

Report

9

Report to:	Risk and Audit Scrutiny Forum
Date of Meeting:	20 September 2017
Report by:	Executive Director (Finance and Corporate Resources)

Subject:	Financial Resources Scrutiny Forum Activity
----------	--

1. Purpose of Report

1.1. The purpose of the report is to:-

- ◆ Provide, for information, a summary of reports considered by the Financial Resources Scrutiny Forum to September 2017.

2. Recommendation(s)

2.1. The Risk and Audit Scrutiny Forum is asked to approve the following recommendation(s):-

- (1) that the summary of reports considered by the Financial Resources Scrutiny Forum for the period October 2016 to September 2017, as detailed in Appendix 1 of this report, be noted.

3. Background

3.1. In May 2017, the Council agreed details relating to the review of Member Scrutiny Forums. This included consideration of the Terms of Reference and Powers and Responsibilities for the Forums. In respect of the Financial Resources Scrutiny Forum, the focus can be summarised as:-

- scrutinise all of the Council's revenue budgets
- review the financial and operational progress of the Council's capital programmes
- review the financial and operational progress of the Council's trading operations

3.2. This report will cover items submitted to the Financial Resources Scrutiny Forum since the last update in November 2016 (as noted in section 4.1).

4. Agenda Items

4.1. The table attached at Appendix 1 notes the reports submitted to the Financial Resources Scrutiny Forum during the period October 2016 to September 2017, under the main areas of responsibility.

4.2. Further reports will be provided to this Forum on an annual basis advising of the business presented to the Financial Resources Scrutiny Forum.

5. Employee Implications

5.1 There are no employee implications.

6. Financial Implications

- 6.1 There are no financial implications.

7 Other Implications

- 7.1 The main risk associated with the Council's Revenue Budget is that there is an overspend. The risk has been assessed as low given the detailed budget management applied across the Resources. The risk is managed through four weekly Budget Monitoring Meetings at which any variance is analysed. In addition, the probable outturn exercise ensures early warning for corrective action to be taken where appropriate.
- 7.2. The main risk associated with the Council's Capital Programme is that there is an overspend. The risk has been assessed as low given the detailed project management plans prepared and monitored for each project. The risk of an overspend is managed through four weekly Investment Management Meetings.
- 7.3. There are no implications for sustainability in terms of the information contained in this report.

8. Equality Impact Assessment and Consultation Arrangements

- 8.1. This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy and therefore no impact assessment is required.
- 8.2. There is also no requirement to undertake any consultation in terms of the information contained in the report.

Paul Manning

Executive Director (Finance and Corporate Resources)

21 August 2017

Link(s) to Council Values/Objectives

- ◆ Accountable, Effective and Efficient

Previous References

- ◆ Risk and Audit Scrutiny Forum, 8 November 2016
- ◆ South Lanarkshire Council, 18 May 2017

List of Background Papers

- ◆ None

Contact for Further Information

If you would like to inspect the background papers or want further information, please contact:-

Lorraine O'Hagan, Finance Manager (Strategy)

Ext: 2601 (Tel: 01698 452601)

E-mail: lorraine.o'hagan@southlanarkshire.gov.uk

Date	Revenue Monitoring	Capital Monitoring	Trading Services Monitoring
13 October 2016	<p>Provide an update on the position as at 19 August 2016 (period 6) for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for the General Services Budget • 2016/17 Financial Monitoring for the Housing Revenue Account 	<p>Provide an update on the position as at 19 August 2016 (period 6) for the following:</p> <ul style="list-style-type: none"> • Housing Capital Programme – Financial Information and Physical Progress • General Services Capital Programme – Financial Information and Physical Progress for Build, Non-Build and Roads Projects • General Services Exception Report (approved at Executive Committee on 5 October 2016). 	<p>Provide an update on the position as at 19 August 2016 (period 6) for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for Trading Operations – Facilities Management, Fleet, Grounds, Roads and Property Services • Community and Enterprise Resources Trading Operations Financial and Operational Performance – Fleet, Grounds and Facilities Management.
24 November 2016	<p>Provide an update on the position as at 16 September 2016 (period 7) for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for the General Services Budget • 2016/17 Financial Monitoring for the Housing Revenue Account 	<p>Provide an update on the position as at 16 September 2016 (period 7) for the following:</p> <ul style="list-style-type: none"> • Housing Capital Programme – Financial Information and Physical Progress • General Services Capital Programme – Financial Information and Physical Progress for Build, Non-Build and Roads Projects • General Services Exception Report (approved at Executive Committee on 16 November 2016) 	<p>Provide an update on the position as at 16 September 2016 (period 7) for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for Trading Operations – Facilities Management, Fleet, Grounds, Roads and Property Services • Community and Enterprise Resources Trading Operations Financial and Operational Performance – Roads.
19 January 2017	<p>Provide an update on the position as at 11 November 2016 (period 9) for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for the General Services Budget • 2016/17 Financial Monitoring for the Housing Revenue 	<p>Provide an update on the position as at 11 November 2016 (period 9) for the following:</p> <ul style="list-style-type: none"> • Housing Capital Programme – Financial Information and Physical Progress • General Services Capital Programme 	<p>Provide an update on the position as at 11 November 2016 (period 9) for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for Trading Operations – Facilities Management, Fleet, Grounds, Roads and Property Services

Date	Revenue Monitoring	Capital Monitoring	Trading Services Monitoring
	Account	<ul style="list-style-type: none"> – Financial Information and Physical Progress for Build, Non-Build and Roads Projects • General Services Exception Report (approved at Executive Committee on 14 December 2016) 	<ul style="list-style-type: none"> • Housing and Technical Resources Trading Operations Financial and Operational Performance – Property Services.
16 February 2017	<p>Provide an update on the position as at 6 January 2017 (period 11) for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for the General Services Budget • 2016/17 Financial Monitoring for the Housing Revenue Account. 	<p>Provide an update on the position as at 6 January 2017 (period 11) for the following:</p> <ul style="list-style-type: none"> • Housing Capital Programme – Financial Information and Physical Progress • General Services Capital Programme – Financial Information and Physical Progress for Build, Non-Build and Roads Projects • General Services Exception Report (approved at Executive Committee on 8 February 2017). 	<p>Provide an update on the position as at 6 January 2017 (period 11) for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for Trading Operations – Facilities Management, Fleet, Grounds, Roads and Property Services • Community and Enterprise Resources Trading Operations Financial and Operational Performance – Fleet, Grounds and Facilities Management.
7 September 2017	<p>Provide an update on the 2016/17 year end outturn position for the following:</p> <ul style="list-style-type: none"> • 2016/17 Outturn position for the General Services Budget • 2016/17 Outturn position for the Housing Revenue Account • 2016/17 Summary of main budget issues and 2017/18 outlook <p>Provide an update on the position as at 21 July 2017 (period 5) for the following:</p> <ul style="list-style-type: none"> • 2017/18 Financial Monitoring for the General Services Budget • 2017/18 Financial Monitoring for the Housing Revenue Account 	<p>Provide an update on the 2016/17 year end outturn position for the following:</p> <ul style="list-style-type: none"> • 2016/17 Outturn position for the General Services Capital Programme • 2016/17 Outturn position for the Housing Capital Programme <p>Provide an update on the position as at 21 July 2017 (period 5) for the following:</p> <ul style="list-style-type: none"> • Housing Capital Programme – Financial Information and Physical Progress • General Services Capital Programme – Financial Information and Physical Progress for Build, Non-Build and Roads Projects 	<p>Annual review of existing Trading Operations and potential Trading Operations</p> <p>Provide an update on the 2016/17 year end outturn position for the following:</p> <ul style="list-style-type: none"> • 2016/17 Financial Monitoring for Trading Operations – Facilities Management, Fleet, Grounds, Roads and Property Services <p>Provide an update on the position as at 21 July 2017 (period 5) for the following:</p> <ul style="list-style-type: none"> • 2017/18 Financial Monitoring for Trading Operations – Fleet, Roads and Property Services • Community and Enterprise Resources Trading Operations Financial and

Date	Revenue Monitoring	Capital Monitoring	Trading Services Monitoring
		<ul style="list-style-type: none"> General Services Exception Report (approved at Executive Committee on 30 August 2017). 	Operational Performance – Fleet.