officer.	Amber	---	---	---	---	---

Ensure efficient use of material resources and to increase recycling of waste and develop more sustainable waste management

Action	Measures (non statistical measures shaded grey)	Comments / Progress	Status	This Year		Previous Years		
				Target	To date	2008/09	2009/10	Trend
Continue to develop mechanisms for the recycling and of municipal waste in order to achieve Scottish Government waste targets. Review our new contracts for schools and buildings in order to increase recycling. We will provide effective and household waste collection service	The amount of biodegradable waste sent to landfill	To be reported in the second quarter	Unknown	73,730	Not avail	77,342	73,730	↓
		Second quarter	Unknown	40.0%	Not avail	37.0%	40.2%	↓
		Second quarter	Unknown	1.3 tonnes	Not avail	1.4 tonnes	1.3 tonnes	↓
			Unknown	208	152	144	152	↓
			Green	Not avail	£13.45	£64.07	£69.17	↓
			Green	Not avail	£19.21	£75.47	£80.80	↓
		consistent with the same period last	Green	95.0%	99.4%	99.0%	98.9%	↓

Statistics for the current year. The **Target** shows what we want to achieve by the end of the year. The **To Date** column shows how much we have achieved so far.

Statistics for the current year. The **Target** shows what we want to achieve by the end of the year. The **To Date** column shows how much we have achieved so far.

Statistics for last 2 years, showing how we are doing over time.

The trend column shows how performance changed between these 2 years



Performance getting better



Performance staying the same



Performance getting worse



Information not yet available



Summary (level 1) - number of measures green, amber and red under each Sustainable Development objective

Sustainable Development Theme	Green	Amber	Red	To be reported later / Contextual	Total
Sustainable Council	8			3	11
Sustainable Environment	14	1		1	16
Sustainable Communities	13	2		3	18
Total	35	3	0	7	45

Sustainable Council

The council's carbon emissions are managed and reduced

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Implement our Carbon Management Plan to reduce the council's carbon footprint in line with the Scottish Government's targets	10% reduction in the Council's greenhouse gas emissions achieved by March 2021, compared to 2015/16 (equivalent to 2% each year)	Carbon emissions in 2016-17 reduced by 7.8% compared with the previous year. Reductions reduced across all sources - energy, waste, street lighting, fleet and staff travel. There has been a reduction of 28.9% in the Council's greenhouse gas emissions since the baseline of 2005/06. This brings reductions in line with the Scottish government targets of a 42% reduction by 2020, with a baseline year of 1990.	Report Later	10.0%	-----	-----	-----	7.8%
	Compliance with Carbon Reduction Commitment (CRC) scheme achieved within deadlines	Our 2016-17 Carbon Reduction Commitment (CRC) annual report was submitted by the 31st July 2017 deadline. A total of 50,664 allowances are required for the compliance year. Allowances for 2016-17 were purchased in the 2016 presale at a cost of £16.10 each, and allowances for 2017-18 and 2018-19 were purchased in the 2017 presale at a cost of £16.60 each. By purchasing early, significant savings are made, as the prices increase in each subsequent sale.	Green	---	---	---	---	---
Continue to implement energy efficiency measures across council facilities including investment in renewable and low carbon energy solutions	% reduction in energy consumption across the energy portfolio	Measure to be reported at year end. Regular monitoring of all utilities, with analysis of highs and lows, is carried out through our Energy Team. Work across our entire portfolio of buildings, both domestic and non-domestic to make them more energy efficient, forms a large part of our annual Housing Investment and Capital Projects.	Report Later	---	---	---	---	---

Sustainable Council

The council's carbon emissions are managed and reduced

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
	Agree 2017/18 CEEF Programme with CMT	A successful bid to the Scottish Executive in 2004-05 established SLC's Central Energy Efficiency Fund (CEEF). A subsequent report covering 2017 was agreed by CMT in March 2017. Projects progressing include upgrading of LED lights within Principal Offices and installation of PV panels in a further four Primary Schools.	Green	---	---	---	---	---
Implement measures within the council's fleet to contribute to the Scottish Government's ambition of the decarbonisation of road transport	Implement fuel efficiency measures to achieve a 4% reduction in vehicle emissions by March 2018 (relative to baseline year of 2014-15) (council wide figure)	Year to date, there has been a 15.2% reduction in Council vehicle emissions relative to the baseline year of 2014-15, suggesting that the 4% target will be achieved.	Green	4.0%	15.2%	0.0%	0.0%	6.0%

The council is environmentally responsible in the procurement, use and disposal of resources

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Continue to develop procurement processes to improve environmental outcomes and ensure compliance with the council's sustainable procurement duty	Implement the Procurement Strategy Action Plan	All actions within the Procurement Strategy Action Plan undertaken within agreed timescales. The process of review is well underway with activities commenced in all but one council Resource. Work in the remaining Resource will commence before the end of October 2017.	Green	---	---	---	---	---
Create a culture of resource efficiency in the workplace	Manage and deliver sustainable IT services	Actioned across the corporate IT Infrastructure to comply with the Carbon Reduction Plan.	Green	---	---	---	---	---

The public sector climate change duties are further embedded in service delivery and partnership working

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17

Sustainable Council

The public sector climate change duties are further embedded in service delivery and partnership working

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Ensure the council is compliant with the public sector climate change duties	Council's performance in complying with public sector climate change duties evaluated and annual statutory Climate Change Duties Report published by 30th November 2017	The annual evaluation of the Council's performance in complying with public sector climate change duties will be carried out in October 2017. The draft annual statutory Climate Change Duties Report is now completed and in the committee process for approval. It will be presented to Executive Committee on 8th November, prior to submission to the Scottish Government by 30th November 2017.	Green	---	---	---	---	---
	Participate in 'Climate Ready Clyde' partnership during 2017-18	The Terms of Reference, Memorandum of Understanding, and governance arrangements have now been developed for the partnership. The latest board meeting was held on 6th September 2017 and work has already commenced within the following three sub-groups: Executive; Risk and Vulnerability Assessment; and Impact, Influence and Engagement.	Green	---	---	---	---	---
Use sustainable development as a guiding principle in council plans, policies and strategies and include environmental considerations	Strategic Environmental Assessments undertaken on all appropriate plans and strategies, as statutorily required	Fifteen policies, plans, programmes and strategies have been subject to Strategic Environmental Assessment (SEA) since April 2017. All SEAs are regularly monitored by the Corporate SEA Working Group which has met three times since April 2017.	Green	---	---	---	---	---
	Annual report for Executive Committee and other governance groups on number and outcomes of Strategic Environmental Assessments carried out within the Council prepared by March 2018	The annual report for SEAs carried out in 2016-17 was presented to the Executive Committee meeting in August 2017. The report will be presented to the Sustainable Development Member Officer Group on 31 October 2017. The report covering 2017-18 will be prepared at the end of March 2018.	Report Later	---	---	---	---	---

Sustainable Environment

Sustainable Environment

South Lanarkshire's natural environment is protected, enhanced and respected

Action	Measures (non statistical measures shaded grey)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Review and assess air quality throughout South Lanarkshire in the line with our Air Quality Strategy	Implementation of Air Quality Action Plan by March 2018	The delivery of the Air Quality Action Plan measures are progressing. The Vehicle Emissions Testing Programme has been completed with 955 vehicles tested. The engine idling campaign is due to commence over the winter period. School workshops and a walking/cycling campaign are due to commence this quarter. A joint flooding / air quality and biodiversity green infrastructure pilot project is due to be developed over the next quarter.	Green	---	---	---	---	---
	Submit the progress report on air quality across South Lanarkshire to the Scottish Government by March 2018	The 2017 annual progress report on air quality has been submitted and accepted by the Scottish Government. Work has commenced on data gathering to inform the 2018 report.	Green	---	---	---	---	---
Ensure our planning and land use policies protect and enhance the natural environment, ecosystem services and encourage sustainable development	Implement the Contaminated Land Strategy for South Lanarkshire	Implementation of the Contaminated Land Strategy is ongoing. The Council submitted licence surrender reports for two historical landfill sites at Newlandsmuir and Markethill (both in East Kilbride). SEPA have requested a time extension to review the applications. Licence surrender will facilitate suitable future land development.	Green	---	---	---	---	---
	Strategic Development Plan prepared for adoption by Clydeplan Group by December 2017	The Strategic Development Plan (Clydeplan) was approved (adopted) by Scottish Ministers on 24th July 2017.	Green	---	---	---	---	---
Continue to measure progress and report on the state of South Lanarkshire's environment	Updated biennial State of the Environment report finalised by December 2017	The 2017 edition of the State of the Environment Report is being prepared. It is anticipated that it will be presented to the Community and Enterprise Resource SMT in October 2017, prior to being presented to CMT, and reported to Executive Committee by the end of the year.	Green	---	---	---	---	---

Sustainable Environment

South Lanarkshire's natural environment is protected, enhanced and respected

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Meet our statutory biodiversity duty by implementing our Biodiversity Duty Implementation Plan which will enhance and protect biodiversity through managing our own estate	Deliver South Lanarkshire Council Biodiversity Duty Implementation Plan and prepare and submit three yearly monitoring report to Scottish Government by January 2018	The South Lanarkshire Council Biodiversity Duty Implementation Plan 2012-2015 has been delivered. A questionnaire has been issued to all SLC Resources to collate data for the report to the Scottish Government on implementation of the Biodiversity Duty in the last three years (2015-17). A new South Lanarkshire Council Biodiversity Duty Implementation Plan has been developed for 2018-2021, and will be submitted to Committee for approval in January 2018.	Green	---	---	---	---	---
Contribute to South Lanarkshire Biodiversity Partnership's action plan ensuring ecosystems are used sustainably and strengthened	Database updated and information used to establish priority areas for treatment and ongoing assessment of invasive plants	Ongoing activity. Over 250 knotweed sites are currently being treated.	Green	---	---	---	---	---
In partnership with local communities, continue to enhance South Lanarkshire's greenspace whilst improving recreational access	Phase 1 of Management Plan at Millheugh/ Greenhall Estate implemented by March 2018 (includes woodland restructuring and recreational access improvements)	At Millheugh/Greenhall Estate, forestry extraction is now completed and footpath regeneration work is well underway. Expecting to complete this phase of the project by early November 2017.	Green	---	---	---	---	---
	Phase 2 of Chatelherault landscape and access regeneration project implemented (includes restructuring of footpath network, replacing and repairing of footbridges, woodland restructuring, and invasive species treatments)	At Chatelherault, timber extraction component is completed and procurement is underway for path regeneration contracts. Expecting path works to start in November 2017, with completion by March 2018.	Green	---	---	---	---	---
	Phase 1 of Management Plan for Mauldslie and West Brownlie Woods implemented by March 2018 (includes woodland restructuring and recreational access improvements)	Grant secured and tenders issued for improvements at Mauldslie and West Brownlie Woods. Currently assessing scope of possible work within available budgets, prior to issuing contracts.	Green	---	---	---	---	---
	Funding applications completed for Bothwell and Blantyre Woods by March 2018, through continued liaison with various 'Friends of' groups	Funding applications for Bothwell and Blantyre Woods not yet started due to the pressures of other projects - Chatelherault and Greenhall / Millheugh - but initial applications will be made this year.	Report Later	---	---	---	---	---

Sustainable Environment

South Lanarkshire's natural environment is protected, enhanced and respected

Action	Measures (non statistical measures shaded grey)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17

South Lanarkshire's enhanced environment provides health and wellbeing benefits to local communities

Action	Measures (non statistical measures shaded grey)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Continue to develop the Greenspace Strategic Partnership to enable people to learn, play and be physically active outdoors in South Lanarkshire	Continued delivery of healthy walking opportunities throughout South Lanarkshire via the Get Walking Lanarkshire initiative	The healthy walking programme continues across both North and South Lanarkshire. Statistics for 2017 not yet available, but interim figures will be reported in third quarter.	Green	---	---	---	---	---
Work in partnership to develop and implement South Lanarkshire's Outdoor access strategy	Outdoor Access Strategy developed and approved by Committee by December 2017 with implementation thereafter	Draft Outdoor Access Strategy is currently in preparation. The Strategic Environment Assessment process has been completed, work on the main sections of the consultative draft of the Strategy now underway. Strategy will be completed and approved by March 2018.	Amber	---	---	---	---	---
	Continue investigation into partnership and external funding opportunities for extension of Clyde Walkway to link with neighbouring long distance routes - proposal paper for Community Services Committee submitted by March 2018	Consultations with community councils and other stakeholders complete. Sources of funding now being identified.	Green	---	---	---	---	---
Continue to educate our young people about the local environment in which they live and encourage them to reduce the impacts they have upon it	Continue to increase climate change awareness and sustainability in education establishments	Schools will incorporate climate change awareness content into their curriculum, using programmes and initiatives to support their work. This will be supported by the Curriculum and Quality Improvement Service throughout session 2017-18.	Green	---	---	---	---	---
Provide environmental volunteering opportunities and support community groups to manage local environmental projects	2,000 volunteer days achieved as part of Environmental Volunteer programme by March 2018	This figure includes only the directly supported Countryside and Greenspace volunteer teams. The final figure collated at the end of the year will include volunteer figures from community group partners.	Green	2,000	600	4,796	2,020	4,369

Sustainable Communities

Homes and communities are energy efficient, environmentally sustainable, and fuel poverty is minimised

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Continue to invest in energy efficiency measures in council homes including improvements to the fabric of the building, installation of affordable low carbon heating and the use of renewable technologies	% of council dwellings that are meeting the Energy Efficiency Standard for Social Housing (EESH) (LGBF)	Target achieved and improved position on year end.	Green	86.00%	87.19%	0.00%	82.38%	86.10%
Work in partnership to provide advice and support to local communities to help increase energy efficiency at home and minimise fuel poverty	Assist/support households to access schemes available to help address fuel poverty issues	Measure to be reported at year end.	Report Later	---	---	---	---	---

That council's strategies, plans and policies support communities to live more sustainably, reduce carbon emissions and adapt to a changing climate

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Continue to divert waste from landfill by providing and encouraging the effective use of household waste management services across South Lanarkshire	Ongoing education and awareness undertaken and waste service procedures reviewed to promote waste minimisation, reuse and recycling within local communities	The Waste service is currently preparing to replace co-mingled recycling with dual stream recycling in flats within the Strathaven area. It is anticipated that this will improve the yields and quality of the recyclable material collected from these properties. The Waste Education team have prepared information that will assist residents make full use of the new recycling system.	Green	---	---	---	---	---
	Performance of waste and recycling contracts monitored and internal monthly updates developed on performance within each contract	Regular monthly / bi monthly meetings take place with contractors, and issues relating to performance are monitored. Corrective action is taken on matters raised within monthly reports where necessary.	Green	---	---	---	---	---

Sustainable Communities

That council's strategies, plans and policies support communities to live more sustainably, reduce carbon emissions and adapt to a changing climate

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
	Waste audits undertaken and waste collection arrangements reviewed in council buildings, identifying opportunities to reduce residual waste and increase recycling	Waste audits to be arranged for later in financial year.	Report Later	---	---	---	---	---
	The percentage of total household waste arising that is recycled (Council target is 50% in line with Government target)	Year to date percentage of total household waste recycled will be reported in quarter three.	Report Later	50.0%	-----	45.0%	49.0%	53.1%
Work in partnership to ensure that local infrastructure is resilient to the impacts of climate change	Prioritised flood protection projects / studies delivered by March 2018 in line with available capital / revenue funding	<p>Borgie Glen (Cambuslang) - Inlet trash screen replacement and access improvement works (contract awarded, pre-works to address invasive species have commenced with main works due to start October 2017).</p> <p>Station Gate (Netherburn) - Creation of flood storage areas and watercourse diversion works (awaiting SEPA licence, scope of work has been amended and mini-tender will be carried out in September 2017).</p> <p>Coulter - Installation of flood fencing to divert flood flows away from properties and roads (design to be finalised and landowner agreement required, then mini tender to appoint contractor).</p> <p>Jervsiwood Drive, Cleghorn - Use of Groundwater Dynamics technique to increase infiltration potential within agricultural land to reduce flood risk from overland flow (sole supplier paperwork approved, test drilling completed and design underway).</p> <p>Purchase of flood barriers for use at Rosebank/A72 and other locations as required.</p> <p>Project at Hallside Burn (Halfway), proposed to be carried out by Dundas Homes on behalf of Council as part of their development, has been postponed due to landownership issues.</p>	Green	---	---	---	---	---

Sustainable Communities

That council's strategies, plans and policies support communities to live more sustainably, reduce carbon emissions and adapt to a changing climate

Action	Measures (non statistical measures shaded grey)	Comments/ Progress	----- This Year -----			----- Last 3 Years -----		
			Status	Target	To Date	2014/15	2015/16	2016/17
	Participate in Local Flood Risk Management Districts, namely Clyde and Loch Lomond led by Glasgow City Council and Tweed led by Scottish Borders Council	<p>The Local Flood Risk Management Plans (LFRMPs) were published on 22 June 2016 in accordance with the statutory dates. Information and links to the relevant documents have been uploaded onto the Council website.</p> <p>Council officers continue to participate in Tweed Local Plan District meetings and the Clyde and Loch Lomond (CaLL) Senior Officers Group as we embark upon the next Flood Risk Management planning cycle. SLC continue to have a presence on the CaLL Joint Committee which will continue to be in place during the next six year cycle.</p> <p>Initial work has begun on some of the flood studies and Surface Water Management Plans - these are council actions within the published Local Flood Risk Management Plans and require to be completed within the first six year planning cycle.</p>	Green	---	---	---	---	---

Sustainable Communities

That council's strategies, plans and policies support communities to live more sustainably, reduce carbon emissions and adapt to a changing climate

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
	Watercourse Clearance and Repair undertaken in line with current requirements	<p>A schedule of watercourse clearance and repair works has been drafted and is located within Fileplan. This will be updated as required and satisfies the relevant Council duties under Section 18 of the Flood Risk Management (Scotland) Act.</p> <p>This schedule will not be our complete programme of works. It includes only works that have been identified as being required to reduce flood risk.</p> <p>Guidance on local authority duties relating to inspecting watercourses and undertaking clearance and repair works has finally been published by the Scottish Government. This document is currently being reviewed and its recommendations will be implemented as required.</p>	Green	---	---	---	---	---
Help to reduce vehicle emissions and congestion and connect our communities through infrastructure improvement projects in favour of, and integration between, walking, cycling and using public transport	Prioritised improvements to bus and rail infrastructure (e.g. park and ride) delivered by March 2018 in line with available external funding	<p>A number of Park and Ride and Interchange projects being reviewed. These are at Lanark, Carstairs Junction, East Kilbride and Cambuslang.</p> <p>The construction of 155 space extension is currently underway at Newton Station and the completion of the project is scheduled for October 2017.</p> <p>Approximately 15 advertising bus shelters are proposed to be replaced this year. Discussions with the current shelter owners are ongoing and a programme of works is currently being considered between various parties. It is anticipated that works will be completed in March 2018.</p>	Amber	---	---	---	---	---

Sustainable Communities

That council's strategies, plans and policies support communities to live more sustainably, reduce carbon emissions and adapt to a changing climate

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
	Development of Park and Ride Strategy	A number of Park and Ride and Interchange projects being reviewed. These are at Lanark, Carstairs Junction, East Kilbride and Cambuslang.	Amber	---	---	---	---	---
Work in partnership to support and encourage sustainable travel choices for people within South Lanarkshire, with emphasis on walking and cycling promoting a healthier lifestyle	Walking and cycling projects/schemes delivered in line with the agreed 2017/18 capital programme	Cycle route works are substantially complete to upgrade NCN74 at Tieglum Road in Lesmahagow and to provide a new cycle network in the Calderwood Road area of East Kilbride. Cycle monitoring equipment as well as cycle shelters at various locations will be installed this year. A consultant will be appointed to identify a possible cycle network for Hamilton and these will inform the design of a future network for the town.	Green	---	---	---	---	---
Encourage sustainable production and consumption in the business community and support the growth of a low carbon industry in South Lanarkshire	East Kilbride Task Force Action Plan implemented	Work ongoing with East Kilbride Task Force partners to refresh action plan and refocus the work of the Task Force.	Green	---	---	---	---	---

Local communities are supported in taking action to be more environmentally responsible

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Communicate and engage with communities on environmental and climate change mitigation and adaption issues empowering further local action	Sustainable Development and Climate Change Strategy 2017-22 (and accompanying communications plan) approved by Executive Committee by December 2017	Development of the new strategy is progressing well. The draft strategy has now been approved by the Executive Committee. Consultation to be held during October 2017.	Green	---	---	---	---	---

Sustainable Communities

Local communities are supported in taking action to be more environmentally responsible

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	Status	----- This Year -----		----- Last 3 Years -----		
				Target	To Date	2014/15	2015/16	2016/17
Embed sustainability and climate change in the school curriculum and continue to engage young people in environmental education through Eco Schools and similar programmes	Further embed climate change awareness and sustainability in Curriculum for Excellence	Increasingly, schools will incorporate sustainability content into their curriculum, using programmes and initiatives to support their work. This will be supported by the Curriculum and Quality Improvement Service throughout session 2017-18.	Green	---	---	---	---	---
	Continue to support involvement in programmes and initiatives that help reduce climate change including Eco-schools programme; Earth Hour and Globally Aware Schools	Schools continue to support involvement in programmes and initiatives that help reduce climate change. This will continue to be supported by the Curriculum and Quality Improvement Service throughout session 2017-18.	Green	---	---	---	---	---
	Maintain 100% Eco School Scotland registration and increase percentage of establishments with bronze, silver and green flag awards	SLC continues to maintain its 100% registration with Eco-Schools Scotland. Currently 168 schools are registered: 133 have bronze awards; 119 have silver awards and 73 have Green Flag awards. Those schools with Green Flags are maintaining their standards to meet Green Flag level at each check up. In addition an increasing number of Early Years Partner providers have registered with Eco Schools: 40 are registered; 23 have bronze awards; 17 have silver awards and 8 have Green Flags. Young people in SLC are very aware of their eco responsibilities and share what they have learned with their parents.	Green	100.0%	100.0%	100.0%	100.0%	100.0%

Sustainable Communities

Local communities are supported in taking action to be more environmentally responsible

Action	Measures (<i>non statistical measures shaded grey</i>)	Comments/ Progress	----- This Year -----			----- Last 3 Years -----		
			Status	Target	To Date	2014/15	2015/16	2016/17
Devise and implement policies to promote sustainable tourism that creates jobs and promotes local culture and products	Tourism Strategy delivered via Lanarkshire Area Tourism Partnership	<p>The refreshed Lanarkshire Tourism Action Plan is now launched and available and clearly aligns local actions to the national tourism strategy. It focuses on priorities and practical opportunities for businesses to ensure they are fully engaged.</p> <p>We have recently completed an Accessible Tourism phase 1 project which has created 100 access guides covering the majority of Lanarkshire's tourism assets, 39 of these are in South Lanarkshire. The aim of the guides is to maximise independence and choice for disabled people in accessing their local area and the places we all want to visit. As well as being socially desirable, this project represents a significant marketing opportunity for Lanarkshire. The Partnership is currently assessing the possibility of a second phase extension to this project.</p> <p>Given limited financial resources, the strategic focus will continue to be on enhancing the digital offering at both an individual business and regional level. During the year, the Partnership has engaged the digital services of VisitScotland to market Lanarkshire video campaign content within their national digital channels and this has increased the reach of our marketing efforts.</p> <p>City Deal – the tourism thematic group last met on the 18th July 2017. Work on a draft regional tourism strategy is ongoing. An action plan will be prepared once the strategy is finalised.</p>	Green	---	---	---	---	---

Report

17

To: Executive Committee
Date: 15 March 2018
Prepared by: Executive Director (Finance and Corporate Resources)

Subject: Fairer Scotland Duty

1. Purpose of this Report

- ♦ to advise the Committee of the impending implementation in April 2018 of the Fairer Scotland Duty

2 Recommendation

2.1 The Executive Committee is asked to approve the following recommendation:

- (1)** that the report be noted.

3. Background

3.1. The Fairer Scotland Duty, otherwise known as the Socio- Economic duty, derives from section 1 of the Equality Act 2010 which is not yet in force but is to be brought into force in Scotland in April of this year.

3.2. The duty which applies to all local authorities in Scotland places a responsibility on public bodies to actively consider how inequalities of outcome arising from socio economic disadvantage can be reduced.

4. Implications for Local Government

4.1. The duty is a strategic duty and does not commit the Council to a particular manner of exercising its functions nor does it restrict the exercise of it's' functions. Councils have a key role in reducing socio- economic inequalities as a result of strategic decisions which will impact on housing, education, health, transport and employability. The Council also has a key role in influencing other public sector and third sector bodies through the Community Planning Partnership and Health and Social Care Joint Board

4.2. The duty obliges the Council to have due regard to the desirability of exercising its functions in such a way as to reduce inequalities of outcome such as poor attainment, lower life expectancy, greater chance of being a victim of crime arising from socio economic disadvantage such as low income , material or area deprivation and socio economic background. This is only one of a range of factors which the Council will require to consider in the exercise of the strategic nature of its functions.

4.3. The duty will apply to strategic decisions such as the development of the Council Plan or Local Outcome Improvement Plan, annual budget setting, setting priorities or targets, strategic policy development, allocating resources, major procurements, commissioning services or economic development strategy. It does not explicitly apply to operational decisions however strategic decisions may have a major impact on operational day to day service delivery.

- 4.4. Public Authorities are obliged to take into account any guidance issued by Scottish Ministers on the exercise of the duty. Final guidance is not yet available but draft Interim Guidance has been prepared and issued to COSLA's Environment and Economy Board for comment early in March 2018. The Scottish Government intends to develop final non statutory guidance in partnership with public bodies, so that it is grounded on experience of working with the duty and reflects good practice already in place. COSLA has also been advised that whilst the duty formally comes into force on 1st April 2018, there will be a 3 year implementation period, along with possible revised guidance and training opportunities taking place in various regions across Scotland. The Scottish Government is funding a National Coordinator post, based in the Improvement Service, to help public bodies to share best practice through regional meetings. The full implications of what is expected from Councils will become clearer once the guidance is available
- 4.5. The duty does not prohibit the Council from taking a strategic decision which will not reduce inequalities or even increase them. The key requirement is that the Council has clearly and actively considered how they could reduce inequalities before taking any strategic decision and is able to publish a short written assessment, showing how they have done this. The draft interim guidance suggests that it may be easier to demonstrate that due regard has been paid if the Council involves people likely to be affected by the decision in the assessment. Providing the Council has complied with this key requirement before taking its decision and is able to evidence it, there should be no ground for challenge. The Equality and Human Rights Commissioner has been appointed as the Regulator for the Fairer Scotland Duty and will be closely involved with monitoring the duty and the development of best practice for the duty. The Council is already covered by the Public Sector Equality Duty and the Human rights Act, and the Scottish Government is of the view that the Fairer Scotland Duty will build on existing practice already followed for equality and human rights. The general basis for a legal challenge in this respect would be whether the decision is one which no reasonable Council having had due regard to the duty and acting reasonably, could have come to (the "Wednesbury unreasonableness" test). Accordingly if there are competing factors such as Best Value, which the Council reasonably consider outweigh the terms of Section 1 it will be entitled to decide on that basis.
- 4.6. The Guidance suggests any decision to which the Fairer Scotland Duty applies will have 5 stages:-
- Stage 1** – Determine whether the proposal /decision will be of strategic importance
- Stage 2** – Does the Council have any evidence about socio-economic disadvantage and inequalities of outcome in relation to this issue or decision
- Stage 3** – Assess what the main impacts of the proposal are likely to be and how it could be improved so to reduce inequalities of outcome
- Stage 4** – Appropriate Officer to confirm that decision makers have had due regard to the duty in their decision. The officer should be satisfied that the members have understood the evidence, considered whether it could reduce inequalities of outcome, and considered improvements and the links to socio-economic disadvantage and equality
- Stage 5** – The assessment should be signed off and published in order to show that members paid due regard to the duty before taking the decision.
- 4.7. It is essential that the Council considers this duty in relation to its strategic functions. Council decisions have been deemed to be unlawful where the Councillors had a duty to "*have due regard*" to a matter but have been unable to evidence that it was drawn to their attention or that they did actually consider it.

5. Employee Implications

5.1. Employee implications will become clear once further information is available

6. Financial Implications

6.1. Financial implications will become clear once further information is available

7. Other Implications

7.1. There are no issues in terms of sustainability associated with this report.

8. Equality Impact Assessment and Consultation Arrangements

8.1. This matter does not require an equality impact assessment to be undertaken.

Paul Manning

Executive Director (Finance and Corporate Resources)

15 February 2018

Link(s) to Council Values/Ambitions/Objectives

- ◆ Focused on people and their needs
- ◆ Promote economic growth and tackle disadvantage

Previous References

- ◆ None

List of Background Papers

- ◆ None

Contact for Further Information

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