

Annual Governance Statement 2020/2021 – Significant Governance Areas

Quarter 4 update - April 2021 to March 2022

Areas identified in 2020/2021	Actions	Quarter 4 Progress
<p>COVID-19 pandemic</p> <p>The Council will continue to respond to matters arising from the COVID-19 pandemic</p>	<p>The Council will continue to monitor national guidance; maintain ongoing resilience arrangements; and implement further measures as and when required in response to the pandemic.</p> <p>A recovery working group has been established with the remit to develop a council recovery plan for implementation.</p> <p>The plan will focus on the following 4 themes:-</p> <ul style="list-style-type: none"> • Council Services; • Business Support; • Health and Social Care Partnership; and • Community Groups <p>Corporate and Resource risk registers will continue to be monitored and updated to reflect national and local developments.</p>	<p>Annual report on Risk Management presented to:-</p> <p>All Resource Committees September to November 2021:</p> <p>Community and Enterprise Resources Committee 28 September 2021</p> <p>Housing and Technical Resources Committee 29 September 2021.</p> <p>South Lanarkshire Integration Joint Board 26 October 2021</p> <p>Education Resources Committee 9 November 2021</p> <p>Finance & Corporate Resources Committee 10 November 2021</p> <p>Social Work Resources Committee 10 November 2021</p> <p>All Resource risk registers will continue to be monitored and updated.</p>
<p>COVID-19 Pandemic Recovery Recovery Actions</p>	<p>As conditions improve, the Council will deliver the right services in the right way, for the benefit of our residents and communities.</p>	<p>Continuing development and implementation of Resource Recovery Framework</p> <p>Routinely monitored through Resource and Service Management Teams</p>

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IT Infrastructure Migration of computer systems to an external provider	<ul style="list-style-type: none"> • Conduct procurement to identify and award contract for external hosting services. • Complete planning for migration of all computer systems from Caird Data Centre. • Complete preparatory work to set up new network hubs. • Commence move of IT systems to new provider. 	<ul style="list-style-type: none"> • <i>Housing and Technical Resources System</i> Procurement completed and contract awarded. • Planning and preparatory work completed. Project completed December 2021. • Project complete. • Project complete.
Cyber Resilience Compliance with legislative and regulatory policy	<ul style="list-style-type: none"> • Maintaining Public Sector Network (PSN) accreditation and instilling an ethos of ongoing compliance. • Continued compliance and accreditation to Cyber Essentials Plus. • Moving forward working towards the guidelines outlined within the Scottish Public Sector Cyber Resilience Framework. • Continued awareness training for all SLC staff around the area of Cyber Resilience. 	<p>On track to achieve PSN and Cyber Essentials Plus Accreditation.</p> <p>PSN accreditation achieved. Cyber Essentials plus accreditation for 2022 in progress.</p> <p>Awareness training for SLC staff included in on-line learning. Cyber awareness campaign conducted on SLC intranet as part of Cyber Scotland week.</p>

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<p>Participatory Budgeting 1% of the Council's budget to be subject to Participatory Budgeting</p>	<p>By 2021 all Scottish councils are required to commit 1% of their budget to Participatory Budgeting (with the "council budget" being defined as the council's Total Estimated Expenditure (TEE) less Assumed Council Tax Income both taken from the Government's Finance Settlement).</p> <p>Councillor's awareness sessions have been conducted and a webinar made available for councillors.</p> <p>Further update reports will be submitted to Committee.</p>	<p>Participatory Budgeting now features in School Improvement Plans which is helping to engage more widely with parents and carers.</p> <p>Progress is being made around the areas agreed at Executive Committee with updates provided at Area Committees.</p> <p>Councillor awareness sessions took place and a webinar was made available to all councillors.</p> <p>Progress Reports presented to East Kilbride and Cambuslang and Rutherglen Area Committees in November 2021 detailing Education Resources and Housing and Technical Resources progress with Participatory Budgeting.</p> <p>Update reports to remaining Area Committees – Clydesdale and Hamilton were delivered in February 2022.</p>
<p>Financial Challenges Reduction in Council funding, resulting in difficulties maintaining front line services</p>	<p>The Council faces a challenging situation in the medium to long-term because of reduced funding in real terms, rising costs and an increase in demand.</p> <p>The council has developed a long-term strategy which identifies budget pressures, future risks and uncertainties, and projects budget gaps to 2028/2029.</p> <p>The Budget Strategy for 2021/2022 will be updated and presented to councillors during autumn 2021.</p>	<p>Following the receipt of the financial settlement in December 2021 the proposed budget for 2022/2023 was presented to Members for approval in February 2022. Budget approved 23 February 2022.</p> <p>Additional funding provided by Scottish Government to support households who have been financially affected by COVID-19.</p> <p>Financial Strategy for 2022/2023 was presented to Councillors in June 2021.</p>

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<p>Sustainable Development and Climate Change</p>	<p>The Council acknowledges the serious and immediate threat of climate change and is committed to accelerating the pace of action in response to the climate emergency and in Scotland's transition to a net-zero and climate resilient society and economy. During 2021/2022 a review of the Council's Sustainable Development and Climate Change Strategy (2017 to 2022) will be carried out and concluded; and a new Strategy for 2022 to 2027 will be developed.</p>	<p>A review and development of the revised Sustainable Development and Climate Change Strategy, led by Community and Enterprise Resources, is underway and scheduled to be completed during 2022/2023.</p> <p>Actions to be progressed throughout the year include, contributing to the annual review, with actions/measures from all Council resources.</p> <p>Development of the strategy is progressing. Public engagement is complete and has shaped the vision, themes and priorities. The Strategic Environmental Assessment is complete and has been out for public consultation along with the draft of the strategy. The final strategy is intended to be presented for approval to Full Council alongside the Council Plan and Community Plan in June 2022.</p> <p>In the meantime, work is progressing with Services in order to populate the first year's action plan.</p>
<p>Integrated Joint Board – Health and Social Care</p> <p>The need for the Council to deliver the objectives set out in the Integrated Joint Board (IJB) Strategic Commissioning Plan 2019 to 2022</p>	<p>The current strategic direction set out and approved by the IJB is detailed within the Strategic Commissioning Plan. The council and NHS Board are required to deploy their resources in line with this strategic direction.</p> <p>The IJB issues Directions that set out the key actions to be delivered by the council during the year 2020/2021 and these Directions were agreed by the IJB at its special budget meeting in March 2020.</p> <p>The Council will provide progress updates against Directions for which it is the lead organisation.</p>	<p>Resources were deployed to deliver the Directions throughout 2021-2022. Progress reports on the delivery of the Directions were made to the IJB during 2021/2022. The Health and Social Care Partnership led the Review and Development of the new Strategic Commissioning Plan 2022 to 2025 during 2021/2022 and Housing and Technical Resources will contribute and will lead on 2 directions for both Housing and Homelessness</p> <p>In light of the preparatory work undertaken for the new Strategic Commissioning Plan 2022 to 2025 a review of the strategic directions was carried out to ascertain if they still remained relevant to the delivery of the IJBs vision for health and social care services and presented the IJB at</p>

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<p>Integrated Joint Board – Health and Social Care</p> <p>The need for the Council to deliver improvements within Care at Home to meet regulatory requirements</p>	<p>Following inspection activity in the latter half of 2019, the Care Inspectorate identified concerns in the delivery of Care at Home services in both the Hamilton and Rutherglen/Cambuslang services.</p> <p>Improvement plans have been devised and are being progressed by the services with progress overseen by a Programme Board. Regular updates on progress are provided to the Health and Social Care Partnership Senior Management Team; the council's Senior Management Team; the Social Work</p>	<p>its meeting on 25 January 2022.</p> <p>The Strategic Commissioning Plan 2019 to 2022 outlined 13 strategic priorities and 43 commissioning intentions upon to which 37 Directions were aligned . To summarise the status of the directions:-</p> <ul style="list-style-type: none"> • On hold. Not possible to progress during this period 2 (5%) • Progressing but a change in outcomes and/or delay expected 27 (73%) • Progressing as originally planned 1 (3%) • Direction completed 7 (19%) <p>The IJB agreed the removal of 8 Directions as they had been completed with those remaining being current. Some have been amalgamated or changed to reflect current arrangements.</p> <p>There are 27 Directions in all and represents an overall reduction of 10 Directions when compared with prior reports.</p> <ul style="list-style-type: none"> • Final Agenda South Lanarkshire IJB 2501 22 (15).pdf page 103 <p>Improvement Plans have been completed. An inspection in October 2020 for the Hamilton Care at Home Service showed an improvement in grades:-</p> <ul style="list-style-type: none"> • Care and Support from 1 (unsatisfactory) to 3 (adequate) • Leadership and Management from 1 (unsatisfactory) to 3 (adequate) • Staffing from 1 (unsatisfactory) to 2 (weak) <p>An inspection in June 2021 has seen further improvement with:-</p> <ul style="list-style-type: none"> • How well do we support people's wellbeing graded

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<p>Integrated Joint Board – Health and Social care (Continued)</p>	<p>Committee; and the Integrated Joint Board.</p>	<p>4 (good)</p> <ul style="list-style-type: none"> • People get the most out of life graded 4 (good) • Infection prevention and control graded 3 (adequate) • Leadership and staffing arrangements are responsive to the changing needs of people experiencing care graded 4 (good) <p>The most recent inspection (November 2020) for the Rutherglen/Cambuslang Care at Home Service showed an improvement in grades:-</p> <ul style="list-style-type: none"> • How well to we support people’s wellbeing – 4 (good) • How good is our Leadership – from 1 (unsatisfactory) to 4 (good) • How good is our Staff Team – from 2 (weak) to 4 (good) • How good is our care planned – from 1 (unsatisfactory) to 3 (adequate) • Infection / Prevention control measures / COVID-19 - 4 (good) <p>Care Inspectorate risk rating reduced from high to medium.</p> <p>The Integration Joint Board approved the Strategic Commissioning Plan 2022 to 2025 at its meeting on 29 March 2022. The SCP sets out the overall strategy for health and social care services to 2025. It sets out:</p> <ul style="list-style-type: none"> • What the public and key stakeholders told us was important to them through extensive and ongoing consultation and engagement activity • The strategic needs profiling of the population of South Lanarkshire <ul style="list-style-type: none"> • How the IJB, alongside Community Planning Partners, will work to deliver the 9 National Health and Wellbeing Outcomes • How the IJB will

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		<p>commission services to support the recovery from the pandemic. The SCP sets out 12 strategic priorities for the IJB and which drive the strategic commissioning intentions also articulated:</p> <ul style="list-style-type: none"> • Sustaining statutory functions • Emphasising early intervention, prevention and inequalities • Addressing mental health and addictions • Improving unscheduled care and optimising intermediate care • Supporting Carers • Promoting self-care and self-management, including technology enabled care • Improving transitional arrangements • Facilitating single point of access and increasing access to seven-day service • Investing in enablers for integration • Promoting suitable and sustainable housing • Contributing to homelessness prevention and reduction <p>Responding to the impact of the COVID-19 pandemic The SCP has been developed to have a mutually reinforcing relationship with key local strategies of the Health Board, Council and Community Planning Partnership.</p>
<p>Economic Recovery and Renewal</p>	<p>Economic recovery from the Pandemic will incorporate the principles of Community Wealth Building and during 2021/2022 an Economic, 'Response, Recovery and Renewal' Strategy framed around the themes of: People; Place; and Business will be developed.</p> <p>The council will also lead on local economic recovery for South Lanarkshire businesses through promotion of local economic support networks and contribution to the pan-Lanarkshire Economic Forum.</p>	<p>Development of the new Economic Strategy has shifted focus away from economic recovery, to become a more progressive forward thinking strategy which sets out clear ambitions over the next 5 years (2022 to 2027). The draft strategy is due to be presented to Full Council at the end of June 2022 as part of a suite of documents accompanying the new Council and Community Plans. The proposed vision within the strategy is "to make South Lanarkshire a flourishing, green, dynamic and equitable place for communities, visitors, businesses and investors", and the strategy is framed around the 3 themes of sustainable, inclusive economic development – People, Place and Business.</p>

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<p>National expansion in early years education and childcare provision</p> <p>The Council is likely to face challenges in acquiring the necessary physical assets and staffing levels to meet the commitments by 2020</p>	<p>The impact of the COVID-19 pandemic and the announcement by the Scottish Government to close all schools and educational settings from 20 March 2020 for an indefinite period resulted in the delay of meeting the target dates for the full delivery of 1140 hours early learning and childcare by August 2020.</p> <p>COSLA have intimated to councils the extension given in legislation to the timeframe for the delivery of 1140 hours. This will be subject to review by the council in partnership with the Scottish Government. Strategic and operational plans are in place with key milestones identified in terms of infra-structure, financial, personnel, quality standards, training and recruitment and on consultation with partners and parents. Regular reporting mechanisms are also in place with updates provided to committee including engagement with partner providers to increase private and third sector partnership.</p>	<p>In line with the Education Recovery Plan a restart of the significant investment made through the Capital Programme to expand the delivery of 1140 hours has taken place</p> <p>The support of the Council Services have been instrumental in restarting the building programme which was delivered and operational by June 2021 with the exception of Clyde Terrace Early Learning Centre in Bothwell .Work progresses with this development however temporary facilities were established to ensure all children and their families accessed their '1140 Hour Entitlement' by August 2021. Approximately 7800 families are currently accessing the '1140 Hour Placements' with 2500 children accessing placements through our Contracted Funded Providers</p> <p>The Capital Programme was completed by June 2021 with the exception of the Clyde Terrace E.L.C.</p>
<p>CCTV Policy</p>	<p>UK GDPR, Data Protection Act and Code of Practice from the Information Commissioner will be deployed by HTR through CCTV System Operating Procedures which are currently in development.</p>	<p>The CCTV operating procedures and data sharing processes have been reviewed and updated to ensure audit trails, data security and governance of data storage and management are fulfilled.</p>
<p>Review of Community Planning Provide support to the review of Community Planning arrangements</p> <p>Review of Community Planning (Continued)</p>	<p>During 2019, the Community Planning Partnership Board undertook a self-assessment of the current Community Planning arrangements. Improvement actions were later supplemented by the recommendations from the council's Best Value Assurance Review and a group has been set up to take these forward.</p>	<p>The focus of the Council's Community Engagement Team and Partnership Officers is currently ongoing with communities and partners to co-produce the new Community Plan.</p> <p>The first phase of engagement with communities and partners is complete and priorities are being developed for the second phase of the engagement which will also include communities and partners.</p> <p>The new Outcome Lead Officers are in place and they</p>

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	<p>The review group will consider several key areas including structures, governance and accountability arrangements and the Council will provide resources to support the group and the implementation of the agreed actions.</p>	<p>are considering the new Community Plan and the Community Planning Structures. Housing and Homelessness actions to be progressed throughout the year. Review of the Community Plan being progressed with input from Housing and Technical Resources.</p>
<p>Implement the outcomes following the Cross Party Working Group review of South Lanarkshire Leisure and Culture (SLLC)</p>	<p>The review of leisure and culture provision concluded on 19 May 2021. Outcomes to be implemented over the course of the year include changes to:-</p> <ul style="list-style-type: none"> • governance arrangements (a new constitution will be developed, reflecting a modernised governance model. This will also involve a review of various Service Level Agreements that exist for the provision of shared services with the aim of strengthening the SLC/SLLC partnership); • pricing arrangements (SLLC will now have latitude to adjust commercial pricing without prior approval by the Council); and • Further engagement with community groups on community asset transfer of SLLC assets. 	<p>Revised pricing policy was agreed by the SLLC Board for implementation from 1 April 2022.</p> <p>SLLC Board agreed the draft constitution for the new SCIO model at their Board meeting on 23 February 2022, noting that SLC and SLLC's legal advisors would continue to liaise on the final detail prior to submission of the relevant documentation to OSCR and pending subsequent SLC approval.</p> <p>The Board also agreed the next steps required to progress the transition to the proposed new governance arrangements. It is anticipated that the first meeting of the SLLC Board under the new governance arrangements will take place in August 2022, subject to Council approval and setting up of a nominee company.</p> <p>The Council and SLLC continue to engage with community groups that have expressed interest in the community asset transfer of SLLC assets.</p>